A Nested Relation Implementation for Semistructured Data

T. H. Merrett^{*} McGill University, Montreal, Canada

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Abstract

A simple implementation of nested relations also supports recursively nested trees of indefinite depth, directed acyclic graphs for sharing common subexpressions, cyclic graphs for links and cross-references, and union types for attributes. This can all be built without going beyond the capabilities of an algebra of flat relations which includes relational recursion. Its advantage is to offer shortcuts to thinking about programs over the kind of data thus modelled, and to support, to this end, syntax for relational expressions which includes paths of nested attributes, regular expressions on these paths, and wildcards for generality and for partially known data schemas. This last is the essential aspect of "semistructured" data. Embedding such semistructured data within a matrix of ordinary text finally leads to new capabilities which include the analysis of text with or without implicit schemas induced by text markup.

Keywords nested relations path expressions semistructured data

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1 Introduction

Serge Abiteboul, Peter Buneman, and Dan Suciu provide [1] a fusion of the two major lines of work on semistructured data over the past decade. Three decades before, the late Ted Codd gave [9] the simple form of flat relations, which excel at representing data on secondary storage because they abstract it to the appropriate level of whole files. The structure of flat relations has a trivial syntax because it is simple. The work on semistructured data has focused on data descriptions which generalize Codd's work, and on related query formalisms.

The relational algebra, which Codd also provided, abstracts over looping, and so is the basis for a very high-level programming language. This goes beyond query languages such as SQL because a query language is specialized and notoriously must be extended to provide, say, logical inference, or calculations on spatial or temporal data. Since semistructured data is frequently an aspect of scientific databases (e.g., genome databases or bibliographies), it seems important to include it in a programming language for secondary storage which can also handle such calculations.

We show that a formalism (with the required general programming capabilities, although we do not dwell on them in this paper) based on flat relations can indeed cope with all the subtleties of semistructured data and so include this new flexibility into a language which already deals with expert system rules, geospatial data, numerical calculations, and the like.

The rest of this introductory section reviews the relational algebra and the complementary domain algebra in the context of a modified syntax which is more suited to general programming than the apparently similar query-language syntax. Going beyond the limitations, which soon appear, of query language, we can introduce nested relations through a simple idea which needs only the most minor syntactic support.

In the sections following, we introduce syntactic sugar for referring to nested relations, and show a couple of useful representations, one of which is the flat-relation implementation of nesting (section 2). In section 3, we expand the syntax to recursive nesting, which has the same implementation representation. Crossreferencing by links, which also support data sharing, is explained in section 4, and then union types in section 5 complete the formalism for semistructured data. The syntax explained in the first five sections is sugar and adds nothing to the capabilities of the flat relational algebra given in the Introduction, but it offers more powerful ways to think about certain problems. However, a cautionary example shows that the new thinking is not always better than the old, and in section 7 we move on to the analysis of text with semistructured data embedded in it.

1.1 Relational Algebra

While we can assume that the algebra of flat relations is familiar to the reader, the syntax we need for it may not be. We restrict our attention to three well-known operators—a combination of selection and projection called the T-selector, natural join (**join**), and set union (**union**)—, three less common operators used for comparison of relations—natural composition **comp**, relational equality, = , and relational division, **sup**—, and a new relational operator called **grep**. We also give a unified syntax for updates.

1.1.1 T-selectors

The T-selector places the relational operand at the extreme right, for ease of expression-building, followed (to the left) by the selection condition, in turn followed (now on the extreme left) by the projection list. On the relation (describing the bill of materials to manufacture an electric outlet)

BoM		
(assembly	qty	subassembly)
wallplug	1	cover
wallplug	1	fixture
cover	1	plate
cover	2	screw
fixture	2	plug
fixture	2	screw
plug	2	connector
plug	1	mould

the T-selector to find the components of plugs and their quantities is

[subassembly, qty] where assembly="plug" in BoM

Any number of attributes may appear in the projection list, and any condition that evaluates to **true** or **false** on each separate tuple of the operand may be used after **where**. ("T" in "T-selector" stands for "tuple".) The special cases of projection or selection are obtained by omitting the **where**-clause or the projection list, respectively.

Another important special case makes the T-selector a Boolean predicate:

[] where assembly="plug" in BoM

gives a relation on no attributes ("nullary"). A nullary relation can evidently have only two states: empty (no tuples in the operand satisfy the selection condition), or not empty (some tuples are selected). The empty nullary is interpreted as **false** and the non-empty nullary as **true**. The above example is pronounced "something where assembly='plug' in BoM".

1.1.2 Joins

The natural join is written as an infix operator, **join**. This differs from the apparently more flexible SQL convention of writing the join conditions explicitly in syntax which is SQL T-selector syntax except that a second operand is introduced. The advantages of infix notation are that the join operator is readily seen for what it is (and this obliges the programmer to have a clear idea of what natural join means), and that expressions of several operations may be articulated. Since **join** defaults to Cartesian product if the operands share no attributes, combining this with a T-selector regains flexibility in case we need a join condition which does not test join attributes for equality.

The full form of natural join includes a specification of the attributes to be matched between the operand relations, still in infix form. Here is an example, using a copy of BoM, above, renamed Bom'(assembly',qty',subassembly'). It matches *subassembly* and *qty* from BoM with (respectively) *assembly'* and *qty'* from Bom'.

Bom [subassembly, qty join assembly', qty'] Bom'

The result contains the union of the attribute sets of the two operands, but we notice that the

attribute pairs *subassembly* and *assembly'*, and qty and qty' duplicate each other, and can be considered alias names for the same attributes in the result.

(assembly	qty	subassembly	assembly'	qty'	subassembly')
wallplug	1	cover	cover	1	plate
fixture	2	plug	plug	2	connector

(This example is contrived for illustrative purposes rather than being particularly useful.)

The **union** operator is, generally, an outer join, extending set union to relations just as natural join extends set intersection to relations. In this paper we need only set union, so we just call it **union**. It is an infix operator between two relations.

While **join** and the general form of **union** can be seen as extensions to relations of set-valued operators on sets (intersection and union, respectively), we also need extensions to relations of truth-valued operators on sets, such as tests for non-empty intersection, containment, and equality. These are given, respectively, by natural composition [11] (**comp**), division (**sup**), and an equality test (=). We illustrate natural composition by finding grandparents given a relation Parent(Sr, Jr).

Parent[Jr comp Sr]Parent

The result has attributes Sr and Jr, like *Parent*, but Sr comes from the first operand and Jr from the second: the join attributes disappear in the result because they are used to specify the sets of values (associated with each value of the non-join operands) that are compared (for empty intersection, in the case of *comp*). The other two operators mentioned here have similar semantics, except that the sets of values specified by the join attributes are compared for containment (**sup**) or equality (=).

1.1.3 Grep

A new relational operator is suggested by the need we shall have in section 7 for textual pattern matching. We would like to be able to find a substring or a match for a more general regular expression in a relation without knowing in advance which attribute it is in. For this, we consider **grep** (which stands for "get regular expression pattern", as it does in Unix) to be a relational operator.

grep "plug" in BoM

returns, like selection, each whole tuple in which some attribute contains the (substring) pattern, "plug":

(assembly	qty	subassembly)
wallplug	1	cover
wallplug	1	fixture
fixture	2	plug
plug	2	connector
plug	1	mould

Grep can be made more specific by allowing parameters. One parameter is of type **integer** and gives the position in the attribute where the match started. The second parameter is of type **attribute** and gives the attribute itself that matched the pattern. Neither, either, or both these parameters may be supplied to **grep**, and the type of the variable chosen for each tells **grep** what it is. Typically, we could use *pos* (**integer**) and *attr* (**attribute**):

grep(attr,pos) "plug" in BoM

(assembly	qty	subassembly	attr	pos)
wallplug	1	cover	assembly	4	
wallplug	1	fixture	assembly	4	
fixture	2	plug	subassembly	0	
plug	2	connector	assembly	0	
plug	1	mould	assembly	0	

Note that this requires a metadata type **attribute** for attributes. We shall make further use of this in section 6.

Note that a pattern may be found several times in a tuple, in which case there will be more than one value of *attr* or *pos*. **Grep** produces additional tuples in this case, distinguished by the different values of *attr* or *pos*.

grep(attr,pos) "1" in BoM

(assembly	qty	subassembly	attr	pos)
wallplug	1	cover	assembly	2	
wallplug	1	cover	assembly	3	
wallplug	1	cover	assembly	5	
wallplug	1	fixture	assembly	2	
wallplug	1	fixture	assembly	3	
wallplug	1	fixture	assembly	5	
fixture	2	plug	subassembly	1	
plug	2	connector	assembly	1	
plug	1	mould	assembly	1	
plug	1	mould	subassembly	3	

Note that *pos* can be used to extract *proximity* of two occurrences of a pattern. If two successive values of *pos* are within a pre-specified distance, a proximity test may be considered satisfied. (To do this requires domain algebra which is not covered in section 1.2.) Note also that *pos* can be used to *rank* tuples or attributes according to how often a pattern occurs. (This requires equivalence reduction in the domain algebra of section 1.2.)

Of course, **grep** supports regular expressions, so that we can ask for attributes containing **er** or **re**

grep(attr, pos) "er|re"

or use the wildcard symbol, ., and the Kleene star, $\ast,$ to cover gaps

grep(attr, pos) "e.*r|r.*e"

This will include connector, as well as cover and fixture

from the er|re example.

As well as these basic regular expression operators, **grep** supports derived or specialized operators. For example, finding **plug** or **Plug** can use

grep(attr,pos) "[Pp]lug" in BoM

or, for case insensitivity in general, we can use string operators $\hat{}$ (or **uppercase**) or $_$ (or **lower-case**) to convert the operand to upper or lower case, respectively:

grep(attr,pos) "plug" in _BoM

grep(attr,pos) "plug" in lowercase BoM

(This approach will, of course, give a *result* in upper or lower case.)

Note that **grep** will match a pattern if it occurs anywhere in the operand, so we are not obliged to say

grep(attr,pos) ".*plug.*" in BoM

to find plug anywhere in the attributes of BoM. Indeed, this is not equivalent to our very first example, because it will return pos=0 for every tuple, since the pattern given actually starts at the beginning of each attribute that contains plug, even wallplug. To insist on exact match of an attribute to a pattern, we use the grep symbols indicating the beginning and ending of a string

grep(attr,pos) "^plug\$" in BoM

This will not match wallplug. (Note that the grep symbol ^ has a quite different meaning from the uppercase string operator ^.)

Variables may be useful instead of the .* construct, so that the additional parts of the string can be returned. To provide this, we allow the programmer to define further attributes in the **grep** parameter list which can be used as wildcard variables in the pattern. For example,

grep(attr, pos; x, y) "\x plug\y" in BoM

gives

(assembly	qty	subassembly	attr	pos	x	y)
wallplug	1	cover	assembly	4	wall		
wallplug	1	fixture	assembly	4	wall		
fixture	2	plug	subassembly	0			
plug	2	connector	assembly	0			
plug	1	mould	assembly	0			

Note that the semicolon in the parameter list for **grep** separates the list of attributes that are recognized by type from the list of wildcard variables, which all have type **string** and are recognized by position. Note also that we use parentheses as delimiters in the pattern, unless whitespace happens to separate variable from constant parts of the pattern. This allows x and y to be part of the constant pattern.

As well as pattern constants, which we have shown above, **grep** can work with pattern variables, which could be attributes or top-level variables. For example, an equivalent to our first example (**grep** "plug" in BoM) would be

fix <- [subassembly] where assembly="fixture" in BoM;
grep(attr,pos) fix in BoM</pre>

When the pattern variable is a relation of many tuples, each value is treated as an alternative—the patterns are **or**ed—and there will be at least one tuple in the result for each match.

1.1.4 Statements

An important supplement to the relational algebra are the operators that turn relational expressions into statements. These are the assignment and view operators. Assignment evaluates an expression and names the result.

 $Grandparent <- Parent[Jr \operatorname{comp} Sr]Parent$

creates the new relation, Grandparent, discussed earlier with attributes Sr, and Jr. View looks similar to assignment but names the *expression* without evaluating it: it can be thought of as a parameterless function.

GPview is Parent[Jr comp Sr]Parent

creates the view, *GPview*, with the same attributes as *Grandparent* but no data. If *GPview* is subsequently used in an assignment statement, for example, the evaluation is done then. The advantage of this postponement is that subsequent updates to *Parent* will be reflected in later references to *GPview*. This is not the case for *Grandparent*.

We can also have recursive views. These can be used to find transitive closure (for example, Ancestor(Sr, Jr) from Parent(Sr, Jr)) or in an inference engine to fire all rules starting with a given set of facts. To illustrate the simplest forms of these recursive views, we show the transitive closure that gives ancestor.

Ancestor is Parent union Parent[Jr comp Sr] Ancestor;

In the next section we show a more elaborate recursion than this on *BoM*, using **join** instead of **comp**.

1.1.5 Updates

Finally, **update** is an operator which, like assignment and view definition, gives a statement and has side-effects. (The relational algebra up to the discussion of assignment is purely functional, as is the domain algebra which follows.) This one operator has variants which accomplish all three forms of update, **add**, **delete**, and **change**. **Update** works always on subrelations, never at the level of individual tuples. We can use **union** to give the semantics of **add**:

update <relation1> add <relation2>;

is equivalent to

<relation1><- <relation1> union <relation2>;

except that the update can be done in place rather than by copying < relation 1 >, which most implementations of the latter would do.

Delete can similarly be defined in terms of a **difference** operator, which we have not given in this paper.

Change may be followed by statements which operate on attributes (so that full discussion depends on knowing the domain algebra, below) to alter them in place, and may have an optional **using** clause which specifies another relation whose **join** with the relation to be changed identifies the part of that operand relation whose tuples will be changed. (The conditional expression of the domain algebra gives an alternative way to select tuples to change.) The full exploitation of these two notions is very expressive and we illustrate only a simple variant. In *BoM*, replace wallplug by outlet wherever it appears in *assembly*¹, using the auxiliary relation

auxBoM(assembly newassembly) wallplug outlet

update *BoM* **using** *auxBoM* **change** *assembly <- newassembly*;

We cannot update wildcard variables in **change** mode:

update BoM change x < - "base"

using where $x \neq$ "" grep(;x) "\x plug" in BoM;

does not change any attribute in BoM containing plug to **baseplug**, because x is not an attribute of BoM and so cannot be updated. Instead, we must identify the attribute and change that, which we do by extracting the value of the generated attribute *attr*. This introduces the metadata operator, **eval**, which is useful for all kinds of metadata.

update *BoM* change eval *attr* <- "baseplug"

using grep(*attr*) "^plug\$" in BoM;

does change any attribute in *BoM* containing plug to baseplug.

We may want to update part of the value found by **grep** instead of the whole of it. We could permit two more attributes before the semicolon in the **grep** parameter list, of types **type** and **any**, containing, respectively, the type and the value of the attribute that matched. Then we could change, say, **plug** to **socket** anywhere it is found by an update such as

update BoM change eval attr <-(type) (substr(eval attr,0,pos-1)

cat "socket" **cat** substr(eval *attr,pos*+len(*val*)))

using grep(*attr*, *pos*, *type*, *val*;) "plug" in BoM;

The **cat** operator concatenates strings. The final string resulting from the two concatenations must be cast to the *type* of the attribute being changed.

Update to the **grep** parameter attr is also possible; to *pos* is unnecessary; and to *type* is not always possible except indirectly, because of the independence of domains from relations. Attributes such as attr, type and val appear again with the **transpose** operator discussed at the end of section 1.3.

The **add** mode of **update** reveals the important consideration that relations may be *polymorphic*. For example (although this may not be good database design), we might want to add to *BoM* a relation giving the costs of the final components.

Compone	ntCost
(assembly	cost)
plate	0.75
screw	0.05
connector	0.20
mould	1.35

The result of

update BoM add ComponentCost;

could be written with null values (since the outer join, which the full form of **union** implements, can be considered to generate null values):

¹For this paper, no update used as an example will persist; that is, the next time we see BoM in the paper, it will be the original BoM.

BoM			
(assembly	qty	subassembly	cost)
wallplug	1	cover	\mathcal{DC}
wallplug	1	fixture	\mathcal{DC}
cover	1	plate	\mathcal{DC}
cover	2	screw	\mathcal{DC}
fixture	2	plug	\mathcal{DC}
fixture	2	screw	\mathcal{DC}
plug	2	connector	\mathcal{DC}
plug	1	mould	\mathcal{DC}
plate	\mathcal{DC}	\mathcal{DC}	0.75
screw	\mathcal{DC}	\mathcal{DC}	0.05
connector	\mathcal{DC}	\mathcal{DC}	0.20
mould	\mathcal{DC}	\mathcal{DC}	1.35

Here, we introduce the "don't care" null value, which behaves for all operations as if it isn't there: it is the identity of any scalar operation on it, and so on. An attribute containing only \mathcal{DC} is the same as an attribute which isn't there. Conversely, any attribute which isn't in a relation can be thought of as being an attribute of the relation but containing only \mathcal{DC} . So the above relation could also be thought of (and stored) as two separate subrelations, one on attributes *assembly*, *qty* and *subassembly*, and the other on attributes *assembly* and *cost*.

A second variant of the **change** mode of **update** takes advantage of this polymorphism to allow inserting or removing of *attributes* (as opposed to adding or deleting tuples in the **add** and **delete** modes, respectively). We can remove an attribute from a whole relation (*BoM* as modified above)

update *BoM* change remove *cost*;

or from selected tuples

update *BoM* change remove *cost* using where *assembly*=screw in *BoM*;

The effects of these would be, respectively, to restore BoM to its original three attributes (but retaining the four extra tuples that came from ComponentCost), or to remove the *cost* only of the tuple of ComponentCost containing screw (i.e., setting its cost to \mathcal{DC} in the table above).

Any attribute to be inserted into a relation, or part of a relation, must be virtual and defined by an expression of the domain algebra, discussed next. Example syntax is

update *BoM* **change insert** <some virtual attribute>;

or

update *BoM* change insert <some virtual attribute> using where *assembly*=screw in *BoM*;

and the meaning (after we have explained virtual attributes) is clear by comparison with the **re-move** examples.

We may use both **insert** and **remove** in one **update**, to achieve replacements. Each may be followed by a list of attribute names. (Without ambiguity, but possibly with more confusion, the keywords **remove** and **insert** could be replaced by **delete** and **add**, or even **drop** and **add**, respectively.)

Note that for the flat relations we have discussed so far, these modes to **insert** and **remove** attributes are not very useful, since the same results can be had, albeit by copying data, by projections. For nested relations (section 1.3), on the other hand, they are more useful.

1.2 Domain Algebra

The relational algebra alone does not permit us to do the kind of calculations needed by a programming language. We need operations on attributes, constituting what is known as the "domain algebra" (although it should be called the "attribute algebra"). As the relational algebra abstracts over the components of the relations (so that the syntax above looks like conventional algebra on numbers, with unary and binary operators, except the operands can be thought of as whole files), so the domain algebra abstracts over the relations involved. This is just about the only subtlety of the domain algebra, which is otherwise straightforward, but it is a very important subtlety: being able to write operations on attributes without reference to the relations they may be part of greatly reduces the mental effort of programming. In consequence, all domain algebra statements, like relational algebra views, may be considered to define parameterless functions.

Because the domain algebra is divorced from the relations containing the attributes, the result of any domain algebra expression is a "virtual" attribute, and must at some point be "actualized" if it is ultimately to be part of a relation. Suppose we had joined BoM and BoM', above, on subassembly and assembly' (instead of on subassembly, qty and assembly', qty')

Bom'' < -Bom [subassembly join assembly'] Bom'

and so had

Bom''					
(assembly	qty	subassembly	assembly'	qty'	subassembly')
wallplug	1	cover	cover	1	plate
wallplug	1	cover	cover	2	screw
wallplug	1	fixture	fixture	2	plug
wallplug	1	fixture	fixture	2	screw
fixture	2	plug	plug	2	connector
fixture	2	plug	plug	1	mould

Then the virtual attribute qtyp defined by the domain algebra statement

let qtyp be $qty \times qty'$;

could be actualized by the projection

D //

[assembly,qtyp,subassembly'] in Bom"

to give

(assembly	qtyp	subassembly')
wallplug	1	plate
wallplug	2	screw
wallplug	2	plug
fixture	4	connector
fixture	2	mould

We often write a virtual attribute outside the parentheses containing the attributes of a relation on which we intend to actualize it, in order to show the values it would eventually have.

Bom''						
(assembly	qty	subassembly	assembly'	qty'	subassembly')	qtyp
wallplug	1	cover	cover	1	plate	1
wallplug	1	cover	cover	2	screw	2
wallplug	1	fixture	fixture	2	plug	2
wallplug	1	fixture	fixture	2	screw	2
fixture	2	plug	plug	2	connector	4
fixture	2	plug	plug	1	mould	2

(And note that this display contains one important extra tuple missing from the projected actualization above. We will return to this issue at the end of this section.)

A domain algebra expression may contain any scalar operation on an attribute or any number of attributes. The above example, $qty \times qty'$, is the simple binary operation of multiplication. These expressions are called "scalar", or "horizontal" because they operate within tuples and tuples are represented as horizontal rows in the most popular, tabular, representation of relations.

In addition, there may be "vertical" or "aggregate" operations such as summing all the values of a single attribute. An example is the group-by sum, or "equivalence reduction", that adds up the quantities, *qtyp*, for each pair of *assembly* and *subassembly'*:

let qty'' be equiv + of qtyp by assembly, subassembly';

Note that equiv takes an operator such as + as an operand; the only restriction is that the operator must be commutative and associative or else the result is undefined because relations are unordered. This is also true for **red**, the operator that defines simple aggregation without the **by** clause.

The relational and domain algebras work together by using the relational algebra to actualize any virtual attribute. Here is the example of a bill-of-materials "explosion", the more elaborate recursion that we referred to earlier, using *BoM*.

> let assembly' be assembly; let qty' be qty; let subassembly' be subassembly; let qty'' be equiv + of qty×qty' by assembly, subassembly'; let qty''' be qty + qty''; let qty be qty'''; let subassembly be subassembly'; BoMtc is [assembly,qty,subassembly] in [assembly,qty''',subassembly'] in (BoM union [assembly,qty'',subassembly'] in (BoM [subassembly join assembly'] [assembly',qty',subassembly'] in BoMtc)); e start with three domain elements attaction and the implementation (model);

Note that we start with three domain algebra statements which simply rename attributes (making it unnecessary to have a separate copy, BoM', of BoM). This is followed by the definition of qty'', having the same meaning as above, by a single domain algebra expression which combines both scalar and aggregate operations. The definition of qty''' is to combine quantities when *assembly* and *subassembly* are connected by paths of different lengths. The final two domain algebra statements rename again. Note that both are cyclic when considered together with the previous domain algebra statements. However, in the relational algebra that follows next, actualization is done in separate projections, so there are in fact no cycles. The final statement is a recursive view in the relational algebra which defines the "transitive closure" of BoM, in which the new quantity is the sum over parallel paths of the product along sequential edges of the original quantities.

The domain algebra has all been defined without reference to the one statement of relational algebra (but, of course, with it in mind). That one statement is fairly complex, but follows the same form as the view defining *Ancestor*, above: a **union** of the source relation, *BoM*, with a **join** of *BoM* with the recursively defined result, *BoMtc*. If such a result had to be defined with a domain algebra which explicitly named relations, it would be really intricate. (Try writing it in Prolog!).

Two points arise from this example. First, it avoids the loss of the second tuple involving 2 screws, mentioned above, so that wallplug will indeed be shown to have 4 screws. (This is from the sum over parallel paths. Wallplug also has 4 connectors, due to the product over sequential edges.) Second, while cycles may appear in the definitions of virtual attributes, they must be resolved by actualization into non-cyclic (or nonrecursive) calculations. When we extend the discussion to nested relations, we will find it legitimate to have recursively defined attributes, so long as each recursive cycle bridges two different levels of nesting.

In section 1.1.5 we referred to conditional expressions in the discussion of updates. These provide another illustration of scalar domain algebra coupling with relational algebra, so we show how to change occurrences of cover in either attribute of BoM to top.

update BoM change {

};

assembly <- if assembly="cover" then "top" else assembly; subassembly <- if subassembly="cover" then "top" else subassembly;

We can also improve the update from section 1.1.5 which uses grep:

update BoM change eval attr <- (if x="wall" then "base" else x) cat "plug" using grep(attr;x) "\x plug" in BoM;

changes wallplug to baseplug wherever it appears as an attribute value in BoM.

1.3 Nesting: Attributes are Relations

The relations we have discussed so far are "flat", in keeping with Codd's first normal form [10]: no attribute may have set or tuple values. It is not appropriate in a programming language to have such a caste system, whereby some data types (sets, tuples, and hence relations) have fewer privileges than others (strings, integers, etc.). So we violate first normal form by the simple expedient of allowing, in each tuple, the values of any attribute to be relational.

Since this is an apparently expanded data structure, which we call nested relations, we should have a formalism to manipulate it, or it would be as useless for programming as relations without the relational and domain algebras. Fortunately, we find a formalism by an expedient as simple as that which gave nested relations: we permit the operators of the domain algebra to include the operators of the relational algebra. That is, for nesting, the domain algebra subsumes the relational algebra.

The only mechanisms we need beyond this idea are those for raising and lowering levels of nesting. Even here, we can almost entirely again avoid adding new syntax.

Here is a three-level nested relation, written out in a self-evident way. Outside the parentheses, we also show some virtual attributes which we discuss next.

company (cname	address (street		city	codezip))	$compname \\ (cname)$	$addcity\ (city)$	nameAddCit (cname	$y \\ city$	
	(num)	cname)								
Dink Inc.	1	Dink St	Dinkton	D1N3T0		Dink Inc.	Dinkton	Dink Inc.	Dinkton	
	13	Dink St								
	1	Dink St	Dinkville	D1N3V1			Dinkville	Dink Inc.	Dinkville	
FemtoSoft	10000	No Way	Rapa City	R8P8C1		FemtoSoft	Rapa City	FemtoSoft	Rapa City	
KiloSoft	314	Speed Way	Adroit	48207		KiloSoft	Adroit	KiloSoft	Adroit	

)

The first virtual attribute we declare introduces the new syntax for adding a new level of nesting. **let** compname **be relation**(cname);

This makes *cname* an attribute of a new relation, which itself could be a virtual attribute of either *company* or *street*, both of which have *cname* as attribute: above we show the result only for *company*. The **relation**() syntax allows grouping of any number of attributes into a new relation, but the relation is a singleton: it has only one tuple for each tuple of the relation it is nested in (the "outer relation").

The second virtual attribute illustrates projection subsumed into the domain algebra.

let addcity be [city] in address;

This creates a virtual attribute, shown for *company*, which is a relation on the attribute *city*.

We now have two virtual attributes, *compname* and *addcity*, so we can combine them with a binary relational operator, **join**, in the domain algebra.

let nameAddCity be compname join addcity;

This gives a relation on the two attributes, *cname* and *city*, using the Cartesian product mode of **join**, since the two operands have no common attribute, and we did not explicitly specify join attributes (say, [*cname* **join** *city*]).

We could create a new top-level relation by projecting *nameAddCity* from *company*,

NameAddCity < - [nameAddCity] in company;

but note that the result is a nested relation (horizontal lines mark the boundaries between the outer tuples):

NameAddCity (nameAddCity) (cname city) Dink Inc. Dinkton Dink Inc. Dinkville FemtoSoft Rapa City KiloSoft Adroit We might like to "flatten" this into a single-level relation. This requires combining all the outer tuples into one, which we can do by taking the union of the inner relation, *nameAddCity*, with itself over all tuples: **red union of** *nameAddCity*. It also requires getting rid of the name, *nameAddCity*. We can do both of these by writing the reduction directly in a projection list, without giving the domain expression a name. Then the system has no choice but to raise the level.

NameCity < - [red union of nameAddCity] in company;

NameCity		
(cname	city)
Dink Inc.	Dinkton	
Dink Inc.	Dinkville	
FemtoSoft	Rapa City	
KiloSoft	Adroit	

Note that level raising requires no new syntax, just anonymous projection. But it does require care in making sure that the outer relation is a singleton, so that the anonymous expression usually involves a **red union of**.

Implementing nested relations is easy, and shows that their benefit is ease of thinking about certain problems rather than extending the capabilities of flat relations. We build a nested relation as a collection of flat relations, linked by "surrogate" values. Thus, *company* becomes

		(<i>cne</i> Din Fem	apany ame k Inc. toSoft oSoft		bress) 37 22 48		
.addi	ress				.stree	et	
(.id	street	city	codezip)	(.id	num	cname)
37	144	Dinkton	D1N3T0		144	1	Dink St
37	156	Dinkville	D1N3V1		144	13	Dink St
22	132	Rapa City	R8P8C1		156	1	Dink St
48	111	Adroit	48207		132	10000	No Way
					111	314	Speed Way

These separate flat relations are implicitly joined on the attribute that is the name of the nested relation and the .id attribute of the nested relation. Note that the surrogate values held in these attributes permit one-to-many joins. Implementing the formalism that subsumes the relational algebra into the domain algebra is straightforward: the join is made and reductions are translated to equivalence reductions by .id. Where suitable, the full join need not be made, but only part of it, by a process of pointer dereferencing on secondary storage.

Update on nested relations likewise requires that statements involving the relational algebra be allowed after the **change** keyword, including, of course, nested **update** statements. For example, we change **Dinkton** to **Dinkburg** in *city* in *address* in *company*:

update company change

update *address* change

city <- if city="Dinkton" then "Dinkburg" else city;

A useful new operator of the domain algebra generates special nested attributes. **Transpose**, followed optionally by parameters of types **attribute**, **type**, or **any** creates a relation on attributes which are these parameters, containing a tuple for each attribute of the relation it is actualized in. Thus

let *xpose* **be transpose**(*attr*,*type*,*val*);

gives the virtual attribute xpose in ComponentCost from section 1.1.5

Component	Cost				
(assembly	cost)	xpose			
		(attr	type	val)
plate	0.75	assembly	string	plate	
		cost	real	0.75	
screw	0.05	assembly	string	screw	
		cost	real	0.05	
connector	0.20	assembly	string	connector	
		cost	real	0.20	
mould	1.35	assembly	string	mould	
		cost	real	1.35	

We see from this why types **type** and **any** must be introduced. Type **any** is an example of a union type, which we shall encounter again in section 5. We will mostly be interested in the **attribute** parameter in this paper, so do not pursue the others.

(Updates using **transpose** are similar to updates using **grep**, and provide a way to change an attribute name under certain circumstances, for example: **change** attr < - < newAttribute >will replace any selected values of attr by \mathcal{DC} and introduce newAttribute polymorphically into the relation.)

Using **transpose**, we can begin a discussion of finding the paths in a nested relation (and hence its schema) which we shall be able to complete once we have considered recursive nesting in section 3. For this application, we also need a keyword, **self**, which returns the name of the relation it is actualized in. For *company*,

let *xpose* be transpose(*attr*);

let path be self/attr;

gives the virtual attributes *xpose* and *path* shown below for every subrelation (they are actualizable on all of the subrelations because they do not refer to any attributes). (The definition of *path* is equivalent to

let *path* **be self cat "/" cat** *attr*; with suitable castings.)

company

company (cname	address (street (num	cname)	xpose $(attr)$	path	city	codezip)	$xpose \ (attr)$	path	(attr)	path
Dink Inc.	1	Dink St	num cname	street/num street/cname	Dinkton	D1N3T0	city codezip	address/city address/codezig	cname	company/cname
	13	Dink St	num cname	street/num street/cname				, -		
	1	Dink St	num cname	street/num street/cname	Dinkville	D1N3V1	city codezip	address/city address/codezig)	
FemtoSoft	10000	No Way	num cname	street/num street/cname	Rapa City	R8P8C1	city codezip	address/city address/codezig	cname	company/cname
KiloSoft	314	Speed Way	num cname	street/num street/cname	Adroit	48207	city codezip	address/city address/codezig	cname	company/cname

Note that **transpose** returns data only on scalar attributes; it does not report on nested attributes or provide recursive structure by itself. Doing that will be our goal in section 3. (Note also that we have cheated above: **self** would really pick up *xpose* in each case instead of the name of the relation two levels up (*street*, etc.). This can be fixed by not naming *xpose*, as we will see in section 3.)

The above result is highly redundant, because we did not ask **transpose** to produce a *value*, so we remove the repetitions and raise the level by an anonymous **red union**. For example:

let paths **be** [**red union of** [path] **in** xpose] **in** street; gives the virtual attribute

company (cname	address)
,	(street		city	codezip)	paths	
	(num)	cname)			(path)	
Dink Inc.	1	Dink St	Dinkton	D1N3T0	street/num	
	13	Dink St			${\tt street/cname}$	
	1	Dink St	Dinkville	D1N3V1	${\tt street}/{\tt num}$	
					${\tt street/cname}$	
FemtoSoft	10000	No Way	Rapa City	R8P8C1	$\mathtt{street}/\mathtt{num}$	
					${\tt street/cname}$	
KiloSoft	314	Speed Way	Adroit	48207	$\mathtt{street}/\mathtt{num}$	
					<pre>street/cname</pre>	

This is still redundant, but before raising the level still higher, we modify the definition of *paths* to include the *paths* at the new level.

giving

company (cname	address)
X X	$(street \ (num$	cname)	city	codezip)	paths $(path)$,
Dink Inc.	(<i>num</i>	Dink St	Dinkton	D1N3T0	(pain) address/street/num	
Dim inc.	13	Dink St	DIIIKUUI	DINOIO	address/street/cname	
	1	Dink St	Dinkville	D1N3V1	address/city	
					address/codezip	
FemtoSoft	10000	No Way	Rapa City	R8P8C1	$\mathtt{address}/\mathtt{street}/\mathtt{num}$	
					address/street/cname	
					address/city	
					address/codezip	
KiloSoft	314	Speed Way	Adroit	48207	address/street/num	
					address/street/cname	
					address/city	
					address/codezip	

One more union and level-raising will produce all the paths in *company*, but we will save this closure until we discuss recursive nesting in section 3.

2 Syntactic Sugar for Nested Queries

We can investigate in more detail elements which are likely to be frequently used in querying nested relations, and can thus be captured by simplified syntax. By "query" we mean mainly T-selectors and **grep** operations; we consider binary operations only briefly.

The T-selector (section 1.1) has three components: projection list, selection condition, and relational expression. The first two involve domain expressions, but since nesting subsumes relations as domains, the projection list and the relational expression may be considered together. The selection condition is a special kind of domain expression, with Boolean value, and must be considered separately.

We start with projection. We saw an example in section 1.3, which used anonymous **red union** of to raise the level of nesting. We start the present discussion by projecting *address* from *company* in this way.

Address <- [red union of address] in company;

Our syntactic sugar will turn this into

Address <- company/address;where we concatenate attribute names into a path by the / operator (so that the path looks like a directory path in an operating system such as Unix). The result of either is

Address	8			
(street			city	codezip)
(num)	cname)		
1	Dink St		Dinkton	D1N3T0
13	Dink St			
1	Dink St		Dinkville	D1N3V1
10000	No Way		Rapa City	R8P8C1
314	Speed Way		Adroit	48207

We can go deeper.

Street <- [red union of [red union of *street*] in *address*] in *company*;

becomes

Street <- company/address/street;

giving the top-level relation, *Street(num, cname)*. Going all the way to a leaf looks different in the unsweetened syntax:

Cname < - [red union of [red union of [cname] in street] in address] in company; becomes

Cname <- *company*/*address*/*street*/*cname*;

giving Cname(cname).

Now suppose we wanted to project *cname* either directly from *company* or, two levels down, from *street*.

Cname < - [red union of

relation(cname) union [red union of [cname] in street]
in address] in company;

or, eliding the **relation**() operator since it has only one operand, *cname*

Cname <- [red union of cname union [red union of [cname] in street] in address] in company;

becomes

Cname <- company/(address/street/)?cname;

where the regular expression operator, ?, allows zero or one occurrences of its operand, *address/street/*. The result of this last is

Cname (cname) Dink Inc. FemtoSoft KiloSoft Dink St No Way Speed Way

The syntactic sugar can be used at either end of a regular T-selector.

company/address/street/cname;

is the same as

address/street/cname in company;

or

street/cname in company/address;

or

cname in company/address/street;

where we have omitted the brackets, [..], around the projection list, since only one attribute is projected.

To project multiple attributes from some deeper level is also easy.

[red union of [red union of [num, cname] in street] in address] in company;

is

[num, cname] in company/address/street;

Selection introduces Boolean selection conditions. Suppose we wanted to find tuples of *company* where *address/city* is Dinkton.

DinkCity <- where ([] where city="Dinkton" in *address*) in *company*; which, of course, becomes the syntactic sugar

DinkCity <- where *address/city*="Dinkton" in *company*;

Note that attribute paths in a selection condition have a different interpretation from attribute paths serving as projections. The nullary expression, [] where *city*="Dinkton" in *address*, is just the Boolean "something where city = 'Dinkton' in address" of section 1.1.1.

Now move from T-selectors to the second unary relational operator, **grep**. In select mode (section 1.1.3), **grep** is not recursive:

 ${f grep}$ "Way" ${f in}$ company

returns an empty result.

grep(*attr,pos*) "Dink" in *company* gives, because "Dink" is a substring of the top-level scalar attribute, *cname*

(cname	addres	8)	attr	pos
	(street		city	codezip)		
	(num)	cname)					
Dink Inc.	1	Dink St	Dinkton	D1N3T0		cname	0
	13	Dink St					
	1	Dink St	Dinkville	D1N3V1			

We must be explicit to go deeper. For example, to find attributes containing "Dink" in *street*, we can proceed in two steps

let *streetDink* be grep(*attr*) "Dink" in *street*;

[red union of [red union of [attr] in streetDink] in address] in company

or in one step

[red union of [red union of [attr] in grep(attr) "Dink" in street] in address] in company

Syntactic sugar makes this shorter.

attr in grep(attr) "Dink" in company/address/street

Now that we have established two meanings for expressions concatenating attributes into paths, depending whether the context is a projection or a condition, we can easily interpret such paths if they appear as arguments or operands of the binary operators.

Update..change uses a third interpretation of the same syntactic sugar. Changing **Dinkton** to **Dinkburg** in *city* in *address* in *company* at the end of section 1.3 can be written:

update *company/address* change

city <- if city="Dinkton" then "Dinkburg" else city;</pre>

(but not

update *company* change

address/city <- if city="Dinkton" then "Dinkburg" else city; since path expressions are not maeningful on the left of an assignment). The first abbreviates

update *company* change

$\mathbf{update} ~ \mathit{address} ~ \mathbf{change}$

city <- if city="Dinkton" then "Dinkburg" else city;

We have, thus far, introduced only one regular expression operator, *i.e.*, "?". More such operators appear as we elaborate further in the next three sections.

3 Recursive Nesting

We were led to nested relations by the consideration that limiting some data types relative to others complicates a programming language by multiplying special cases. A similar consideration leads to recursive data types, in our case, recursive nesting: why should a relation not be an attribute of itself? The bill of materials appears to be a potential application.

$assembly \\ (component$	subas (qty	esembly component	subas	ssembly))
			(qty	component	subas	sembly)
					(qty	component	subassembly)
wallplug	1	cover	1	plate	\mathcal{DC}			
			2	screw	\mathcal{DC}			-
	1	fixture	2	plug	1	mould	\mathcal{DC}	-
					2	connector	\mathcal{DC}	
			2	screw	\mathcal{DC}			-

(The null value, \mathcal{DC} , is one of two possible nulls, and stands for irrelevant, or "don't care".) To find all components from this, the domain algebra must allow recursive definitions of virtual attributes across levels of nesting.

let *cmpnt* be *component* union [red union of *cmpnt*]

in subassembly;

As with relational recursion, *cmpnt* is initially empty. At the lowest level, it then takes on the value of *component*. Apart from the recursion and initialization, we recognize the idiom that translates to the syntactic sugar (*subassembly*)?component.

Considering initialization and recursion gives the Kleene star in the syntactic sugar.

let cmpnt be $(subassembly/)^*component;$

Alternatively, we could write the projection directly.

 $assembly/(subassembly/)^*component;$

These each give

(component)
wallplug
cover
fixture
plate
screw
plug
mould
connector

The Kleene star gives our syntactic sugar half the capabilities of regular expressions. The other half, alternatives, will appear in section 5.

Implementing recursive nesting adds nothing new. The .id attribute is enough. The flat relations for assembly are assembly(component, subassembly) and .subassembly(.id, component, subassembly), with .subassembly/subassembly and .subassembly/.id sharing surrogate values to build the recursion.

assembly					
(component	subassembly)	(.id	qty	component	subassembly)
wallplug	13	13	1	cover	27
		13	1	fixture	24
		27	1	plate	\mathcal{DC}
		27	2	screw	\mathcal{DC}
		24	2	plug	31
		24	2	screw	\mathcal{DC}
		31	2	mould	\mathcal{DC}
		31	2	connector	\mathcal{DC}

2

The mechanisms we have explored for recursive nesting allow us to exploit fully another useful feature, the "wildcard", even for fixed nesting. Suppose we wanted to project *cname* from all levels of *company* (section 2), but did not wish to write down the attribute names for intermediate levels (or perhaps do not even know what they are).

Cname <- [cname union [red union of [red union of cname] in .] in .] in company;

or

$$Cname <- \ company/(./.)?\ cname;$$

We can go further, and not even bother with how many levels there are, by using domain algebra recursion

let *cn* be *cname* union [red union of *cn*] in .; let *cname* be *cn*;

Cname < - [red union of cname] where !null(cname) in [cn] in company;

This works through intermediate levels containing no *cname* attribute if we assume that the absence of an attribute is the same thing as the presence of that attribute with nothing but null values (hence the test for nullity). This is a plausible assumption, being the converse of considering an attribute with all null values in a relation not to be an attribute of the relation (section 1.1.5). The syntactic sugar for this uses the Kleene star

Cname < - company/(./)*cname;

which can be abbreviated to

Cname < - company/*/cname;

or even

 $Cname <- \ company//cname;$ To find *cname* more than one level down, $Cname <- \ company/(./)+cname;$

²It is instructive to write the code that "explodes" the bill of materials in this recursively nested form. It follows the lines of the explosion of the flat BoM, but is a little more involved. We write it explicitly without sugar (or further explanation except to note the use of syntax to initialize a recursion).

is the syntactic sugar for

let *cn* be *cname* union [red union of *cn*] in .; let cname be cn; Cname < - [red union of cname] where !null(cname) in [red union of cn] in company;

If we also allow specialized constructs supported by **grep**, such as

[<any attribute in list>] [<any attribute not in list>] <regex> | <regex> alternatives e.g., \backslash , \backslash *, \backslash +, \backslash ?, \backslash !, \backslash (, \backslash), \backslash \$, \backslash [, \backslash], \backslash ^, \backslash |, ... $\langle \text{metasymbol} \rangle$

we can multiply them with * or + in the same way. For example, to find *cname* but not in *street*, $Cname < - \ company/([^(street)]/)*cname;$

would be the syntactic sugar for

let cn be cname union [red union of cn] in [^(street)];

let cname be cn;

Cname < - [red union of *cname*] where !null(*cname*) in [*cn*] in *company*; Parentheses are needed around *street* in the bracketted exclusion list so it is not taken as an exclusion of the letters s, t, r, or e, which is the interpretation of this regular expression when applied to strings as opposed to attribute names.

The most general expression we can make with this syntactic sugar is $company(/.)^*$

which *flattens company* to

(cname	city	codezip	num)
Dink Inc.	\mathcal{DC}	\mathcal{DC}^{-}	\mathcal{DC}
\mathcal{DC}	Dinkton	D1N3T0	\mathcal{DC}
\mathcal{DC}	Dinkville	D1N3V1	\mathcal{DC}
Dink St.	\mathcal{DC}	\mathcal{DC}	1
Dink St.	\mathcal{DC}	\mathcal{DC}	13
Femtosoft	\mathcal{DC}	\mathcal{DC}	\mathcal{DC}
\mathcal{DC}	Rapa City	R8P8C1	\mathcal{DC}
No Way	\mathcal{DC}	\mathcal{DC}	10000
\mathcal{DC}	Adroit	48207	\mathcal{DC}
Speed Way	\mathcal{DC}	\mathcal{DC}	314

given a suitable definition of **union** for relations with only some, or no, common attributes. This flattening is simpler for a recursively nested relation:

assembly / /

gives

$(qty \ \mathcal{DC})$	<pre>component) wallplug</pre>
1	cover
1	fixture
1	plate
2	screw
2	plug
1	mould
2	connector

Of course, the hierarchical relationships among the components are lost: this operation does not generate the ternary flat relation retaining these relationships.

Finally, we can finish the code we started at the end of section 1.3 to find all paths in the nested relation *company*.

(Because the **union** is qualified by attributes in [*path* **union** *path'*], this code is now too specialized to be reducible to simple syntactic sugar such as

company//transpose(attr)/path.)

The result is

(path) city/address/street/num city/address/street/cname city/address/city city/address/codezip city/cname

4 Links: Common Subexpressions and Crossreferences

Nested relations so far, even with recursive nesting, form hierarchies which are strict trees. This precludes the possibility of data sharing, and certainly of cycles. For example, Dink St is represented twice in the example of section 1.3. Since there is nothing in the flat relational data structure we use to implement nested relations that precludes directed acyclic graphs (DAGs) from being represented, or even cycles, we should look at this possibility for saving storage and for the further advantages of data sharing such as the impossibility of inconsistently updating one branch of a tree and not the other.

The bill of materials of section 3 provides an example of data sharing. Suppose we also wished to describe the subassembly fixture as a product, and hence as an assembly. Given only a tree structure, we would be forced to repeat the data for fixture, one level higher up in the nesting.

$assembly \\ (component$	subas $(qty$	esembly component	$subassembly \ (qty \ component$		subassembly))
			(10	1	(qty	component	subassembly)
wallplug	1	cover	1	plate	\mathcal{DC}			
			2	screw	\mathcal{DC}			-
	1	fixture	2	plug	1	mould	\mathcal{DC}	-
					2	connector	\mathcal{DC}	
			2	screw	\mathcal{DC}			-
fixture	2	plug	1	mould	\mathcal{DC}			
			2	connector	\mathcal{DC}			
	2	screw	\mathcal{DC}					-

and this would be reflected in the implementation.

assembly		.suba	ssemi	bly	
(component	subassembly)	(.id	qty	component	subassembly)
wallplug	13	13	1	cover	27
fixture	14	13	1	fixture	24
		27	1	plate	\mathcal{DC}
		27	2	screw	\mathcal{DC}
		24	2	plug	31
		24	2	screw	\mathcal{DC}
		31	2	mould	\mathcal{DC}
		31	2	connector	\mathcal{DC}
		14	2	plug	21
		14	2	screw	\mathcal{DC}
		21	2	mould	\mathcal{DC}
		21	2	connector	\mathcal{DC}

Since the implementation is easily able to save this redundancy, by changing a surrogate,

assembly	. subassembly							
(component	subassembly)	(.id	qty	component	subassembly)			
wallplug	13	13	1	cover	27			
fixture	24	13	1	fixture	24			
		27	1	plate	\mathcal{DC}			
		27	2	screw	\mathcal{DC}			
		24	2	plug	31			
		24	2	screw	\mathcal{DC}			
		31	2	mould	\mathcal{DC}			
		31	2	connector	\mathcal{DC}			

we should have a way of indicating value sharing in the programmer representation. We can suggest using a *label* of some sort, which we are fairly free to invent.

assembly

	(component	subassembl	y
--	------------	------------	---

(component	(qty	component	subassembly	(qty	component	subas	ssembly)
				(1.0	1	(qty	component	subassembly
wallplug	1	cover		1	plate	\mathcal{DC}		
			—	2	screw	\mathcal{DC}		
-	1	fixture	fixture:	2	plug	1	mould	\mathcal{DC}
						2	connector	\mathcal{DC}
			_	2	screw	\mathcal{DC}		
fixture	<pre>subassembly:fixture</pre>							

)

The term "label" is reminiscent of **goto** in programming languages, which was considered undesirable because obscure code can result. In fact, shared subexpressions better resemble subroutines, since they have clear terminations as well as starts. We will use *subex* technically instead of "label" (although informally, we may use "label" since it is an English word).

We say we are "fairly free to invent" a representation of labels because the above is only a print representation of the data. We have yet to discuss a true programmer representation, to be used, for instance, in initializing (nested) relations. Now is the appropriate occasion to do this.

There are many possibilities, but we introduce a "mark-up" representation called xML, where $x \in \{G, HT, SG, X, ...\}$, so that xML has the characteristics of all the markup notations that originated with GML. This is quite a redundant representation, because it repeats the schemas for the data that we have been assuming were declared in the program prior to use (see section 5). It

has the attraction of freeing us from such declarations should we want or need to avoid them. We revisit this discussion in section 6

We start with the simple, flat relation, BoM(assembly,qty,subassembly), from section 1.1.

```
<Bom>
```

```
<assembly>wallplug</assembly> <qty>1</qty> <subassembly>cover</subassembly>
<assembly>wallplug</assembly> <qty>1</qty> <subassembly>fixture</subassembly>
<assembly>cover</assembly> <qty>1</qty> <subassembly>plate</subassembly>
<assembly>cover</assembly> <qty>2</qty> <subassembly>screw</subassembly>
<assembly>fixture</assembly> <qty>2</qty> <subassembly>plug</subassembly>
<assembly>fixture</assembly> <qty>2</qty> <subassembly>plug</subassembly>
<assembly>fixture</assembly> <qty>2</qty> <subassembly>screw</subassembly>
<assembly>plug</assembly> <qty>2</qty> <subassembly>connector</subassembly>
<assembly>plug</assembly> <qty>1</qty> <subassembly>mould</subassembly>
</Bom>
```

This straightforward way of encoding a relation is fine if there are no complications such as omitted values. A more elaborate *x*ML representation introduces a hidden tag, <.tuple>, to separate tuples.

```
<Bom>
```

```
<.tuple>
  <assembly>wallplug</assembly> <qty>1</qty> <subassembly>cover</subassembly>
  </.tuple>
  <.tuple>
  <assembly>wallplug</assembly> <qty>1</qty> <subassembly>fixture</subassembly>
  </.tuple>
  <.tuple>
  <assembly>cover</assembly> <qty>1</qty> <subassembly>plate</subassembly>
  </.tuple>
  <.tuple>
  <assembly>cover</assembly> <qty>2</qty> <subassembly>screw</subassembly>
  </.tuple>
  <.tuple>
  <assembly>fixture</assembly> <qty>2</qty> <subassembly>plug</subassembly>
  </.tuple>
  <.tuple>
  <assembly>fixture</assembly> <qty>2</qty> <subassembly>screw</subassembly>
  </.tuple>
  <.tuple>
  <assembly>plug</assembly> <qty>2</qty> <subassembly>connector</subassembly>
  </.tuple>
  <.tuple>
  <assembly>plug</assembly> <qty>1</qty> <subassembly>mould</subassembly>
  </.tuple>
</Bom>
```

The straightforward form can work with nesting. Here is the xML representation of the recursively nested bill of materials, including a linking label, subex, and the additional entry for fixture.

```
<assembly>
<component>wallplug</component>
<subassembly>
<qty>1</qty>
```

```
<component>cover</component>
    <subassembly>
      <qty>1</qty>
      <component>plate</component>
      <qty>2</qty>
      <component>screw</component>
    </subassembly>
    <qty>1</qty>
    <component>fixture</component>
    <subassembly subex="fixture">
      <qty>2</qty>
      <component>plug</component>
      <subassembly>
        <qty>1</qty>
        <component>mould</component>
        <qty>2</qty>
        <component>connector</component>
      </subassembly>
      <qty>2</qty>
      <component>screw</component>
    </subassembly>
  </subassembly>
  <component>fixture</component>
  <subassembly:fixture/>
</assembly>
```

Note that the label, fixture (same name as the *component*, but that poses no problem), is the value of the *x*ML "attribute", ³ subex, of the *subassembly* tag. Note also that the second tuple of *assembly* is a type:label pair (see section 5). It has a crossreference instead of a value, so we combine the tag with its endtag, giving <subassembly:fixture/>. (A more conventional *x*ML alternative could be <subassembly link="fixture"/>, but we can avoid the extra keyword.)

In the above xML, some of the relational attributes are missing—those corresponding to \mathcal{DC} in the print representation—but they are all the last attribute, *subassembly*, in the tuple, and so no confusion arises. Since it may help the reader, we show the same xML, with the <.tuple> hidden tag.

```
<assembly>
<.tuple>
<component>wallplug</component>
<subassembly>
<.tuple>
<component>cover</component>
<subassembly>
<.tuple>
<qty>1</qty>
<component>plate</component>
</.tuple>
<.tuple>
<.tuple>
</tuple>
<.tuple>
</tuple>
```

³Unfortunately, "attribute" is used both in xML and in relations, with different meanings. We use it mainly in the relational sense in this paper, but hope that context will reveal our occasional use in the xML sense.

```
<component>screw</component>
          </.tuple>
        </subassembly>
      </.tuple>
      <.tuple>
        <qty>1</qty>
        <component>fixture</component>
        <subassembly subex="fixture">
          <.tuple>
            <qty>2</qty>
            <component>plug</component>
            <subassembly>
              <.tuple>
                <qty>1</qty>
                <component>mould</component>
              </.tuple>
              <.tuple>
                <qty>2</qty>
                <component>connector</component>
              </.tuple>
            </subassembly>
          </.tuple>
          <.tuple>
            <qty>2</qty>
            <component>screw</component>
          </.tuple>
        </subassembly>
      </.tuple>
    </subassembly>
  </.tuple>
  <.tuple>
    <component>fixture</component>
    <subassembly:fixture/>
  </.tuple>
</assembly>
```

Links can also make cyclic references. For example, companies can be customers of (other) companies, so if we added a *customer* attribute to *company* (section 1.3), it could contain links back to *company*. As long as a company is unlikely to be its own customer, the data would not be cyclic, but the schema is. Here is the print representation of *company*, with a *customer* attribute. And just to show that even cyclic *data* causes no problem in principle, we make Dink Inc. its own customer.

company	(cname	address					customer)
		(street			city	codezip)	
		(num	cname))			
Dink	Dink Inc.	1	Dink St		Dinkton	D1N3T0	company: Dink
		13	Dink St				
		1	Dink St		Dinkville	D1N3V1	
\mathcal{DC}	FemtoSoft	10000	No Way		Rapa City	R8P8C1	$company: {\tt Dink}$
\mathcal{DC}	KiloSoft	314	Speed Way		Adroit	48207	\mathcal{DC}

The implementation representation with flat relations and surrogates adds an *.id* attribute to the outer relation, *company*:

comp	pany		
(.id	cname	address	customer)
7	Dink Inc.	37	7
\mathcal{DC}	FemtoSoft	22	7
\mathcal{DC}	KiloSoft	48	\mathcal{DC}

and uses the same inner relations as in section 1.3:

. address					et	
(.id	street	city	codezip)	(.id	num	cname)
37	144	Dinkton	D1N3T0	144		Dink St
37	156	Dinkville	D1N3V1	144	13	Dink St
22	132	Rapa City	R8P8C1	156	1	Dink St
48	111	Adroit	48207	132	10000	No Way
				111	314	Speed Way

The xML representation is

```
<company>
 <.tuple coex=Dink>
  <cname>Dink Inc.</cname>
  <address>
    <street>
      <num type=integer>1</num> <cname>Dink St</cname>
      <num type=integer>13</num> <cname>Dink St</cname>
    </street>
    <city>Dinkton</city>
    <codezip>D1N3T0</codezip>
    <street>
      <num type=integer>1</num> <cname>Dink St</cname>
    </street>
    <city>Dinkville</city>
    <codezip>D1N3V1</codezip>
  </address>
  <customer:company:Dink/>
 </.tuple>
  <cname>FemtoSoft</cname>
  <address>
    <street>
      <num type=integer>10000</num> <cname>No Way</cname>
    </street>
    <city>Rapa City</city>
    <codezip>R8P8C1</codezip>
  </address>
  <customer:company:Dink/>
  <cname>KiloSoft</cname>
  <address>
    <street>
      <num type=integer>314</num> <cname>Speed Way</cname>
    </street>
    <city>Adroit</city>
    <codezip>48207</codezip>
  </address>
</company>
```

where we use a <.tuple> tag to identify the first outer tuple, and give it a coex (*x*ML-)attribute in order to label it "Dink" for the cross-reference. Unlike the fixture *subex* attribute for the Bill of Materials example, the link tags <customer:company:Dink/> have an extra type qualifier because the referenced attribute has a different name from the referring attribute.

We have used **coex**, for "co-expression", instead of **subex**, as a pragma, since a cross-reference link gives rise to cycles in the schema, unlike a common subexpression link. In general, "coex" and "subex" are interchangeable in the syntax. If we are labelling tuples, we must identify them with .tuple tags, which have label (xML-)attributes. Between this and the BoM example, we see how we can label either a whole relation or an individual tuple. Note that links do not behave as "object identifiers": many tuples may all have the same link value.

The base type **string** is assumed. Data to be translated to **integer** is typed. (Since xML is sequential, we could type an attribute, such as *num*, only the first time it appears, and assume the type persists over subsequent appearances unless explicitly changed.)

4.1 Queries

For T-selector queries, links require nothing new. In the case of common subexpressions, where the data forms a DAG, the shared data can be thought of, for query purposes, as replicated at each sharing position, thus converting the DAG to a tree. In the case of cross-references, or co-expressions, cycles appear, and this means that we may need to use the query mechanisms introduced for recursive nesting, notably the Kleene star.

Here is a projection on the company name.

let cn be cname union [red union of cn] in customer; let cname be cn; [red union of cname] in [cn] in company

or

company/(customer/)*cname

gives all three company names, Dink Inc., FemtoSoft, and KiloSoft, in a relation with attribute *cname*. In principle, this code would loop forever, because of the data cycle on Dink Inc., but since the result is a relation, the loop can stop as soon as the relation stops changing: we discover that Dink Inc. is the only addition each time around the cycle, and it was already in the answer.

5 Union Types: Alternative Forms of Attributes

In section 4, we used data constructs such as subassembly:fixture and company:Dink and called them type:label pairs, without saying anything about types. We also mentioned schema declarations. Allowing alternatives for attributes, such as *address* being either a simple string or the nested construct we have used so far, introduces dynamic typing, and requires us to start with these issues.

We might make the following declarations for *company* as we have formulated it so far.

domain cname string; domain city string; domain codezip string; domain num integer; domain street(num,cname); domain address(street,city,codezip); domain customer company; domain company(cname,address,customer); relation company(cname,address,customer); 4

⁴The declarations for the recursively nested *assembly* would include **domain** *subassembly(qty, component, subassembly)*; **relation** *assembly(component, subassembly)*; Here, nested relations are declared as attributes (**domain**) which may be of base type (**integer**, **string**, ..) or themselves relations. Note that the outer relation is now also declared as a domain. The linked attribute, *customer*, is declared to be of "type" *company*, in order for us to be able to refer to, for example, *customer/cname*, where *cname* is an attribute of *company*.

Thus, there are both base and composite types, and we consider relations or domains that are nested relations to be the latter.

To allow *address* to be a simple string as an alternative to the composite (*street, city, codezip*), we could write

domain *stctcd* (*street,city,codezip*); **domain** *address* **string**|*stctcd*;

We could also allow *customer* to be a single value, as we have done so far, or a nested relation with multiple values. The values could either be strings or links to *company*, as above.

domain *namcomp cname*|*company*;

domain *custrel*(*namcomp*);

domain customer cname company custrel;

With these replacements for *address* and *customer*, the print representation of *company* becomes

<i>company</i> (Dink	<i>cname</i> Dink Inc.	address stctcd:			customer company:Dink)
Dim	<i>b</i> 1111 1110.	(street (num cname)	city	codezip)	company D min	
		1 Dink St 13 Dink St	Dinkton	D1N3T0		
		1 Dink St	Dinkville	D1N3V1		
\mathcal{DC}	FemtoSoft	10000 No Way, Rapa	City R8P8C	1	custrel: (namcomp) company:Dink cname:Joe Dink Jr cname:Bill Hatch	
\mathcal{DC}	KiloSoft	314 Speed Way, Adr	oit 48207		cname:Joe Dink III	

Note that we do not mention the base types (string, integer,..) explicitly: since this is print representation, they are displayed as strings.

The implementation representation with flat relations and surrogates adds an *.id* attribute to the outer relation, *company*.

-	oany cname Dink Ir FemtoSo KiloSof	oft 10	st 0000 No Way,	-	•		<i>customer</i>) company:7 custrel:17 ame:Joe Dink	7
	.stcto (.id 37 37	street 144	<i>city</i> Dinkton Dinkville)	num 1 13	<i>cname</i>) Dink St Dink St Dink St	
			17 com 17 cna	ncomp pany:7 me:Joe D me:Bill 1)		

(We have omitted to identify base types, this time to simplify the presentation. If the schema is incomplete or not available, type information will be needed in the implementation.) In xML representation,

```
<company>
 <.tuple coex=Dink>
  <cname>Dink Inc.</cname>
  <address type=stctcd>
    <street>
      <num type=integer>1</num> <cname>Dink St</cname>
      <num type=integer>13</num> <cname>Dink St</cname>
    </street>
    <city>Dinkton</city>
    <codezip>D1N3T0</codezip>
    <street>
      <num type=integer>1</num> <cname>Dink St</cname>
    </street>
    <city>Dinkville</city>
    <codezip>D1N3V1</codezip>
  </address>
  <customer:company:Dink/>
 </.tuple>
  <cname>FemtoSoft</cname>
  <address>10000 No Way, Rapa City R8P8C1</address>
  <customer type=custrel>
    <namcomp:company:Dink/>
    <namcomp type=cname>Joe Dink Jr</namcomp>
    <namcomp type=cname>Bill Hatch</namcomp>
  </customer>
  <cname>KiloSoft</cname>
  <address>314 Speed Way, Adroit 48207</address>
  <customer type=cname>Joe Dink III</customer>
</company>
```

Note the difference between the tags <namcomp:company:Dink/> and <namcomp type=cname>Joe Dink Jr</namcomp>. In the second, Joe Dink Jr is a stored value, and so must appear between separate start and end tags, and type information must be given as an xML attribute. In the first, there is no value, and while we could have used xML attributes, as in <namcomp type=company link=Dink/>, the more compact form does not need new keywords, and supports unlimited expansion of types if needed.

5.1 Queries

6 Schema Discovery

Given a nested relation for which we may not know the schema, it would be nice to find it out. For example, given the relation of section 1.3

 $company \\ (cname address) \\ (street city codezip) \\ (num cname)$

we would like to generate a schema relation

schema $(attr$	schema (attr	schema) $(attr)$)
cname			
address	street	num	
		cname	
	city codezip		

which gives its schema as relational data.

We do it with a combination of **transpose** and **relation**, both from section 1.3, in a recursive attribute definition.

let attr be self; let schema be transpose(attr) union [attr,schema] in .; schema in company

where we see constructs we used in sections 1.3 and 3 to find all attribute paths.

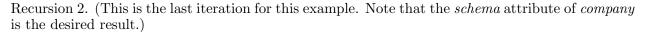
We can expand this example to show the steps of the recursion.

Recursion 0.

company (cname	address (street (num	cname)	attr	$schema \ (attr)$	city	codezip)	attr	$schema \ (attr)$) attr	$schema \ (attr)$
			street	num			address	city	company	cname
				cname				codezip		
Dink Inc.	1	Dink St			Dinkton	D1N3T0				
	13	Dink St								
	1	Dink St			Dinkville	D1N3V1				
FemtoSoft	10000	No Way			Rapa City	R8P8C1				
KiloSoft	314	Speed Way			Adroit	48207				

Recursion 1. (Since the data in *company* does not affect the result, we leave it out in the following.)

company (cname	address (street (num	cname)	attr	$schema \ (attr)$	city	codezip)	attr	$schema \ (attr$	$schema) \ (attr)$) attr	$schema \ (attr$	$schema) \ (attr)$
			street	num cname			address	city codezip street	num cname	company	cname address	city codezip



company (cname	address (street (num	cname)	attr	schema $(attr)$	city	codezip)	attr	schema (attr	schema) (attr)) attr	$_{(attr}^{schema}$	$_{(attr}^{schema)}$	$schema) \ (attr)$
			street	num cname			address	city codezip street	num cname	company	cname address	city codezip street	num cname

We can also extract the types of the attributes.

let *attr* be self;

let schema be transpose(attr, type) union [attr,type,schema] in .;

to give

schema (attr	type	schema (attr	type	$schema \ (attr$	type)))
cname address	${f string}\ {\cal DC}$	street	\mathcal{DC}	num cname	integer string	
		city codezip	${f string}\ {f string}$		C	

This is useful when the schema has links (even if they are cyclic). In the extended *company* relation of section 4, *customer* may be, cyclically, a *company*.

company	(cname	address					customer)
		(street			city	codezip)	
		(num)	cname)			
Dink	Dink Inc.	1	Dink St		Dinkton	D1N3T0	Dink
		13	Dink St				
		1	Dink St		Dinkville	D1N3V1	
\mathcal{DC}	FemtoSoft	10000	No Way		Rapa City	R8P8C1	Dink
\mathcal{DC}	KiloSoft	314	Speed Way		Adroit	48207	\mathcal{DC}

Here is the schema, generated by the code above.

schema ($attr$	type	$schema \ (attr$	type	schema (attr	type)))
cname address	${f string}\ {\cal DC}$	street	\mathcal{DC}	num cname	integer string	
		city codezip	${ m string} \ { m string}$		0	

customer company

where the possibly cyclic recursion is captured by the self-reference in type *company*.

A more complicated example is from section 5. Here, *address* is a **string** or a tuple, *stctcd*(*street, city, codezip*),

and *customer* is a **string**, *cname*, or a cyclic reference to *company*, or, thirdly, a relation, *custrel*,

of many companies or *cnames*. For this example, the above code gives

$schema \ (attr$	type	$schema \ (attr$	type	$schema \ (attr$	type	schema)))
cname	\mathbf{string}						
address	stctcd	street cname city codezip	relation string string string	num DC DC DC	integer	\mathcal{DC}	
address	\mathbf{string}	\mathcal{DC}					
customer	company	\mathcal{DC}					
customer	custrel	namcomp	company	\mathcal{DC}			
		namcomp	cname	\mathcal{DC}			
customer	cname	\mathcal{DC}					

For recursive nesting, the original code works, but gives us all paths. Not to worry: any particular relation is finite. Thus the nested bill of materials in section 3

)

)

assembly (component subassembly subassembly (qty component (qty)componentsubassembly (qty component) wallplug 1 plate 1 cover 2 screw 2 mould 1 fixture plug 1 2 connector 2 screw will give schema (attr schema) (attr schema) (attr schema) (attr)component subassembly qty component subassembly qty component subassembly qty component It would be nice to shorten this result to schema (attr schema) (attr)component subassembly qty component subassembly and reveal the basic two relations, assembly(component, subassembly), and subassembly(qty,component,subassembly). We can start by stopping the recursion when ([attr] in schema) = schema/attrWe can do this by introducing a terminating condition into the recursion. let *attr* be self; let schema target ([attr] in schema) = schema/attr**be transpose**(*attr*) **union** [*attr,schema*] **in** .; This stops, in our example, after the second iteration, with

schema (attr schema) (attr schema) (attr)component subassembly qty component subassembly qty component

and we are left with removing the second level of nesting.

If this were a LISP problem, we could define a function, *butlast*, and supporting functions, to find all but the last element of a list:

> *butlast*(*schema*) **is** *copybutlast*(*car*(*schema*),*cdr*(*schema*)); copybutlast(s1,s2) is if null(cdr(s2)) then s1 else copybutlast(absorb(s1, car(s2)), cdr(s2));absorb(s1.s2) is if null(s1) then s2 else cons(car(s1), absorb(cdr(s1), s2));

So all we have to do is to define the basic LISP functions, car, cdr, cons, and null for recursively nested relations. In the special case of a recursive relation with a single non-nested and a single recursively nested attribute, which we have in schema, these are

car(schema) is

[red union of (attr ijoin [attr'] in schema)] in schema given {let attr' be attr};

cdr(schema) is schema/schema;

cons(schema, x) is [attr, schema] in schema

given {let attr be attr';

let schema be (attr in equiv union of relation(attr') by attr) join if [] in x then x else true

 $};$ null(x) is not [] in x;

In this mapping from recursively nested relations to a special case of LISP, an atom is a flat relation, a list is a recursively nested relation, and NIL is an empty relation. (Or \mathcal{DC} . NIL satisfies not [] in NIL, and so false is [] in NIL, pretty close to LISP's identification of NIL with false.) LISP is more general than this sketch because its lists can bifurcate. If we were to generalize to arbitrary recursively nested relations, we would have a structure of potentially any fanout. Since it is clear that much work would be needed to make this kind of code efficient for large structures on secondary storage, and since it is at present unclear if the enterprise would eventually be useful, we do not pursue it. We also do not take space to make comments on the above definitions, save for two. The **given** construct follows an expression with statements needed to give values to components of the expression. Note in the last line of the definition of *cons* that a relation can be joined with true: true is the nullary projection of a non-empty relation, and is the identity of join (joining with false, the nullary projection of an empty relation, on the other hand, gives an empty relation (which is not **false**) on the attributes of the other operand).

As well as finding the whole schema, as we have spent this section discussing, we might want to know partial schemas. We introduce a variant of **transpose** in the relational algebra (**transpose**) is a domain algebra operator): **attribsOf** returns a relation on a single attribute of type **attrib** whose name is given as a parameter, which relation contains all the attributes of the operand, in this case whether scalar or not. Thus

attribsOf(*attr*) company

gives

```
attr
cname
address
customer
```

We can take the next step, having found the attributes of company, and ask about the attributes of address within company

 $\mathbf{attribsOf}(attr) \ company/address$

gives

attr street city codezip

and so on, like expanding a tree compressed on a display screen click by click.

(The difference, that **attribsOf** provides all attributes while **transpose** provides only scalar attributes, leads to an easy implementation to discover whether a relation is flat or not.

flat(r) is (attribsOf(attr) r = [red union of transpose(attr)] in r;

By the way, with our above definition that atoms are flat relations, atom(r) = flat(r).)

7 Marked Up Text

We have seen a number of advantages for data representation and coding in the foregoing, but we have not always gained by introducing semistructured data. For example, the semistructured representation of both wallplug and fixture in section 4 is forced into contortions to accomplish the same result as the simple flat relation shown in section 1.1.1. A significant advantage of the semistructured approach appears when we embed it in *text* data to give *marked-up text*. Before we investigate marked-up text, we must say some things about plain text.

7.1 Text

Text seems to be very different from relations, having no intrinsic repetitions which would give rise to tuples, and depending absolutely on the order of its elements. However, if we capture the order by sequencing attributes, text can indeed seem to be a set of tuples. We could suppose that text has implicit attributes giving characters and their sequence, or words and their sequence, or sentences and their sequence, and so on. Thus, a text such as

Ted married Alice in 1932. Their children, Mary (1934) married Alex in 1954 (Joe was born to Mary and Alex in 1956) and James (1935) married Jane in 1960 (James and Jane had Tom in 1961 and Sue in 1962).

might be seen as the following relation, where we temporarily introduce attributes whose names begin with "." and might be system-generated.

(.char	. charseq	.word	. words eq	.sent	. sentsq	.para	. paraseq)
Т	1	Ted	1	Ted1932	1	Ted1962).	1
е	2	Ted	1	Ted1932	1	Ted1962).	1
d	3	Ted	1	Ted1932	1	Ted1962).	1
	4		1	Ted1932	1	Ted1962).	1
m	5	married	2	Ted1932	1	Ted1962).	1
a	6	married	2	Ted1932	1	Ted1962).	1
r	7	married	2	Ted1932	1	Ted1962).	1
r	8	married	2	Ted1932	1	Ted1962).	1
i	9	married	2	Ted1932	1	Ted1962).	1
е	10	married	2	Ted1932	1	Ted1962).	1
d	11	married	2	Ted1932	1	Ted1962).	1
:	:	:	:	:	:	:	:
1	198	1962	49	Their1962)	2	Ted1962).	1
9	199	1962	49	Their1962)	2	Ted1962).	1
6	200	1962	49	Their1962)	2	Ted1962).	1
2	201	1962	49	Their1962)	2	Ted1962).	1
)	202)	50	Their1962)	2	Ted1962).	1
•	203	•	51	Their1962)	2	Ted1962).	1

This may seem wasteful, but that is not an issue. The text is stored as a sequence of ASCII (or other encoding) characters as usual, not as an ungainly relation all spelled out as above. An expansion such as the above would probably never be created, but projections and selections and other relational operators on these attributes are now available. Whether they are used effectively or clumsily is up to the programmer.

We can reinforce this point, and also gain flexibility, if we have an *attribute generator* instead of the collection of system-generated new names (.char, etc.) all needing to be remembered by the programmer. We can call such an attribute generator **text2attr** and use it as an operator on text which creates a relation (or, in the domain algebra, as an operator on text attributes which creates a nested relation). **Text2attr** takes parameters of types pattern, string, and integer (or some more specialized form of sequencing type). The pattern is used to define the element, and the programmer can use the string and integer to name the new attributes. (Either, but not both, of these two is optional, in case only the element or only the sequence is wanted.) Here are some simple definitions for character, word, and sentence elements.

text2attr(".", char, charseq)
text2attr("\w", word, wordseq)
text2attr("(.|\n)*?(\.|\,)", sent, sentseq)

where w stands for any word and n meansnew line. (apart from ' and _), which we saw above is treated as words, and $\hat{}$ and \$ mean start and end of lines as usual.

For elements that are hierarchically related, **text2attr** could take a sequence of these triples/pairs of parameters, and could thus generate all of the above relation if needed.

text2attr(".", char, charseq, "\w", word, wordseq,

"(.| \n)*?($\.$ | $\,$)", sent, sentseq)

We can also join two texts, using a *grep join* which creates a relation linking them. Like **text2attr**, the grep join supplies a pattern and names attributes for the resulting relation, which the grep join associates with the proper values according to the types of the attributes. We illustrate with the **igrep** join, where the **i** means that an intersection is being done, and also serves to distinguish this binary operator from the unary **grep** of section 1.1.3.

Given two texts, *Jtext*,

On his way to work, Joe met Sue. "Let's go out tonight", he invited her. After work, he met her at her apartment and they went to a movie which he enjoyed a lot.

and *Stext*,

Sitting in a movie with Joe. Sue wondered why she had accepted his invitation. She had just started to paint her apartment and did not really have time.

the result of

JStextlink <- Jtext igrep("\s*",pos1,val1,val2,pos2) Stext;

1	\mathbf{S}

JStext	link		
(pos1	val1	val2	pos2)
4	his	his	64
12	to	to	101
21	Joe	Joe	25
29	Sue	Sue	30
61	invit	invit	68
69	her	her	110
93	her	her	110
93	her apartment	her apartment	110
97	apartment	apartment	114
114	and	and	124
128	to	to	101
131	a movie	a movie	12
156	a	a	12

We see first that one of *val1* or *val2* could have been omitted, since both are necessarily the same. Such omission is allowed in the attribute list for **igrep**: the positions of the parameters within their types determine the source of the value. Thus, omitting one of the string parameters, val1 or val2, would leave only one string parameter, which is taken to be the value from the lefthand operand. The two **integer** parameters, *pos1* and *pos2*, need not be together; the first will be the sequence number (always by character position in the text) from the left-hand operand, and the second from the right.

Second, we note that the pattern, "s*", is a *start* delimiter, saying that the comparisons start only after whitespace; that is to say, at the beginnings of words.

It may be more informative to remove noise words (by a conventional relational join) such as a, and, her, his, and to, giving the more compact result

(pos1	val1	val2	pos2)
21	Joe	Joe	25
29	Sue	Sue	30
61	invit	invit	68
100	her apartment	her apartment	114
131	a movie	a movie	12

Let's consider a symmetric difference variant of the grep join, sgrep, applied to units of lines (instead of words) in Sue's story, above, and the following minor reformatting of Sue's story.

Stext'

Sitting in a movie with Joe, Sue wondered why she had accepted his invitation. She had just started to paint her apartment and did not really have time.

```
SSdiff <- Stext sgrep("^", pos1,val1,val2,pos2) Stext';
```

gives

SS diff			
(pos1	val1	val2	pos2)
20	with Joe, Sue	\mathcal{DC}	\mathcal{DC}
34	wondered why she had accepted his	\mathcal{DC}	\mathcal{DC}
\mathcal{DC}	\mathcal{DC}	with Joe, Sue wondered	20
\mathcal{DC}	\mathcal{DC}	why she had accepted his	43

Apart from using character positions instead of line numbers, this result is identical to the Unix diff operator on these two texts:

2,3c2,3
< with Joe, Sue
< wondered why she had accepted his
--> with Joe, Sue wondered
> why she had accepted his

We also have **ugrep**, analogous to set union, **dgrep**, inspired by set difference, and some other useful variants of binary grep, each with granularity controlled by regular expression patterns.

7.2 Marked-Up Text

The unary grep operator of section 1.1.3, text2attr, and the binary grep operators all allow us to generate attributes from text. Marking up the text with xML tags specifies more elaborate attributes. For example, marking up

Ted married Alice in 1932. Their children, Mary (1934) married Alex in 1954 (Joe was born to Mary and Alex in 1956) and James (1935) married Jane in 1960 (James and Jane had Tom in 1961 and Sue in 1962).

```
\operatorname{to}
```

```
<Person>

<Name>Ted</Name> married

<Family><Spouse>Alice</Spouse> in <Married>1932</Married>. Their children,

<Children><Name>Mary</Name> (<DoB>1934</DoB>) married

<Family><Spouse>Alex</Spouse> in <Married>1954</Married>

        (<Children><Name>Joe</Name> was born to Mary and Alex in <DoB>1956</DoB>

        </Children>)

</Family>

and <Name>James</Name> (<DoB>1935</DoB>) married

<Family><Spouse>Jame</Spouse> in <Married>1960</Married>
```

gives a source not only of the original text, but also of a nested relation

(Name	$\begin{array}{c} Family \\ (Spouse \end{array}$	Married			Family)))
					0	Married			Family)
Ted	Alice	1932	1934 1935	Mary James	Alex Jane	1954 1960	1956 1961 1962	Joe Tom Sue	DC DC DC	,

While we might expect this nested relation to be generated automatically, as semistructured data, from the marked-up text, to generate a nested relation *including* the text requires new attribute names, and hence an attribute generator, **mu2nest**. This takes as parameters a pattern which indicates what is to be excluded from the text, and attributes for the *content* (the text itself), the *start* position, and the *length* of the text. Of the last two, *start* retains sequencing information for the tags, which would be lost on removing the tags, and *length* is redundant (if there is a **length** operator or function) but useful.

Here is the result of executing

nestPerson <- mu2nest("<.*>",content,start,length) Person;

$nestPerson \\ (content$	start	length	Name		1 (1)	Family)
Ted 1962).	1	203	(<i>content</i> Ted	start 1	length) 3	<family><spouse>Alice </spouse></family>	

We see that **mu2nest** extracts the specified attributes at the top level and for unstructured attributes one level down. Since *Family* recursively contains marked-up text, **mu2nest** does not descend into *Family*. Before we consider recursive application of **mu2nest**, we look at special cases. **mu2nest**("<.*>", content,, length) Person;

omits all *start* attributes.

. .

nestPerson <- mu2nest("<.*>",content) Person;

does not need to expand *Name* as above, since *Name* has no further attributes, but for consistency we generate the unary attribute for *Name*.

nestPerson				
(content	Name	Family		
X .	(content)	0		
	(/			
Ted 1962).	Ted	<family><spouse>Alice</spouse></family>		

)

To go deeper, we must apply **mu2nest** to *Family*.

let nestFamily be mu2nest("<.*>", content, start, length) Family;

We will not be able to show this virtual attibute unless we abbreviate all the names, so in the following result, *Person* becomes P, *nestPerson* becomes P', *content* becomes c, and so on in a self-explanatory way. To help us follow the result more clearly, the c, s and l attributes are prefixed by the attributes (P, N, etc.) they are generated for.

P' <-**mu2nest**("<.*>",c,s,l) P; let F' be **mu2nest**("<.*>",c,s,l) F;

(We show the .s and .l attributes underneath the .c attribute to reduce the width further, so each data tuple is on two lines.)

To expand *Person* at all levels, we need recursion. Since this example nests *Children* inside *Family*, and *Family* inside *Children*, we need two mutually recursive attributes. Using the abbreviations,

let
$$F'$$
 be $[F.c, F.s, F.l, S, M, C']$ in mu2nest("<.*>",.c,.s,.l) F ;
let C' be $[C.c, C.s, C.l, N, D, F']$ in mu2nest("<.*>",.c,.s,.l) C ;
 $P' < - [P.c, P.s, P.l, N, F']$ in mu2nest("<.*>",.c,.s,.l) P ;

giv	ves								-														
(P.c	DI	N		`	F'		`	a						C')
P.s	P.l	(N.c N.s	N.l)	(F.c) F.s	Fl)	S (S.c)	M (M.c))	N			D		F'	
		14.8	10.1		1.8	1.1		S.s	S.l)	M.s	M.l)	$_{C.s}^{(C.c)}$	C.l)	N (N.c)	NT I)	(D.c	ות)	
Ted).	Ted			Alice).		Alice			1932			<n>Mary</n>).		N.s Mary	N.l		D.s 1934	D.l		
1	203	1	3		13	191		13	5		22	4		44	201		44	4		50	4		
																	James			1935			
																	121	5		128	4		

(The whole nested relation is too wide to show, but a synopsis, without the *contents* fields for the relation and relational attributes, is

Person (Name	Family (Spouse	Married	Childre	n))
			(DoB	Name	Family)
					(Spouse	Married	Childre	n)
					· -		(DoB	Name)	
Ted	Alice	1932	1934	Mary	Alex	1954	1956	Joe		
1,3	13,5	22,4	50,4	44,4	64,4	72,4	111,4	78,3		
			1935	James	Jane	1960	1961	Tom		
			128,4	121,5	142,4	150,4	182,4	175,3		
							1962	Sue		
							198,4	191,3		

.)

It may be useful to have predefined patterns for special formats, such as \html, \latex, \ps, and \pdf, to save programming in extracting text.

mu2nest("\latex",.content) Person

Once we have a text, we can apply to it all the operations of section 7.1. For instance, we might want to know the sequence numbers of the words that are the names of Ted's and Alice's children.

let words be text2attr("(^| \s*.*\s*|\$)| \p", Name, Pos) Person.content; let childpos be words join [Name] in Family/Children; nestPerson/childpos

giving

(Name	Pos)
Mary	44
Mary	94
James	121
James	156

(Note that the tags are in fact only on words 44 and 121: separating the tags from the text loses that information.)

In section 7.1, above, we linked two apparently related texts, considered as pure texts. With markup tags, we can control such links explicitly. Here are Joe's and Sue's stories marked up.

```
On his way to <B>work</B>,
<C>Joe</C> met <C>Sue</C>. "Let's
go out tonight", he <A>invit</A>ed
her. After work, he <A>met</A> her
at <B>her apartment</B> and they
went to a <A><B>movie</A></B>
with <C>Joe</C>, <C>Sue</C>
wondered why she had accepted his
<A>invit</A>ation. She had just
started to paint <B>her apartment</B>
and did not really have time.
```

The three categories of tag, A, B, and C, in each story correspond to three attributes. Three attributes are not needed for the linking exercise we wish to show, but they illustrate some interesting points. First, note that movie is a value for two different attributes in each story, and, to avoid repeating the word, which would not make sense if we were to extract the text, we violate the usual prescription that tags be strictly nested. Nested tags now have the special meaning that they generate nested relations: we are happy with having flat relations in this example.

Second, we need a convention for extracting the flat relation from the text as marked up with these attribute tags. Specifically, the problem is where will tuples begin and end? We have not provided a nesting <.tuple> tag to specify this. The convention is simple: run through the tags, and as soon as any one repeats, start a new tuple. Thus, the relations we obtain, using mu2nest("<.*>",content) are

(A	В	C)	(A	B		C)
	work	Joe	movie	movie	Joe			
invit		Sue		invit			Sue	
met	her apartment				her	a partment		
movie	movie							

8 Data on the Web

The World Wide Web is structured by the HyperText Markup Language, HTML, a descendent of SGML, and a variant of xML. The Web also includes other documents, such as plain text, PostScript files, Graphical Interchange Format files, etc., but these all appear as leaves in the Web, since they do not contain further links. Only HTML documents include the links from which the Web draws its name.

An HTML document contains semistructured data, with attributes given by xML tags; it also contains text, and so fits into the category of marked up text discussed in the previous section. The present section is thus an application of the above material. There is one additional consideration, which arises from HTML (and shared by XML and other languages in the family): tags may have "attributes", illustrated by href, name, src, and width, in the example below. These are leaves in the nested representation, having no contained tags, and considered identical to other leaf attributes.

The advance made by the relational model of data over earlier approaches is that it does not distinguish between data in RAM and data on secondary storage: all relations are conceptually "inside" the machine, whether they are in RAM or on disk or other secondary storage. This saves the programmer from explicit input/output operations. In our representation of the HTML Web, we similarly ignore any distinctions between local pages and pages which require remote access via the Internet. We will consider the whole accessible web to be contained in any page to which we happen to have access, thus eliminating explicit page fetches from the programmer's concerns. Such an abstraction is almost accomplished by the usual browser interfaces to the Web, but the operation of clicking on an anchor is still an explicit page fetch.

To accomplish this, we must view the anchor tag, $\langle A \rangle$, of HTML, as a nested HTML document, including nested *Head* and *Body* attributes where appropriate, as well as attributes, contained tags, and contents. As an example, here is a two-document web, based on the text version of Ted's family tree.

```
<HTML> <!file famtree.html>
<Head><Title>Family Tree</Title></Head>
<Body>
<A href=bioTed.html>Ted</A> <A href=#TedAliceWedding>married</A>
Alice in 1932. Their children, Mary (1934) married Alex in 1954
(Joe was born to Mary and Alex in 1956) and James (1935) married
Jane in 1960 (James and Jane had Tom in 1961 and Sue in 1962).
<A name=TedAliceWedding> <br> <img src=Ted_Alice.jpg width=400> <br>
Ted and Alice Just Married, 1932 </A>
</Body>
</HTML>
<HTML> <!file biotext.html>
<Head><Title>Ted's Biography</Title></Head>
<Body>
Ted was born at McGill University and worked there until retiring.
</Bodv>
</HTML>
```

The nested relational representation of this small web, assuming that attributes suffixed .content have been created by applying **mu2nest**, is

HTML (Head (Title)	$Body \ (Body.content$	$A \\ (A. content$	href	Head (Title)	Body	name	img (src	width)))
Family Tree		Ted married	Ted	bioTed.html	Ted's Biography)	Ted was		(010	w <i>twint</i>)		
			married Ted and	#TedAliceWedding				TedAliceWedding	Ted_Alice.jpg	400		
Head (Title	`	Body						-				
(<i>litte</i> Ted's Biography)	Ted was										

)

Since img does not need to be nested inside A, we also have the option of recording img as a direct attribute of Body. Thus, a schema which might be generated from this web is

relation HTML(Head,Body); domain Head(Title); domain Body strg|(Body.content,A,img); domain A(A.content,href,Head,Body,name,img); domain img(src,width);

Note first that Ted's Biography occurs twice, once as a part of Family Tree, and once as a web page in its own right. This would only happen if both pages were independently loaded into the nested relation, so we will ignore the second entry from now on.

The second thing to note is more important. A contains, optionally, the recursive attribute *Body* (along with *Head*), thus capturing the relational idea that the whole accessible web is present, even though it may not have actually been retrieved.

It is useful to be able to make distinctions among remote, local, and internal anchor hrefs. The above example illustrates the latter two, and remote hrefs will be familiar to all readers. These distinctions may allow programs to avoid expensive parts of a recursion, namely those that require access to remote web pages, if Internet connection is found to be expensive, or even to local ones, should even a disk access seem to cost too much. The distinctions can be made by examining the structure of the *href* data. Internal references start with the **#** symbol:

let internal be grep "^#" in href in A;

Local references must not start with "/":

let local be grep " $^[/]$ " in href in A;

Finally, remote references are neither internal nor local: let *remote* be where (not []in grep "^#" in *href*) and

not []in grep " $^[/]$ " in href in A;

These virtual attributes may be used in path expressions just as if they were original attributes. For example, to find only internal references, write

HTML/Body/internal/href

(instead of

HTML/Body/A/href)

The above is a little simplistic. A more thorough specification of internal and local references would include comparisons of *href* with the URL of the page itself. This requires an additional attribute in *HTML*, which should also be called *href*, to hold these URLs, say famTree.html and, of course, bioTed.html. Comparisons could be from the upper level, say between *href* and Body/A/href, but this is awkward for defining the virtual attribute at the lower level. If the upper attribute had a different name, say *url*, we can suppose this name is visible from the lower level, just as in programming language scope blocks: we could compare *href* at the lower level directly with *url* from above. However, such a solution could not recurse. So we adopt a scoping convention from operating system file hierarchies, instead of from programming languages, and allow a ".." path element to make visible any higher name which has been redefined at a lower level. Thus, we compare *href* with ../../*href*. In fact, since the higher *href* is visible and unambiguous only one level up (*Body* does not redefine *href* as one of its own attributes, and so the *href* in *HTML* is also a (constant) attribute on *Body*) we can get away in this example with comparing *href* with .../*href*.

So to specify the alternative definition that a local *href* must be contained in the parent *href* as a prefix, we say

let local be grep "^"cat ../href in href in A;

and this can be put together as an alternative to the previous definition

let local be
$$(grep "^[^/]" in href in A)$$

union grep "^"cat ../href in href in A;

The type or format of a document (e.g., html, ps, pdf, jpg, ftp) can also be learned from its URL:

let format' be x in grep(;x) "[^| \.] $x[^/ .] [$|#]$ " in href;

where "[^|\.]" specifies: from the start of the string or from a "."; "[^/\.]" specifies: no "/" or "." may occur after x; and "[||" specifies: to the end of the string or to "#".

let format **be if** format'="" **then** "html" **else** format';

says that an internal reference is always in HTML format.

This format, and other properties, can be gleaned alternatively from the HTTP headers, such as *Content-Type* (for format), *Content-Length*, *Date*, *Last-Modified* and so on. These could all be considered further attributes of *HTML* or of *A* and so accessible in the resulting nested relation.

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A Semistructured Queries from Classical Papers

In the body of this paper, we have developed solutions for semistructured and marked-up data and querying as a logical result of extending relational nesting to completeness. In doing the work, we have depended for guidance on a number of papers in the literature from the mid-'80s on, and these are discussed here. This appendix works through query examples from the literature in chronological order: G+ and Graphlog, ACeDB, Atlas, SGML relations, Lorel, UnQL, OQL-doc, and the web query languages Weblog, WebSQL, and XML-QL.

A.1 G, G+ and Graphlog

The graphical query language, G, appeared [13] in 1987. Since a second paper, extending G to G+, gives simpler examples, we look there first, then return.

Saying "the extension of query languages to handle problems not solvable in relational algebra is an area of much current interest", Alberto Mendelzon's group at the University of Toronto published a paper in 1988 [14] which expressed transitive queries on suitable relations as Horn clauses with regular expressions. They expressed both data and queries as graphs, but it is possible to be more linear.

Pare			1. Sue's children	(Sue, M, x)
(Sr	Rel	Jr)	1. Sue s children	(buc, 11, x)
Don	F	Sue	2. People with common ancestor	$(x, y) \leftarrow (z, [M F]+, x)$
Liz	М	Sue	I	$(x,y) \leftarrow (z, [M F]+,y)$
Wil	F	Bob		(~~,3) (, ,3)
Wil	F	Ted	3. Ancestor or descendent	$(x,y) \leftarrow (x, [M F]+,y)$
Sue	М	Bob		$(x,y) \leftarrow (y, [M F]+,x)$
Sue	М	Ted		
Ted	F	Pam		
Ann	М	Pam		
Thora	first (wownl	og of the paper portain to finding an arbitrary r	ocular expression on the

These first examples of the paper pertain to finding an arbitrary regular expression on the *Rel* attribute in a generalized closure of the relation. Brute-force evaluation can do just this: take the closure recursively, concatenate the values of *Rel* from each level together, and use **grep** to select the desired result. But since the paper is on *recursive queries without recursion*, and full closures require a lot of computing, we should do better. As in section 7, however, it is not clear that there is a nested version better than the flat relation above, even though we might be able to make the syntactic sugar quite compact and resembling the G+ queries.

The second set of examples in the paper look at summary or aggregation operators on numerical attributes. Example data is not given, so we use BoM from section 1.1 and a modification of data from [13] which includes distances between airports.

Flights			
(From	Line	Dist	To)
Tor	AC	4200	Van
Tor	AC	880	Bos
Tor	AC	690	NY
Van	AC	4200	Tor
LA	AC	4700	Tor
Tor	AA	880	Bos
Bos	AA	380	NY
NY	AA	5000	LA
LA	AA	1000	SF
SF	AA	5200	NY

To find all components to assemble a wallplug and how many of each are needed, G+ writes the graphical equivalent of $(\texttt{wallplug}, (\times, +), x)$ while we find BoMtc from section 1.2 and then select [subassembly, qty] where assembly="wallplug" in BoMtc

To find the shortest distance between Toronto and San Francisco, G+ writes (Tor, (+, min), SF). We find the closure of the projection, [*From*, *Dist*, *To*] in *Flights*, using the same code as for *BoMtc* except that + is replaced by min and × is replaced by +, then

[Dist] where From="Tor" and To="SF" in FlightsTC

To find the city which is the longest distance (but not necessarily on the longest path) from Toronto,

> let maxDist be equiv max of Dist by From; [maxDist,To] where From="Tor" in FlightsTC

or, since this gives 8400 from Tor to Tor,

[maxDist, To] where From = "Tor" in where $From \neq To$ in Flights TC

(a rare example of the usually redundant double selection in where). This code appears to diverge from the G+ formulation, which involves (+, max) operators and must use special syntax to collapse multiple valuations into one. Note that we cannot replace **min** of the previous query by **max** in the closure computation, when the graph has cycles, because each traversal of a cycle increases the aggregate, and the computation will not stop.

(To find the city which is on the longest path from Toronto, we use the domain algebra non-recursively, but with an apparent cycle, to count levels while finding the closure of the projection [From, To] in *Flights*:

let level be 1; FTFlights <- [From, To, level] in Flights; let level' be level + 1; let level' be equiv min of level' by From, To'; let level be level''; FTFlightsTC is [From, To, level] in [From, To, level''] in (FTFlights [From, To, level] in From, To, level'] [From, To', level'] in ([From, To', level'] in (FTFlights[To join From'] [From', To', level'] in FTFlightsTC))); let maxLev be equiv max of level by From;

[maxLev, To] where From="Tor" and maxLev=level in FTFlightsTC;

To find the number of "edges in the graph" is to find the number of tuples:

let edgeCt be red + of 1;

[edgeCt] in Flights

does not need the closure.

Finally, a "same-generation" query can use the same level-counting technique on *Parent* that we used on *FTFlights* to find the longest path.

let generation be 0; genParent <- [Sr, Jr, generation] in Parent; let generation' be generation + 1;

let generation be generation';

genParentTC is genParent union [Sr, Jr, generation] in [Sr, Jr, generation'] in genParentTC; let Jr' be Jr;

([Jr,generation] in genParentTC) comp [Jr',generation] in genParentTC

The earlier paper [13] poses a interesting query on *Flights*, "find first and last cities visited in all round trips from Toronto, in which the first and last flights are with Air Canada and all other flights (if any) are with the same airline". It answers with the graphical equivalent to the union of x, y and z given

 $\{(\text{Tor, AC}, x), (x, \text{AC}, \text{Tor})\}$ and

 $\{(\texttt{Tor, AC}, y), (y, w+, z), (z, \texttt{AC}, \texttt{Tor})\}$

However, the paper acknowledges a difficulty in implementing this answer, in that only simple paths of the graph are intended to be traversed, while a naive implementation will erroneously include the results of traversing the cycles indefinitely. The implementation must maintain a list of visited nodes. We can build up a closure with the *Line* attribute cumulatively concatenated, on which we can subsequently apply **grep**. In each tuple, we build up a nested relation of the visited nodes (*Visit*), and we connect an edge to a path in the growing closure only if 1) the path is not a loop, with the same endpoints, and 2) the nodes visited by the path do not contain the *From* node of the edge being added. Here is the closure

let Node be To: let *Visit* be relation(*Node*); FLTVFlight < - [From,Line, To, Visit] in Flights; let Line' be Line; let Line" be Line cat Line'; let Line be Line": let Visit' be Visit; let Visit' be Visit union Visit'; let Visit be Visit"; let From' be From; let To' be To: let To be To': let *Start* be relation(*From*); *FLTVFlightTC* is *FLTVFlight* union [*From,Line,To,Visit*] in [From,Line", To', Visit"] where ((Visit sep Visit') and ((Start[From sep Node] Visit'') or (From=To''))) in

(FLTVFlight [To join From']

[*From'*, *Line'*, *To'*, *Visit'*] where *From* \neq *To* in *FLTVFlightTC*);

(We have used a "sigma join", sep, not discussed in the paper: this tests its two operands for separateness, *i.e.*, non-overlap; it is related to comp and sup (section 1.1.2).) and here is the final path selection

[*From*, *Line*, *To*] where ([] in grep(;w) "AC(w)*AC" in *Line*) in *FLTVFlightTC* (Note that we have applied grep to a single attribute, considered as a nested relation.)

A later paper [12] extends G+ to Graphlog, which now includes negation. An example on *Parent* is "find descendents of **Don** who are not also descendents of **Ann**".

([Jr] where Sr="Don" in ParentTC) diff [Jr] where Sr="Ann" in ParentTC uses set difference.

In summary, Graphlog and its precursors, although specialized, provide a neater formalism than we do, but do not transcend in expressiveness the relational and domain algebras with recursion. Our implementations, above, have all been in terms of full closures, which could be inefficient: a Graphlog implementation could do better, but so could relational recursion.

A.2 ACeDB

"A C.elegans Database", ACeDB [21], appeared in 1992 to help genome researchers with their bibliographies and data, originally for the genome of the C.elegans nematode. It was subsequently an inspiration for work on semistructured data, so we look at some example queries on a movies database [22]. Here is an extract from the schema (ACeDB calls it the "model") of the movies database suitable for the queries we shall look at.

?Movie Director ?Person XREF Directed UNIQUE Text Based_on ?Book XREF Script_for Cast ?Person XREF Stars_in UNIQUE Text Release Date DateType UNIQUE Text Rating UNIQUE Float UNIQUE Int ?Person Real_name UNIQUE Text Date_of_Birth UNIQUE DateType UNIQUE Text Relations Spouse ?Person UNIQUE DateType UNIQUE DateType Children ?Person Directed ?Movie XREF Director Stars_in ?Movie XREF Cast Wrote ?Book XREF Author Reference Publisher UNIQUE Text ?Book Year UNIQUE Int Author ?Person XREF Wrote As a set of nested relations, this is **relation** *Movie*(*Name*, *Directors*, *Based_on*, *Cast*, *Release*, *Rating*); **domain** *Directors*(*Director*, *Comments*); **domain** Cast(Actor,Role); **domain** *Release*(*Date*, *Country*); **relation** Person(Real_name,Date_of_Birth,Relations,Directed, Stars_in,Wrote); **domain** *Relations*(*Spouses*, *Children*); **domain** Spouses(Spouse, From, To); **domain** *Children*(*Child*); **domain** *Directed*(*Film*); domain *Stars_in(Film)*; domain Wrote(Story); **relation** *Book*(*Reference*, *Authors*); **domain** *Reference*(*Publisher*, *Year*); **domain** *Authors*(*Author*); which would be supplemented by declarations such as domain Name string; etc. domain Director Person; (and so are Actor, Spouse, Child and Author declared as Person) **domain** *Based_on Book*; (and so is *Story* declared as *Book*) domain Film Movie; There are a number of points to note in this mapping from ACeDB. • "UNIQUE" in ACeDB is a semantic constraint, specifying both singleton sets and functional

dependences. Keys and functional dependences are not specified by our formalism in this paper. However, if an attribute is non-singleton (is not specified UNIQUE in ACeDB), it must be a nested relation, so we have had to invent plural names beyond the names specified in the ACeDB schema. (A relational entry gives us the "*" quantifier, zero or many.)

- Any ACeDB entry is optional, which is to say that the relational version is polymorphic and can omit any attribute. (Any entry thus gives us the "?" quantifier, zero or one.)
- ACeDB also does not specify the names of some fields, just their types, so we have invented names. This will be helpful to the reader, who is not left guessing as to the meanings (as in the Text field following *Director*, which we just called *Comments*, having been given no clue by the ACeDB schema).
- We have simplified the design by giving *Rating* and *Date_of_Birth* single numerical values.
- ACeDB uses "XREF" as a further semantic constraint to link mappings between object classes and their inverse mappings in the target class. Such semantics are not provided for our formalism in this paper.
- We have added the *Name* of the movie, which is implicit in the ACeDB example.
- Finally, the ACeDB example omits the ACeDB enumerated type construction, such as

Description Recessive Dominant Semi-dominant Weak

or exclusive enumerated types such as

Language UNIQUE French English

Here are ten queries from [22], selected to be challenging.

- 4. list all movies and their directors and possibly their real names **let** Dir **be** [Real_name] **in** Directors; [Name,Dir] **in** Movie
- 5. list authors of books on which movies are based [red union of [Authors] in Based_on] in Movies
- 10. list the movies that have been released after 1990, i.e. in 1991 and thereafter [Name,Release/Date] where Release/Date>1990 in Movie
- 11. list all movies for which no release year information is stored [Name] where (not [] in [Year] in Release) in Movie
- 15. list all movies with more than 3 cast members [Name] where ([] where (red + of 1)>3 in Cast) in Movie

16. calculate the average age of all the actors

20. show release date of first "James Bond" film

BondFilms <- [red union of (Name join [Date] in Release)] where ([] where Role="James Bond" in Cast) in Movie; [Date] where Date=red max of Date in BondFilms

25. list bond films with the James Bond actor and list all the movies that actor has starred in let Actors be [Actor, Actor/Stars_in/Name] where Role="James Bond" in Cast; let Name' be Name; [red union of (Name join [Actor, Name'] in Actors)] where ([] where Role="James Bond" in Cast) in Movie

28. for all Bond actors list their films where they weren't James Bond and who they played in those films

BondActors <- Cast/Actor where Cast/Role="James Bond" in Movie; let Actor' be Actor; AllActors <- [red union of (Name join [Actor,Role] where Role≠"James Bond" in Cast)] in Movie; AllActors join BondActors

29. list actors from Bond-films that didn't play "James Bond" let Actors be [Actor] where Role≠"James Bond" in Cast; [red union of Actors] where ([] where Role="James Bond" in Cast) in Movie

Overall, our formulations, with or without syntactic sugar, are more compact than the ACeDB queries. A particular drawback of the ACeDB approach is that they must resort (from query 20 on) to a secondary, relational, formulation, so an ACeDB programmer is obliged to learn their variant of relational queries as well as the native ACeDB structure.

A.3 Atlas and SGML relations

Also first published in 1992, Atlas [19] went beyond conventional structured access to documents by publication date, author, citation count or date, etc., and offered text processing: retrieval on words, word phrases, and word parts; soundex; stemming; and ranking. Two years later, Tompa's group at the University of Waterloo proposed [4] a relational interface to the Standard Generalized Markup Language, SGML, to accomplish a similar fusion of text processing and structured databases. We refer to this work as SGMLql for short.

We translate an example Atlas schema into nested relations.

```
Document [
   doc_id INTEGER,
   title TEXT,
   Authors [name (surname TEXT, firstname TEXT)],
   Nodes [node REF Hypertext]
] key = (doc_id)
Hypertext [
   id INTEGER,
   doc REF Document,
   content TEXT,
   Links [
     node REF Hypertext,
     linktype TEXT
] key = (node)
```

] key = (id)

As nested relations, this is

relation Document(doc_id,title,Authors,Nodes); domain Authors(surname,firstname); domain Nodes(node); relation Hypertext(id,doc,content,Links); domain Links(node,linktype); with supporting declarations such as domain doc_id integer; etc. domain node integer; domain id integer;

domain doc Document;

Note that we could have declared *node* to be a recursively nested *Hypertext*, but Atlas uses explicit joins, so our nested relations are in keeping with Atlas' approach to the following queries. **REF**erence in Atlas is a tuple comprising the global key of the relation referenced, and this is why Atlas must make the semantic specification of what the key of each relation is.

Note also that Atlas violates the relational model, and consistency between outer and inner relations by not removing duplicates, and by retaining the notion of tuple order for inner relations. It finds it needs to do this to support text, which is a sequence rather than a set. (The Atlas text type is also used for binary data such as images, but Atlas provides no special operators such as clipping or scaling.)

Here are our versions of the last six of the seven queries used to illustrate Atlas. (We have provided our own formulations in three cases where examples are not given or are elided.)

• For each document, list the title, its authors, and the contents of all of its nodes. (N.B. explicit join version.)

[red union of (title join Authors join Nodes)] in Document [node comp id] [id, content] in Hypertext

• Retrieve all hypertext nodes that have at least three links from other nodes directed to it. let *ct* be equiv + of 1 by *id*;

[*id*] where ct>3 in [red union of (*id* join Links)] in Hypertext

• List all documents written by an author with surname McEnroe (case ignored).

where $\hat{(Authors/surname)} = "MCENROE"$ in *Document*

where [] in (grep "MCENROE" in ^(Authors/surname)) in Document

• List hypertext nodes that contain the phrase 'computer science' and the word 'education'. (Note that this query and the next combine to form one query in the Atlas paper.)

where ([] in (grep "computer science" in *content*) and

[] in (grep "education" in content)) in Hypertext

• List hypertext nodes that contain the phrases 'computer science' or 'computing science', etc., and the word 'education'. (This illustrates *stemming*, for which Atlas uses special operators but we use a relation, *stems(word,stem)*, which serves as a dictionary of stems. Note that we use it twice, first to find the stem of 'computer', then to find all other words with this stem. **let** *word'* **be** *word*;

• List all documents whose author surname sounds like McEnroe. (Atlas also uses a special operator for soundex queries, but we again use a dictionary, *soundex(word,sounds.)*

let word' be word; ((where _word'="mcenroe" in [word',sounds] in soundex) comp soundex) [word join surname] [red union of (doc_id join title join Authors join Nodes)] in Document

• Rank hypertext nodes according to how they satisfy a query on keywords 'art', 'aesthetics', 'craft', or 'philosophy'. (This is the simplest kind of ranking: *rank* counts the number of different *pos*itions that match the pattern. We have just written out the four patterns inline, instead of constructing a relation containing them.)

SGMLql embeds structure in text, and allows free text anywhere. Their example might be marked up as follows.

<author>W.L. MORTON</author>, <refs><work>The Kingdom of Canada</work>, <edition>2nd ed.</edition> (/date>1969</date>)</refs>, is the fullest one-volume history and the most traditional<termin>.</termin>.. To understand the place of the colonies that became Canada in the British Empire, the following are most useful: <author>H.A. INNIS</author>, <refs><work>The Fur Trade in Canada</work>, <edition>2nd ed.<\edition> <paren>(</paren><date>1956</date>) and <work>The Code[sic] Fisheries</work>, <edition>rev.ed.</edition> <paren>(</paren><date>1954</date>)</refs><termin>;</termin>... The following works both introduce and analyse the development of the remaining British colonies to self-governing communities and their union in confederation. <author>W.S. MacNUTT</author> combines in a single narrative the histories of the Atlantic provinces in <refs><work>The Atlantic Provinces, the Emergence of Colonial Society, 1712--1857</work> <paren>(</paren><date>1965</date>)</refs><termin>.</termin> <author>FERNAND OUELLET</author> in his <refs><work>Histoire \'{e}conomique et sociale du Qu\'{e}bec, 1760--1850</work> <paren>(</paren><date>1966</date>; Eng. trans. in prep.)</refs>, applies with great success the demographic method of French historiography to the little known domestic development of that province <termin>.</termin>..

From this we can extract a nested relational schema.

domain *biblio*(*author*,*refs*)

domain *refs*(*work*, *edition*, *date*)

The SGMLql paper goes on to embed these domains in a parent relation

relation *Encyclopedia*(*aid*, *title*, *cid*, *req_date*, *req_wc*, *due_date*, *article*, *biblio*) in which article ID, contributor ID, requested date, and requested word count amplify the abbreviated attributes *aid*, *cid*, *req_date*, and *req_wc*, respectively. This relation has further nested attributes

domain article(title,rest)
domain rest body|xref
domain xref(title)
domain body(chapter,keywords,summary)
domain chapter(paragraph)

In the above schema, we have omitted what might be called delimiter attributes, which have fixed or closely prescribed values: *termin* in *biblio* may be ";" or "."; *paren* in *refs* is always "("; and *see* in *xref* is always "see".

The paper offers eight queries, only one of which is so specialized to SGML that we cannot formulate it in a general system. Here are all but the first.

• Who contributed articles for which the proposed titles do not match the titles included in the article's body?

[*cid*, *aid*] where not(*title* comp *article*/*title*) in *Encyclopedia*

• Find proposed titles and lengths of long articles on Canada. (We could have used *body* instead of *, but chose to keep the query the same as the SGMLql query.)

[title,req_wc] where (req_wc>5000 and [] in grep "Canada" in article/*/keywords) in Encyclopedia

- Find all nodes of type "paragraph" and containing substring "Canada". where *attr=*paragraph in grep(*attr*) "Canada" in *Encyclopedia*/*
- Select all nodes having an ancestor of type "chapter". *Encyclopedia*/*/*chapter*/*
- Select all nodes that have children. Encyclopedia/+
- Select all nodes where the parent has an (SGML) attribute of type status and value "Obs.". (This is specific to SGML, which SGMLql incorporates among its relations, so we can only express it with a similar translator from SGML structure to a relational representation.)
- Find the article identification, title, contibutor, and bibliography for entries where the bibliography has more than one citation but all are from the same author. (This query requires a domain algebra idiom to count the number of different values of an attribute, in this case, *author*, which uses *functional mapping*, not discussed in section 1.2.)

[aid,title,cid,biblio] where ([] where (red + of 1)>1 and (red max of fun + of 1 order author)=1 in biblio) in Encyclopedia

Atlas and SGMLql are the first text-querying systems from the literature that we discuss. Since semi-structured data is supposedly half-way between rigidly structured flat relations and unstructured text, the direction taken by these systems is important.

A.4 Lorel

The Lightweight Object REpository, LORE, supports the query Language, LOREL, which was developed for flexibility and to be more forgiving than earlier object-oriented query languages. This made it useful for both The Stanford-IBM Manager of Multiple Information Sources, TSIMMIS, a contemporaneous project on integration of heterogeneous data, and as an early semistructured query language. Since, as we would expect, the two major projects at Stanford generated a great deal of literature, we will focus on two papers [18, 3], which query the now well-known good living guide. ([17] illustrates an interesting soccer league database, but has no queries. It is concerned with schema discovery (we have looked at a simple implementation of this paper's notion of "continuation" ("dataguides" in Lorel) using **attribsOf** at the end of section 6), query optimization, and improving implementation of path expressions.)

Frodo's Guide to Good Living in the Bay Area is presented by [18] as a hierarchical table. As nested relations, we formulate it

relation Frodos(Restaurant|Group)
domain Restaurant(Name,Category,Entree,Location)
domain Entree(Name,Price)
domain Location(Street,City,Phone)
domain Group(Name,Category,Performance,TicketPrice,Location)
domain Performances(Performance)
domain Performance(Date,Work)
domain Work string|(Title,Composer)
domain Composer(Name)
domain TicketPrice(AgeGroup,Price)

Note that, as in section A.2, the relational formulation must distinguish multiple from singleton entries by adding plural names.

All queries from [18] can be expressed.

- Find names of all opera groups. (Note that, as usual, we have written an expression, not a statement. A name such as *Answer* is not automatically generated for the result. If a name is needed, it must be supplied by embedding the expression into an assignment or view statement—which is what most programming languages require.)
 - Group/Name where Group/Category="Opera" in Frodos
- Find names of all opera groups. (In this variant of the first query, we seek the whole group, producing a structured (nested) result.) *Group* where *Group/Category="Opera"* in *Frodos*
- Find titles of all performances where the title is the same as one of the composers. (Here we do a natural composition on a singleton relation with attribute *Name* (generated by default from the scalar attribute *Name*) and *Composer*(*Name*). We cannot use "=" because this would also default to a relational operator, but one that returns **true** iff the two relations are identical. The query seeks intersection only between the sets in *Title* and *Composer*.)

let Name be Title; Title where Name comp Composer in Frodos/Group/Performance/Work

- Find works performed by groups whose ticket price is known in advance. *Performance/Work* where [] in *TicketPrice* in *Frodos/Group*
- Find names of all groups located in Santa Clara county. (We replace the external predicate, *isInCounty*, of the Lorel paper, by the relation *isInCounty*(*City,County*): external predicates are special cases of procedures, or "computations", which we have not discussed in this paper, so we use **comp** instead as an alternative solution.)

Name where Location/City comp ([City] where County="Santa Clara" in isInCounty) in Frodos/Group

• Find the names of all groups such that either the group is an opera group or it performs on 3/19/95. (Our result is correct even for groups with no performance date provided, as is Lorel's, but for a slightly different reason. An absent attribute, or empty nested relation, is understood to have a \mathcal{DC} null value, and this translates to a \mathcal{DC} Boolean null in the Boolean condition. Boolean operators deal with null values just as other operators do: in particular, \mathcal{DC} acts as the identity of **or** and so is effectively ignored.)

Name where Category="Opera" or Performance/Date="3/19/95" in Frodos/Group

• Find the names of restaurants whose entrees all cost < \$10. (While we avoid needing a new keyword, SATISFIES, as Lorel does, we must go beyond the relational algebra discussed in this paper to include "QT-selectors" which allow quantification. Note that we cast *Entree*/*Price*

to **integer** in order to resolve the union type of this attribute.)

Name quant (•=1)Entree where (integer)Entree/Price<10 in Frodos/Restaurant

• Find the names of restaurants that offer more than seven entrees priced \$10 or less. (Again, a QT-selector. No need for COUNT.)

Name quant (#>7)Entree where (integer)Entree/Price<10 in Frodos/Restaurant

- Select the titles of all performances of works by Gilbert and Sullivan. relation GnS(Name) <- {("Gilbert"),("Sullivan")}; Title where Composer sup GnS in Frodos/Group/Performance/Work
- Find names of restaurants located in Palo Alto. (As with the Lorel example, this query goes beyond the data shown in the Lorel paper and the schema shown above, and supposes that we are unsure of just where under *Restaurant* the *City* appears. Hence the need for the Kleene star in .*, which is elided to just * .)

Name where */City="Palo Alto" in Frodos/Restaurant

- Find all possible sequences of labels in the *Frodos* database. (We do not repeat the code at the end of section 3, but refer the reader to it and suggest replacing *company* by *Frodos*.)
- Find both *Name* and *Phone* objects for every group in Palo Alto. (We embed the expression into a statement to name the result.)

LocalGroups <- [Name,*/Phone] where Location/City="Palo Alto" in Frodos/Group;

• In the previous query, we don't have Lorel's problem of failing to correlate Name, Phone in the result, so we don't need FOREACH; but we could retain a nested structure anyway:

• Find name, type, and rating of restaurants (where type is category, and joining with an additional relation which gives ratings.)

The *Guide* in [3] is shown both textually as a hierarchical table and as a graph with edges labelled by attributes, leaves labelled by values, and each node identifying its object. It is simpler than *Frodos* but has some interesting aspects. Here is a schema.

relation Guide(restaurant); domain restaurant(category,name,addresses,zip,prices,nearbys); domain addresses(address); domain address string|(street,city,zip); domain prices(price); domain nearbys(nearby); domain nearby restaurant; domain zip string|integer;

First, the schema is recursive on *restaurant*, since *nearby* is a descendent attribute of *restaurant* which is itself a *restaurant*. Second, an attribute such as *zip* occurs twice, in different places. It is understood that *zip* will probably appear not more than once in any *restaurant* entry, but, in keeping with the flexibility of semistructured data, this is not enforced.

The queries presented display these two aspects of *Guide*.

- Find the addresses of all restaurants in the 92310 zipcode. (First version: assume zip appears only under address. Note the cast, since zip is either string or integer.)
 where (string) zip="92310" in Guide/restaurant/address
- Find the addresses of all restaurants in the 92310 zipcode. (Second version: *zip* may appear at either place.)

or

where (string) *zip*="92310" in *Guide/restaurant/**

where (string) *zip*="92310" in *Guide/restaurant/address*?

- Find the names and zipcodes of all "cheap" restaurants. [red union of (name join ?/zip)] in grep cheap" in Guide/restaurant
- Return the names of all restaurants having an address with a zip code of 92310 or that are located on El Camino Real in Palo Alto.

- Find the names of cheap restaurants with zipcode 92310. *name* in grep cheap" where (string) (?/zip)="92310" in *Guide/restaurant*
- Return all restaurants that have two distinct paths to the same nearby restaurant. (We test *nearbys* for overlap with itself in two copies of *restaurant*. A clever compiler could make this as fast as using variables, the way Lorel does.)

let category' be category; let name' be name; let addresses' be addresses; let zip' be zip; let prices' be prices; let nearbys' be nearbys; where nearbys' comp nearbys in Guide/restaurant join [category',name',addresses',zip',prices',nearbys'] in Guide/restaurant

- Record that my favourite restaurant is the Saigon. *myFavourite* <- where *name*="Saigon" in *Guide/restaurant*;
- Change my mind: my favourite restaurant is the Chef Chu. *myFavourite* <- where *name*="Chef Chu" in *Guide/restaurant*;
- Delete *myFavourite*.

or

 $myFavourite < - \mathcal{DC};$

update myFavourite delete;

• Create a new relation.

relation new < -{< $a > 5 < /a > < bs > < b > "X" < /b > < b > "Y" < /b > < /bs >};$

• Update *price* in *Prices*. (Note that even if *Prices* originally had many tuples, this update will result in only one, since it makes every value 7 and duplicates are not allowed. In this and following examples, we assume, along with [3], that the schema is simple enough not to need explication.)

update *Prices* change *price* <-7;

• Create a new *Prices* relation. (This replaces the old *Prices* with another. It has the *same* effect as the previous update.)

relation $Prices(price) < -\{(7)\};$

- Update *price* in *Prices* by adding 1 to each value. **update** *Prices* **change** *price* <- *price*+1
- Add "Sunnyvale" to the addresses of my favourite restaurant. (This updates the string alternative for *address*. It could be shortened by replacing *Sunnyvale* by the relation constant {<*address*>Sunnyvale}, but we show an explicit version.)

relation Sunnyvale(address) <- {("Sunnyvale")}; update myFavourite/addresses add Sunnyvale;

• Add the restaurant's city as a direct subobject of the restaurant object if the city is Palo Alto or Menlo Park. (The Lorel example does not delete *city* from *addresses* under these circumstances. Nor do we: doing so needs a **transpose** operation to reveal the type of *address*, and remove *city* only if it is a relation, not a string.)

• Transform all the *restaurant* labels to *eatery* labels.

let *eatery* **be** *restaurant*; *Guide* <- *eatery* **in** *Guide*;

A.5 UnQL

Independently, Buneman and colleagues at the University of Pennsylvania were developing UnQL, a query language for unstructured data. Subsequently, UnQL and Lorel were more or less fused [1]. We look at the queries in four papers starting in 1995 [7, 6, 5], and [20].

The data structure underlying the UnQL work is a labelled tree without the exceptional leaf nodes used by Lore: the values that Lore stores on leaves are edge labels in UnQL just as every attribute is an edge label, and so data values and attribute names are treated equally. The bibliography database of [7] can be expressed as nested relations:

relation bib(doc); domain doc(topics,book|article); domain topics(topic); domain book(title,authors); domain article(title,authors); domain authors(author);

and topic, title, and author have type string.

Note that "unstructured data" is not supposed to possess a schema separate from the data: we could go on to show their data as well by displaying the nested relations, but we have shown only the structure for brevity. This structure must be known to the query writer, as is clear from UnQL's formulation of the three queries the paper presents.

Example 1. Find the titles of all books on Genetics.

book/title where *topics/topic=*"Genetics" in *bib/doc*;

Example 2. Find the authors of all documents, regardless of the type of the document. authors in bib/doc/.

Example 3. Find the title and topic[sic] of all books by Gonick and Wheels.

relation GonWheel(author) <- {("Gonick"),("Wheels")};
[book/title, topics] where book/authors=GonWheel in bib/doc;</pre>

The paper then goes on to be the first to introduce cycles into the data structure, by separating author data from document data and linking both ways. In the relational representation, we need only close a loop:

domain *author* string;

becomes

domain *author*(*name*,*papers*);

with

domain papers(doc);

("*papers*" is used by the authors despite referring to books, too.)

A movie database is introduced in [6] and used in [5]

relation DB(Entry); domain Entry(Movie|TVShow); domain Movie(Title,Cast,Director,Refs,RefBy); domain TVShow(Title,Cast,Episodes); domain Cast(Actors,CreditActors); domain Actors(Actor); domain CreditActors(Actor); domain Episodes(Episode,SpecialGuests,Director); domain SpecialGuests(Actor); domain Refs Movie; domain Refs Movie;

and every datum is a **string**, except *Episode* which is **integer**. Here are selected queries from these two papers.

Example 3.5 Give the titles and casts of all entries—movies, TV shows, etc. [Title, Cast] in DB/Entry/.

Example 3.6 Give actress/actor and title tuples for movies. [*Title*, *Cast*/*Actors*] in *DB*/*Entry*/*Movie*

Example 3.7 Find the set of all strings in the database.

let typval be transpose(type,value) union typval; value where typval/type=string in DB;

Example 3.8 Find all movies involving "Bogart" and "Bacall". relation BogBac(Actor) <- {("Bogart"),("Bacall")}; where */Actors sup BogBac in DB/Movie

Example 3.9 Find all movies involving "Bogart" and "Bacall", avoiding the cycles possibly generated by *Refs* and *RefBy*: do not follow paths containing *Movie* more than once. where $[^Movie]^*/Actors$ sup *BogBac* in *DB/Movie*

Example 4.1 Replace all SpecialGuest labels with a Featuring label. let Featuring be SpecialGuest; update DB/* change insert Featuring remove SpecialGuest;

Example 4.2 For TV shows, replace all SpecialGuest labels with a Featuring label. let Featuring be SpecialGuest; update DB/*/TVShow/* change insert Featuring remove SpecialGuest;

> Where in the database is the string "Casablanca" to be found?
> let attrCasa be (attr in grep(attr) "Casablanca" in .) union attrCasa; attrCasa/attr in DB;

• Are there integers in the database greater than 2^{16} ?

let typval be transpose(type,value) union typval;
[] where type=integer and (integer)value>2^16 in DB/typval

• What objects in the database have an attribute name that starts with "Act"? **let** attribs **be** transpose(attr) union attribs; **where** grep "^Act" in attribs in DB

The queries from [6] that we did not answer above pertain to an emulation of flat relations in the labelled tree model. This database can also be emulated by nested relations (although there is little motivation to do so). A literal interpretation of the emulation is

 $\begin{array}{c} \textbf{relation} \ DB(R1,R2);\\ \textbf{domain} \ R1(tup);\\ \textbf{domain} \ R2(tup);\\ \textbf{domain} \ tup(A,B,C);\\ \textbf{domain} \ tup(C,D);\\ \textbf{where we use polymorphism for } tup. Given the application, we can simplify:\\ \textbf{relation} \ DB(R1,R2);\\ \textbf{domain} \ R1(A,B,C);\\ \textbf{domain} \ R2(C,D);\\ \textbf{domain} \ R2(C,D);\\ \textbf{domain} \ R2(C,D);\\ \end{array}$

The queries are

Example 3.1 Compute the union of all trees t such that DB contains an edge $R1 \Rightarrow t$ emanating from the root.

DB/R1

Example 3.2 Find the union of all tuples in both relations.

DB/R1 union DB/R2Here, polymorphism allows the heterogeneous result to be a single, if awkward, relation. Note that UnQL does not need to name R1 and R2.

Example 3.3 Join R1 and R2 on their common attribute C and then project onto A and D. [[A, D] in (R1 join R2)] in DB

Example 3.4 Perform a group-by operation on R2 along the C column. let Dset be equiv union of D by C;

[[C,Dset] in R2 in DB

Finally, [20] uses a bibliographic database, which we capture as relation Bib(paper|book); domain paper(authors,title,year,pages,references); domain book(authors,title,publisher,references); domain references(reference); domain authors(author); domain author string|(firstname,lastname); domain pages(first,last); domain reference Bib;

The queries are

- Return the titles of papers written since 1995. *title* where *year*>1995 in *Bib/paper*;
- Find all papers referenced directly or indirectly by Ullman:. references/reference/paper where authors/author/lastname?="Ullman" in Bib/.

• Retrieve all integers in the database.

let typval be transpose(type,value) union typval; value where typval/type=integer in Bib;

• Convert all integers to strings in books, leaving integers untouched in other publications. let attr' be attr cat S; let atTyVal be transpose(attr,type,value) union atTyVal;

A.6 OQL-doc

The O_2 document database in [2] can be put into nested relational form (without constraints, and without hiding information as private—which, together with instantiation and other aspects of full object orientation, requires procedural mechanisms and is beyond the scope of this paper).

relation Articles(title,authors,affil,abstract,sections,acknowl,status,cites);
domain authors(author,seq);
domain sections(seq,title,bodies,subsectns);
domain subsectns(seq,title,bodies,subsectns);
domain bodies(seq,figure|paragr);
domain figure(picture,caption,label);
domain paragr(reflabel);
domain cites(citation);
domain citation Articles;

Note that we have added *seq* attributes to *authors, sections, subsectns* and *bodies* to distinguish first, second, etc. authors, since relations are not ordered. We have also made the *subsectns* attribute recursive, beyond the O_2 specification. We do not follow up the specification of *label* and *reflabel* as lists of Objects, because this is not explained or used in the OQL-doc paper.

We have added a recursive *cites*(*citation*) attribute in order to answer query 6, below.

Q1 Find the title and the first author of articles having a section with the title containing "SGML" and "OODB".

[red union of (title join where seq=1 in authors)] where
[] in grep "SGML" in section and [] in grep "OODB" in section
in Articles

- Q2' Find the subsections of articles containing the sentence "complex object". (Nonrecursive.) [section/subsectn] in grep "complex object" in Articles
- Q2 Find the subsections, containing the sentence "complex object", of articles. (Nonrecursive.) grep "complex object" in Articles/section/subsectn
- Q2+ Find the subsections, containing the sentence "complex object", of articles. (Recursive.) grep "complex object" in Articles/section/subsectn+

Q3 Find all titles in Articles. */title in Articles

Q3+ Find all the paths titles are on in Articles. (See the end of section 1.3.) **let** path **be self**/attr; **let** path' **be self**/path; **let** paths **be** path **in** ((path **where** attr=**quote** title **in transpose**(attr)) [path **union** path'] (path' **in** [**red union of** paths] **in** .)); [**red union of** paths] **in** Articles

Q3++ Find all titles in *Articles* and the paths they are on. let path be self/attr; let path' be self/path; let paths be [title, path] in ((*title* join (*path* where *attr*=quote *title* in transpose(*attr*))) [*title*, *path* **union** *title*, *path*'] ([*title*, *path*'] **in** [**red union of** *paths*] **in** .)); [red union of *paths*] in Articles Q3+++ Find titles containing "OODB" in *Articles* and the paths they are on. let path be self/attr; let *path'* be self/*path*; let paths be [title, path] in (((grep "OODB" in title) join (path where attr=quote title in transpose(attr)))[*title*, *path* **union** *title*, *path*'] ([*title*, *path*'] **in** [**red union of** *paths*] **in** .)); [red union of *paths*] in Articles Q4 Find the structural differences between my new and old articles. let path be self/attr; let path' be self/path;

Q5 Find the attributes defined in articles whose value contains the string "final". attr in grep(attr) "final" in Articles/*

Q6 Return pairs of documents referencing each other, given that the title of the first document contains the word "digital". (We suppose that the title and the set of authors suffice to identify a document, to keep things brief: we do not have object identity, but we can test two relations for equality using the join written "=". Hint: start by thinking of this problem for the flat binary relation *cites(article, citation)*; the query, and even its extension to arbitrary depths of reference, then becomes trivial in Graphlog, for instance.)

let ctitle be cites/citation/title; let cauthors be cites/citation/authors; let title' be title; let authors' be authors; let ctitle' be ctitle; let cauthors' be cauthors; selfcite2 <- [title, authors, ctitle, cauthors] where title=ctitle' and authors=cauthors' in ((where ([] in grep "[Dd]igital" in title) in Articles) [ctitle, cauthors join title', authors'] [title', authors', ctitle', cauthors'] in Article

A.7 From Relations to Semistructured Data and XML

Abiteboul, Buneman, and Suciu [1] offer interesting examples starting in Chapter 4 and based on the following bibliographic database (we show, with them, data for the initial queries, and we add some data to illustrate further queries, but do not cover all the queries we discuss below).

DB(biblio						
	(book	1 /	1			`)
	(authors	date	title)	
	(author)					
	Roux	1976	Database Systems				
	Combalusier						
	Smith	1999	Database Systems				
	(paper		·)
	authors	title	y ear	$refers_to$)	,
	author)		(authors	cite)	,	
	X .	,		(author)		
	Suciu	Semi-Databases	2001	Roux	RC76		
				Combalusier			
				Smith	Sm77		
				Smith	Sm99		
				Suciu	Su01		
	Jones	Smith's DB Work	2000	Smith	Sm77		
				Smith	Sm99		
This	can be formulat	ed as the nested rela	tions				

This can be formulated as the nested relations

domain authors(author); domain book(authors,date,title); domain refers_to(authors,cite); domain paper(authors,title,year,refers_to); domain biblio book|paper; relation DB(biblio);

Here are the queries, identified by their numbers where provided and by letters otherwise.

- Q1 Find the set of book authors. DB/biblio/book/authors
- Q2 Find documents written by Smith. let row be biblio/.; row where authors/author="Smith" in DB
- Q2 ' Find documents about databases. where ([] in grep "[dD]atabase" in *title*) in *DB/biblio*
- Q3 Find the set of book authors, retaining the distinction between books. let row be authors in biblio/book; DB/row
- Q4 Find books including Roux as an author, and split into separate entries for each author. **let** row **be** authors **union** title; row **where** authors/author="Roux" in DB/biblio/book
- Qa Find authors who are referred to at least twice in some paper with "Database" in the title. [We use a QT-selector again here.]

let multcite be author quant (#>1)cite in red union of (authors join cite); $refers_to/multcite/author$ where [] in grep "Database" in paper) in DB/biblio

- Q5 Find authors of 1997 papers. authors/author where year=1997 in DB/biblio/paper
- Q6 Find titles of papers written after 1989. title where year>1989 in DB/biblio/paper

Qb Find all occurrences of "Shakespear". 5

[author,attr,val] in grep "Shakespear" in DB/biblio/.*

Qc Create publication(type,title) where type is "book" or "paper", for documents after 1989. let type be "book"; B < - [type,title] in DB/biblio/book; let type be "paper";

P < - [type,title] in DB/biblio/paper; B union P

(This solution can be made more general: see the next query.)

Qd Group papers under their year of publication. (The objective is to convert data, namely the values of year, to attributes. This was also the objective of the previous query, but we now do it generally, using attribute metadata, casting to type **attribute**, and the metadata operator, **eval**.)

let titles be equiv union of relation(authors,title,refers_to) by year; let (attr)eval year be titles; next <- [year,titles] in DB/biblio/paper; [(attr)year in next] in next

Qe Find the path from the root to the string "[Ss]emi". This requires us to include a grep operation in the recursion given to find all paths in section 3. Note that this code will produce DB/biblio/paper/title, but, should there be a reference back to Suciu's paper by some other paper, the code will not provide the extended additional path, say, DB/biblio/paper/title/ref/paper/title: see the discussion in the book [1].

Qf Find books published by Morgan Kaufmann.

answer <- [author,title] where publisher/name="Morgan Kaufmann" in DB/biblio/book;

Qg *Find title and price of all books* (assuming price is optional: this makes no difference to the nested relational formulation).

let booktitle be title; let bookprice be price; result <- [booktitle,bookprice] in DB/biblio/book;</pre>

- Qh For all authors, group under each the titles he/she published. **let** titles **be equiv union of relation**(title) **by** author; **let** authTitl **be** authors **join** title; result <- [author,titles] **in** DBbiblio/./authTitl;
- Qi Find all titles in French. This is a query on attributes of XML tags. We treat attributes on a par with nested tags, that is, as attributes. So suppose the XML is <book language="French"> for Roux & Combalusier, and that other books may or may not have languages specified. title where language="French" in DB/biblio/book"

⁵The mispelling is deliberate; Abiteboul, Buneman and Suciu themselves sometimes used it.

Qj Find all authors who have published at least two books. We use authTitl from query Qh. result <- author quant (#>1)title in DB/biblio/book/authTitl;

Qk Find all publications published in 1995 for which Smith is either an author or an editor. title where date="1995" and

(editor="Smith" or authors/author="Smith") in DB biblio/book;

- Ql Using the recursively nested *part(name,brand,part)*, find the name of every part element that contains a brand name equal to "Ford", regardless of the nesting level of that part. **let nameFord be** *name* **where** *brand=*"Ford" **in** *part*; (*nameFord*)* **in** *part*;
- Qm Retrieve all persons whose last name precedes the first name. Since relations have no implicit ordering, we must suppose that the data was extracted from marked-up text, and we have, as in section 7.2, also extracted an explicit Pos attribute. Then the relation is person(firstname,fpos,lastname,lpos) and the result is easy.
 where lpos<fpos in person
- Qn Reverse the order of all authors in a publication. Again, we suppose the data has been extracted, together with postions, from marked-up text. Roux and Combalusier might appear in the string J.P. Roux and T.H. Combalusier, starting at position 122, and so the relation *authors* for this work in *DB/biblio/book* would show

author	pos
Roux	127
Combalusier	141

We swap the positions (this code will reverse any number of authors, but not necessarily swap any positions exactly) and then actualize.

let revpos be (red + of pos) - pos; pub <- [author,revpos] in DB/biblio/book/authors;</pre>

A.8 Web Query Languages

We reformulate queries given for WebSQL [16] and WebLog [15] in terms of the hypertext in section 7.2.

WebSQL represents hypertext as two flat relations, Document(url, title, text, type, length, modif) and Anchor(base, href, label), where the attribute names make their functions pretty self-evident: type, length and modif are from the HTTP headers mentioned at the end of section 7.2; href and label appear in the anchor tag label, and base connects Anchor to Document. Here are the queries in terms of the recursively nested relation in section 7.2. Note that we assume, as do the WebSQL authors, that the search is of all documents reachable from a set to which we have access through, in our case, HTML.

Ex.1 Find all HTML documents about "hypertext". where A/format="html" in grep "hypertext" in $HTML/Body(/A/Body)^*$

Ex.2 Find all links to applets from documents about "Java".

[A.content, href] where ([] in grep "applet" in A.content in A) in where [] in grep "Java" in HTML/Body(/A/Body)*

Ex.3 Starting from the Department of Computer Science home page, find all documents that are linked through paths of length two or less containing only local links. Keep only documents containing the string "database" in the title. [We have modified the definition of *local* to take two steps, through both *Body* and *A*.]

let local be grep "^[^/] " in href in Body/A; where ([] in grep "database" in Head/Title) in (local)?(/local)? where href="www.cs.toronto.edu" in HTML

Ex.4 Find all documents mentioning "Computer Science" and all documents that are linked to them through paths of length two or less containing only local links.

CS <- grep "Computer Science" in $HTML(/Body/A)^*$; CS union CS(/local)?(/local)?

Weblog is based on Prolog via Datalog and SchemaLog. It is thus structured as a sequence of Horn clauses, with the usual semantics of universal quantification of variables defined by the clase and existential quantification of variables linking the defining predicates. The examples given all refer to *leyurl*, so we define it beforehand.

let *leyurl* be "http://www.informatik.uni-trier.de/~ley/db/index.html"; Some of the WebLog queries claim to generate html results, but the paper does not show what they look like, and so we do not attempt to duplicate this result structuring.

Q.1 We are interested in collecting all citations (hyperlinks) referring to HTML documents, that occur in the Database Systems & Logic Programming page. We would also like this collection to contain the title of the document the citation refers to. (One level of traversal.)

let title be "all citations"; let hlinks be red union of relation(href, Title); [title,hlinks] in [hlinks] in [href,Body/A/Head/Title] where href=leyurl and [] in grep "\.html\$" in Body/A/href) in HTML;

Q.2 Find all documents in the Ley server that have information relating to 'Interoperability'. [To match this query, we introduce a relation *synonym*(*source,syn*), which contains a dictionary of synonyms: WebLog, of course, introduces a predicate for this. We also reuse *local* from above. Our formulation of this query follows all paths all of whose documents refer to interoperability.]

- Q.3 Suppose we know that a paper on Coral has appeared in the VLDB Journal. We do not know which year this paper appeared, but would like to find this information. [We suppose that the HTML Body contains a non-HTML tag, <year>, which, of course, becomes an attribute.] Body.content/year where [] grep "VLDB Journal" in Body.content and lowercase(../Head/Title)="coral" in Ley/Body(/A/Body)*
- Q.4 We would like to compile the citations of all CFP's of conferences having 'interoperability' as a topic of interest. We would also like to include information on the submission deadline in this compilation. [We make the same rather special assumptions about the structure of Calls for Papers as do the WebLog authors. The WebLog query includes the *synonym* predicate applied to "submit", which we can do, but it is easier to read just "papers due".]

let submit be grep(;x) "papers due: \x" in Body.content; let submitDate be "submission date: " cat submit/x; let title be "allcfps"; cfp <- [title,../href.submitDate] in grep "Conference in Ley/Body(/A/Body)*;</pre>

Q.5 Suppose we would like to restructure the newly generated cfp further in such a way that all conferences having a deadline in the same week are grouped together in a page. [We implement the WebLog *dates2weeks* predicate using a relation months(m#,#days) giving the number of days in each month, and we suppose the date format to be yy/mm/dd, which we convert to yy/ddd.]

let monthend be fun + of #days order m#; let monthbase be if m#=1 then 0 else fun pred of monthend; let m# be(intg) x; let day be(intg) y; let submitmd be [m#,day] in grep(;x, y) ".*/\x/\y" in submitDate; let yd be monthbase + day; let ddd be yd in (submitmd comp [monthbase,m#] in months); let julian be ddd/yd; let week be julian mod 7; [week,href,title,submitDate] in cfp;

A.9 XQuery

XQuery queries XML data and, like SQL before it, has become a de facto standard. Here are the queries from [8], formulated for nested relations.

Except for query Q5, the example database is the on-line auction represented by the two nested relations

items.xmi (items (item (itemno	seller	description	reserve-price	end- $date$)	status))
bids.xml (bids (bid (itemno	bidder	bid-amount) bid-date))		

Q1. List the descriptions of all items offered for sale by Smith. description where seller="Smith" in items.xml/items/item

Q2. List all description elements found in the document items.xml. items.xml//description

Q3. Find the status attribute of the item that is the parent of a given description. status where item/description=descr in items.xml//

Qa. Items with reserve-price > 1000.

where reserve-price > 1000 in items.xml/items/item

Relational semantics dictate that a scalar attribute such as *reserve-price* is either null or a singleton, but never multiple. For multiplicity, we need a non-scalar, i.e., nested, attribute such as *reserve-prices(reserve-price)*. With this, we can accommodate all the syntactic variations discussed on p.603 of the paper. For instance, item[reserve-price > 1000] in XQuery is

where reserve-prices/singleton & reserve-prices/reserve-price > 1000

in *items.xml/items/item*

and $item[reserve-price \ gt \ 1000]$ in XQuery is

let singleton be 1 = red + of 1;

where reserve-prices/reserve-price > 1000 in items.xml/items/item

Furthermore, we can find, for example, any item node with exactly four reserve-price child nodes whose value is greater than 1000:

let count1000 be red + of if reserve-price > 1000 then 1 else 0; where reserve-prices/count1000 = 4 in items.xml/items/item

Qb. Fifth item

where seq = 5 in items.xml/items/item

Note that relations abstract over ordering, so a *seq* attribute must be put in explicitly. This is done automatically by a generator such as **mu2nest** in section 7.2.

Qc. Items containing a reserve-price

let count be red + of 1;

where reserve-prices/count > 0 in items.xml/items/item

which tests the nested attribute *reserve-prices*, from above, for non-emptiness. (We can also find items containing, say, 6 reserve-prices.)

Qd. Node identity: \$node1 is \$node2, \$node1 isnot \$node2

Relational semantics do not distinguish identity from value, so identity information must be explicitly represented as an extra attribute. The paper does not give an example for us to work.

Qe. Order: node1 < node2

let startJoe be red + of if seller/content + "Joe" then start else 0; let startSue be red + of if seller/content + "Sue" then start else 0; where (seller/content = "Joe" or seller/content = "Sue") and startJoe < startSue in items.xml/items/item using the content and start attributes generated by mu2nest.

using the *content* and *start* attributes generated by **mu2nest**.

Qf. Not function: item[not(reserve-price)] where not [] in relation(reserve-price) in items.xml/items/item

Qg. Namespace: auction = "items.xml/items" **let** auction **be quote** items.xml/items; **where** reserve-price > 1000 **in** auction/item; using the metadata **quote** operator.

Qh. Constructor: constants

relation $highbids(status, itemno, bid-amount) < - \{("pending", 4871, 250.00)\};$

Qi. Constructor: evaluated (1)

```
let maxbid be red max of if itemno = i then bid-amount else -1;
let status be s;
let itemno be i;
highbid < - [status, itemno, bid-amount] in [maxbids] in bids;
```

where i and s are parameters, which might be arguments to a procedure containing the above code.

Qj. Constructor: evaluated (2) highbid <- [status,itemno,bid-amount] in b;

Qk. Computed element constructor This would use metadata and casting as illustrated for the next example.

Ql. Computed attribute constructor

let (attr) if sex = "M" then "father" else "mother" be name;

Father and mother attributes are mutually exclusive: polymorphism takes care of the "null values" of the absent one when the other is present.

Q4. For each item that has more than ten bids, generate a popular-item element containing the item number, description, and bid-count.

let countBids be equiv max of par + of 1 order bidder by itemno by itemno;

popular-item <- ([itemno,description] in items.xml/items/item) join [itemno,countBids] where countBids > 10 in bids.xml/bids/bid;

Note also that the relational and domain algebras abstract over looping, so we do not need the **for** construct.

Q5. Given a sequence of emp elements, replace their salary, commission, and bonus subelements with a new pay element containing the sum of the values of the original elements, and order the resulting sequence in ascending order by the value of the pay element.

let pay be salary + commission + bonus; let empNP be [name, pay] in emp;

update *emps* change emp < -empNP;

assuming the database

emps(emp(name,salary,commission,bonus))

Again, ordering is not explicit in relations. A **fun** .. **order** *pay* expression in the domain algebra suffices to order by *pay* when needed.

Q6. Construct a new element named recent-large-bids, containing copies of all the bid elements in the document bids.xml that have a bid-amount of more than 1000 and a bid-date after January 1, 2002.

relation cutoff(year,month,day) <- {2002,1,1}; recent-large-bids <- where bid-amount > 1000 and dateGT(bid-date,cutoff) in bids.xml/bids/bid;

using the boolean dateGT method of an abstract data type for dates (construction not shown here), since date comparisons are not built-in to the language.

Qm. Items with no bids.

items.xml//item diff bids.xml//bid

Qn. Union of a/b, a/c.

let bc be b union c; a/bc/d

Qo. Conditional expression.

let price be if discounted then wholesale else retail;

Q7. Generate a report containing the status of bids for various items. Label each bid with a status "OK", "too small", or "too late". Enclose the report in an element called bid-status-report.

let status be if dateGT(bid-date,ebd-date) then "too late" else

if *bid-amount < reserve-price* then "too small" else "OK";

ans1 <- [itemno,bidder,bid-amount,status] in (bids.xml//bid join items.xml//item); let bid be equiv union of relation(bidder,bid-amount,status) by itemno; bid-status-report <- [itemno,bid] in ans1;

- Qp. Existential quantification. let some be red or of n > 10; rel/some
- Qq. Universal quantification.

let every be red and of n > 10; rel/every

Q8. Find the items in items.xml for which all the bids received were more than twice the reserve price. Return copies of all these item elements, enclosed in a new element called underpriced-items.

underpriced-items <- [itemno,seller,description,reserve-price,end-date] where
equiv and of (bid-amount > 2×reserve-price) by itemno
in (bids.xml//bid join items.xml//item);

Qr. Function to find largest bid-amount recorded for a given item.

comp highbid(item#,maxbid) is
{ maxbid <- [red max of bid-amount] where itemno=item#
 in bids.xml//bid
};
highbid["1234"];</pre>

Qs. Function to return an element, or a default value if it is missing. **comp** defaulted(attr,default,result) **is** { result <- **if** [] **in** attr **then** attr **else** default };

Qt. Recursive function to determine depth of hierarchy. **comp** depth(rel,d) is { let xpose be transpose(attrib); d < - if not [] in rel then 1 else $1 + red \max of depth[[attrib] in <math>rel/xpose]$ };

Qu. Treat billing address differently according to type.

let a be customer/billing-address; let result be if typeof(a)=USAddress then a/state else

> if typeof(a) = CanadaAddress then a/province else if typeof(a) = JapanAddress then a/prefecture else "unknown";

B Glossary

<- (relational operator) 1.1.4.

/ (attribute path constructor) 2.

| (union type constructor) 5.

coex (semistructured link label) 5.

comp (relational operator) 1.1.2.

```
\mathcal{DC} (null value) 1.1.5.
```

equiv (domain algebra operator) 1.2; (nested attributes) 1.3.

grep (pos,attr) 1.1.3; (val,type) 1.1.5. (Not recursive: 2.)

igrep (<pattern>, pos1,pos2,val1,val2) 7.1.

is (relational operator) 1.1.4.

join (relational operator) 1.1.2.

lowercase (_ string operator) 1.1.3.

metadata 1.1.3

mu2nest (marked-up text operator) 7.

- pattern (strings) 1.1.3, 7.1; (attributes) 3.
 - red (domain algebra operator) 1.2; (nested attributes) 1.3.
- relation (domain algebra operator) 1.3.
 - self (system attribute) 1.3.
 - sep (relational operator) A.1.
 - subex (semistructured link label) 4.
 - sup (relational operator) 1.1.2.
- text2attr (text operator) 7.1.
- transpose (attr, type, val) 1.3. (Not recursive: 1.3.)
 - union (relational operator) 1.1.2.
 - update (relational operator) (add, delete, change, insert, remove) 1.1.5.
- uppercase (^ string operator) 1.1.3.