

COMP250: More Recursion examples. Merge sort.

Jérôme Waldispühl

School of Computer Science

McGill University






















Based on slides from (Snoeyink,2004)

Designing recursive algorithms

- To write a recursive algorithm:
 - Find how the problem can be broken up in one or more smaller problems of the same nature
 - Remember the base case!
- Usually, better running times are obtained when the size of the sub-problems are approximately equal:
 - $\text{power}(a,n) = a * \text{power}(a,n-1) \Rightarrow O(n)$ operations
 - $\text{power}(a,n) = (\text{power}(a,n/2))^2 \Rightarrow O(\log n)$ operations
 - Naïve Fibonacci $\Rightarrow O(\phi^n)$ operations
 - Better Fibonacci $\Rightarrow O(\log n)$ operations

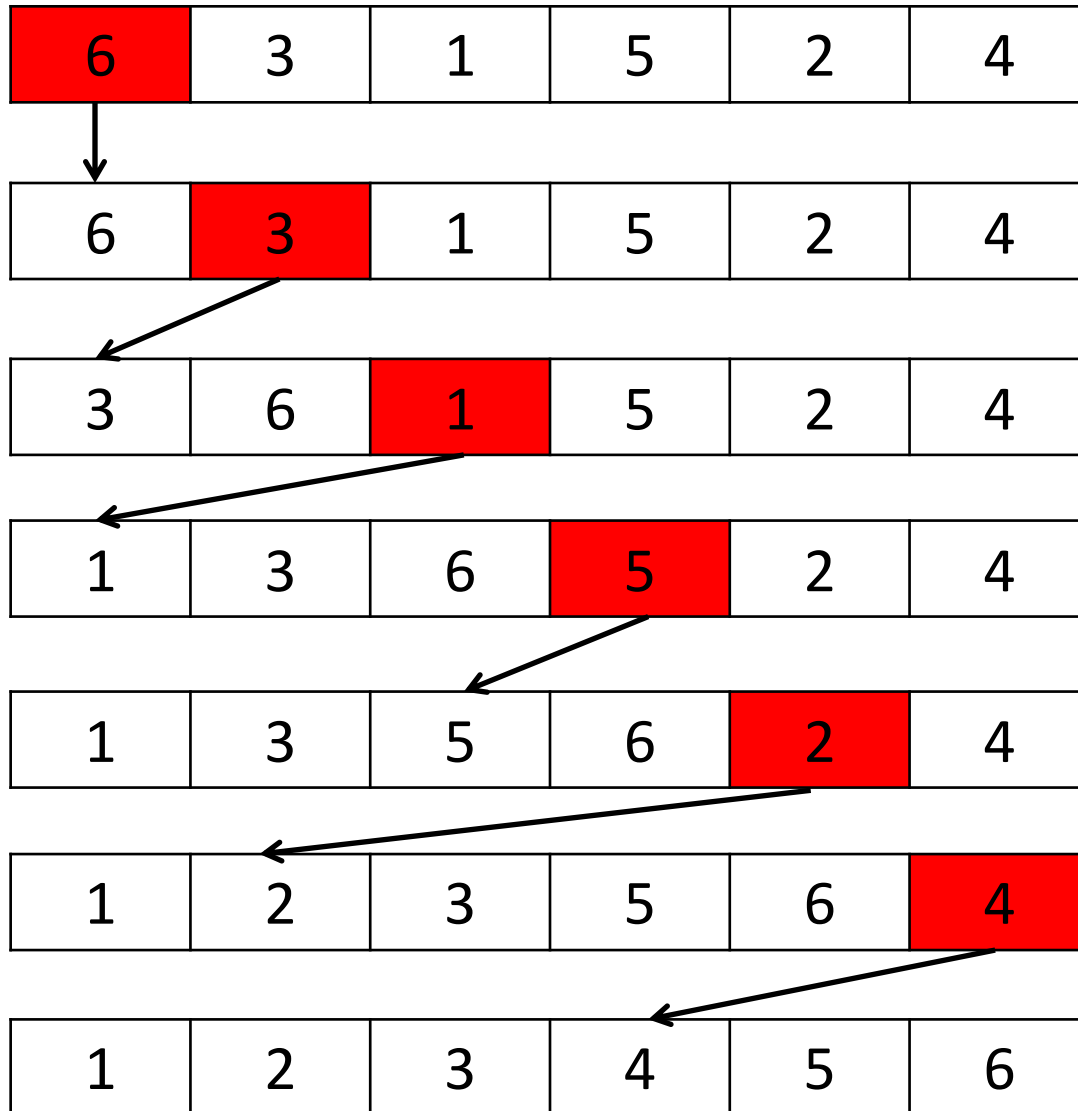
Sorting problem

Problem: Given a list of n elements from a totally ordered universe, rearrange them in ascending order.

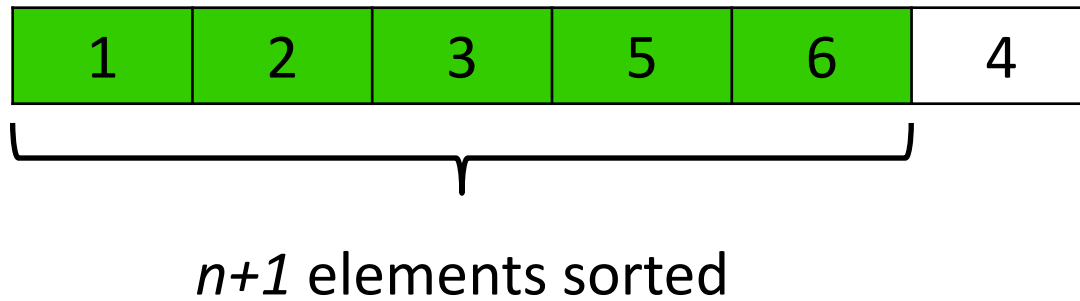
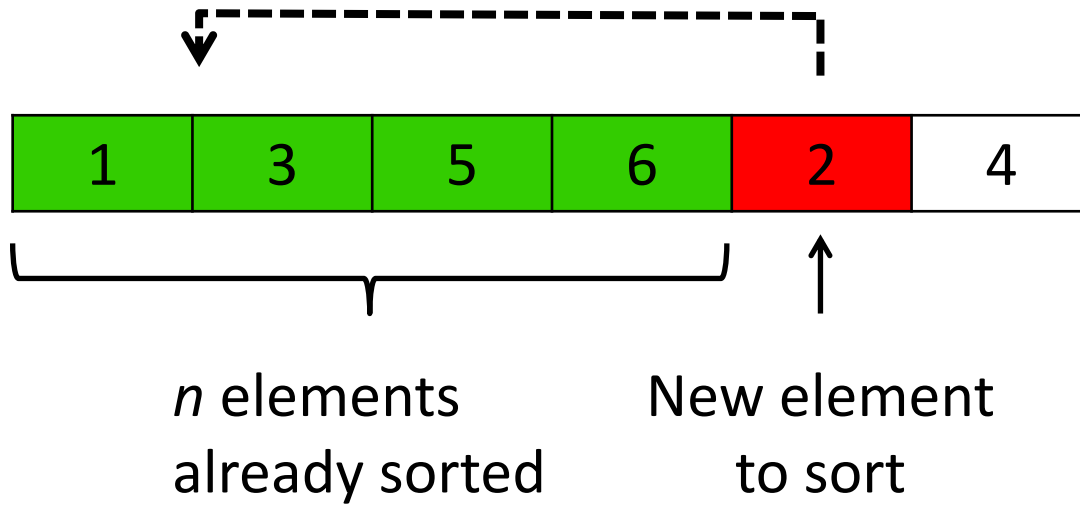
Songs					
	NAME	ARTIST	ALBUM	TIME	POPULARITY▼
1	 Dare	Gorillaz	Demon Days	4:04	
2	 Clint Eastwood	 Gorillaz	Gorillaz	5:42	
3	 Feel Good Inc.	Gorillaz	Demon Days	3:41	
4	 Rhinestone Eyes	Gorillaz	Plastic Beach	3:20	
5	 Stylo (feat. Mos Def and Bobby Womack)	Gorillaz	Plastic Beach	4:30	
6	 19-2000	Gorillaz	Gorillaz	3:27	
7	 On Melancholy Hill	Gorillaz	Plastic Beach	3:53	
8	 On Melancholy Hill	Gorillaz	Plastic Beach	3:53	
9	 Dirty Harry	Gorillaz	Demon Days	3:43	
10	 Tomorrow Comes Today	Gorillaz	Gorillaz	3:13	

Classical problem in computer science with many different algorithms (bubble sort, merge sort, quick sort, etc.)

Insertion sort



Insertion sort



Insertion sort

```
For i ← 1 to length(A) - 1
  j ← i
  while j > 0 and A[j-1] > A[j]
    swap A[j] and A[j-1]
    j ← j - 1
  end while
end for
```

- Iterative method to sort objects.
- Relatively slow, we can do better using a recursive approach!

Divide and Conquer

Recursive in structure

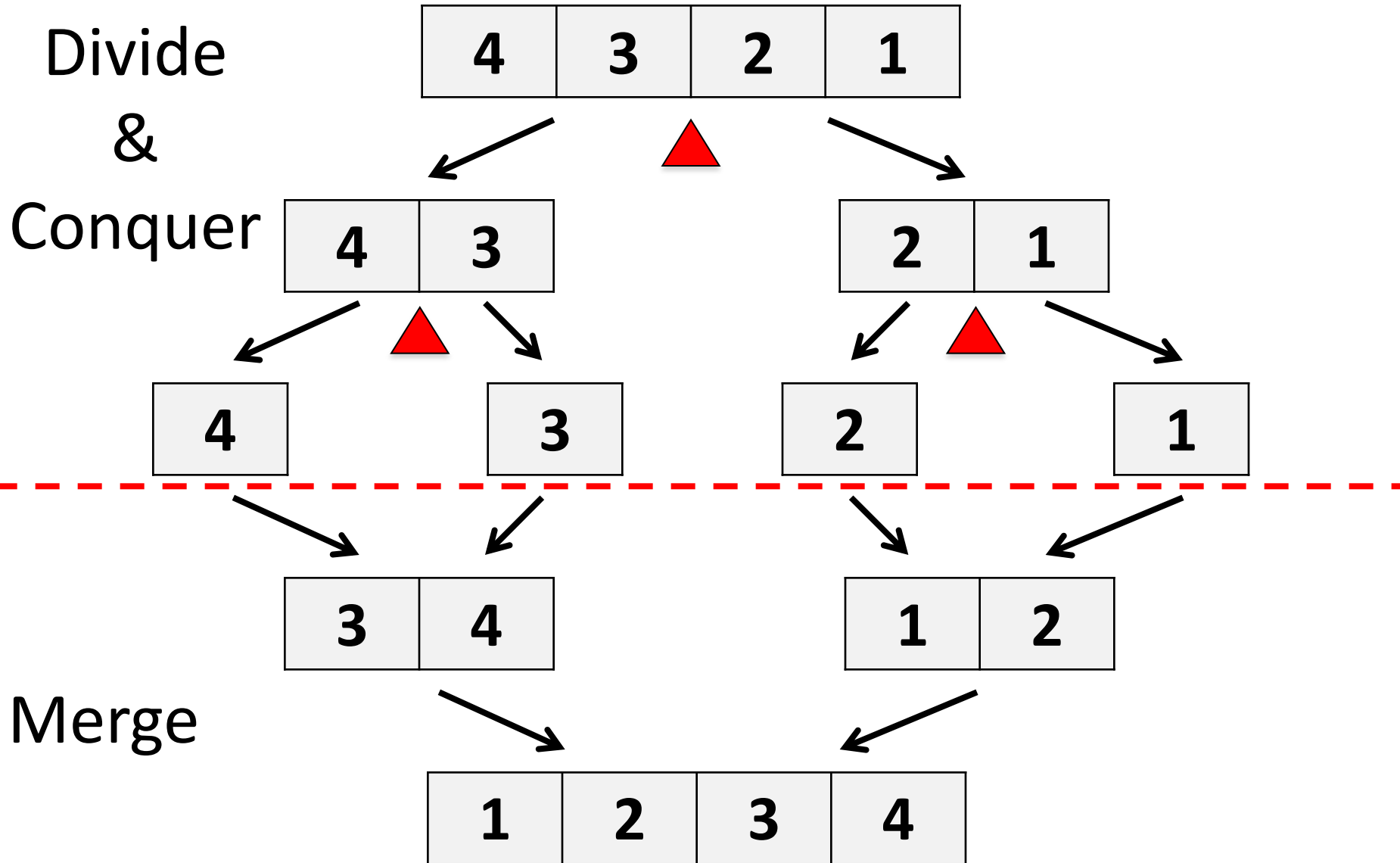
- **Divide** the problem into sub-problems that are similar to the original but smaller in size
- **Conquer** the sub-problems by solving them **recursively**. If they are small enough, just solve them in a straightforward manner.
- **Combine** the solutions to create a solution to the original problem

An Example: Merge Sort

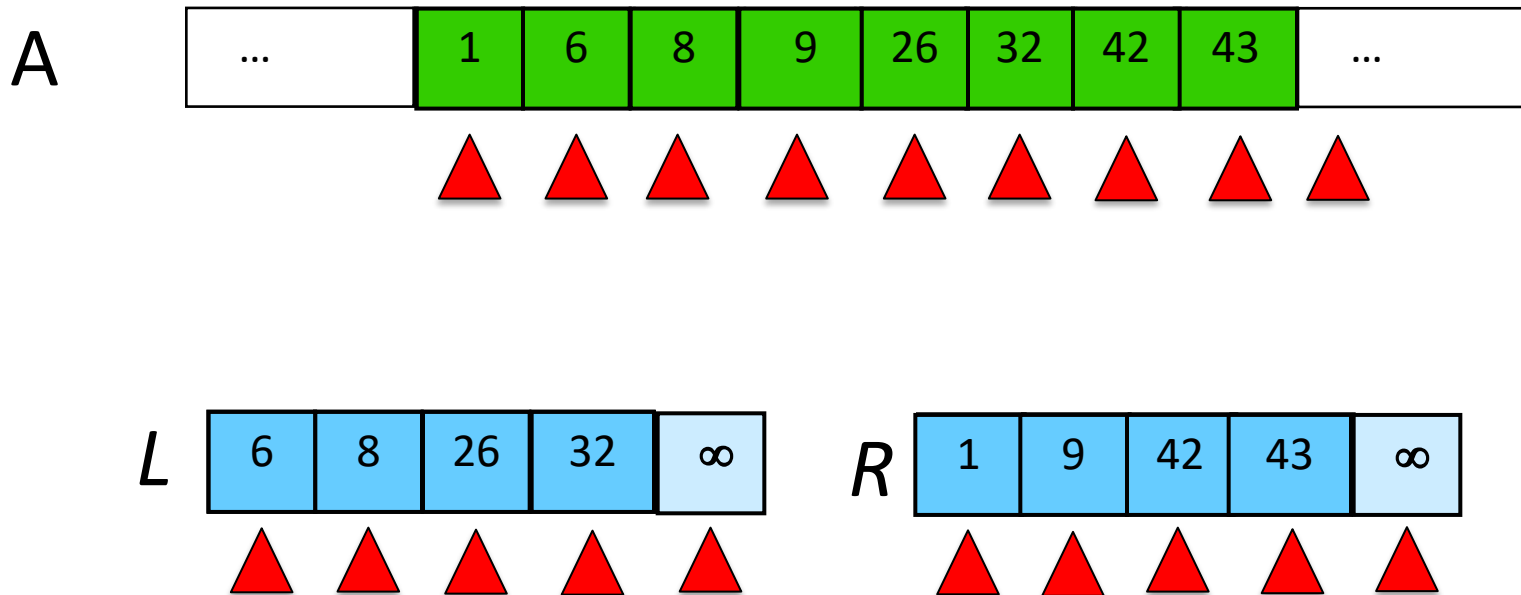
Sorting Problem: Sort a sequence of n elements into non-decreasing order.

- ***Divide:*** Divide the n -element sequence to be sorted into two subsequences of $n/2$ elements each.
- ***Conquer:*** Sort the two subsequences recursively using merge sort.
- ***Combine:*** Merge the two sorted subsequences to produce the sorted answer.

Merge Sort - Example



Merge/combine – Example



Idea: If we have 2 lists *L* and *R* already sorted, we can easily (i.e. quickly) build a sorted list *A* with all elements of *L* and *R*.

Merge sort (principle)

Recursive case



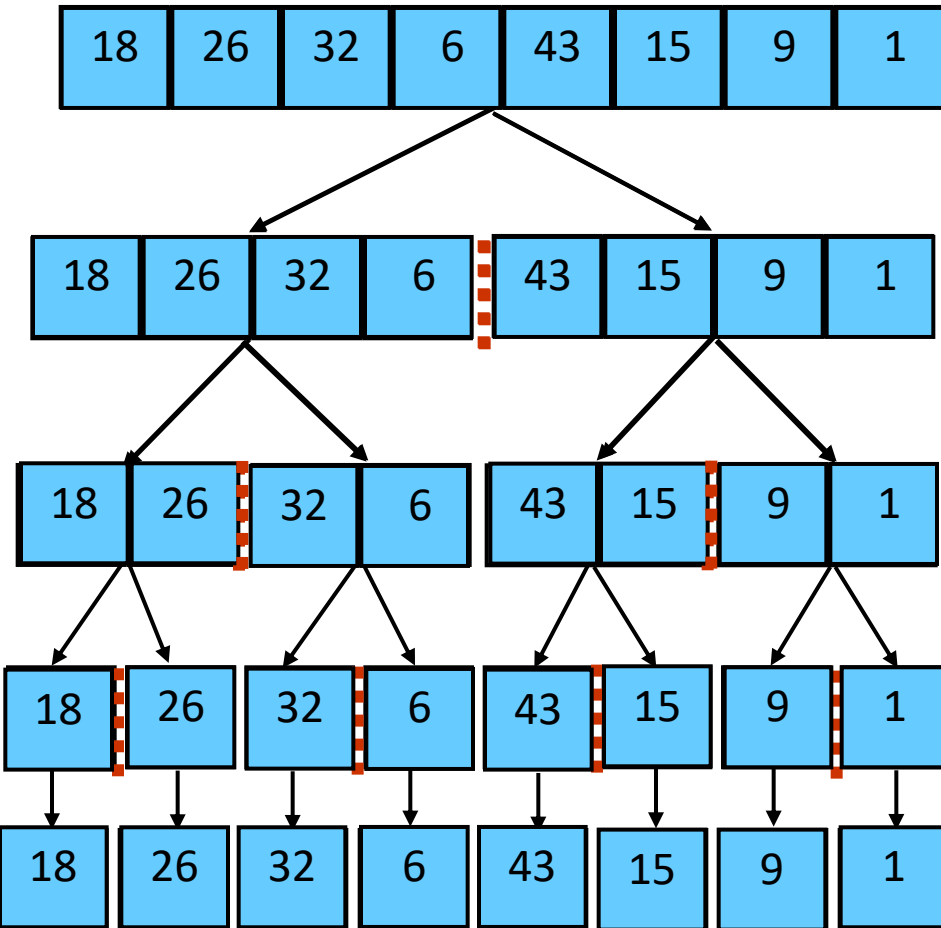
- Unsorted array A with n elements
- Split A in half \rightarrow 2 arrays L and R with $n/2$ elements
- Sort L and R
- Merge the two sorted arrays L and R

Base case: Stop the recursion when the array is of size 1.

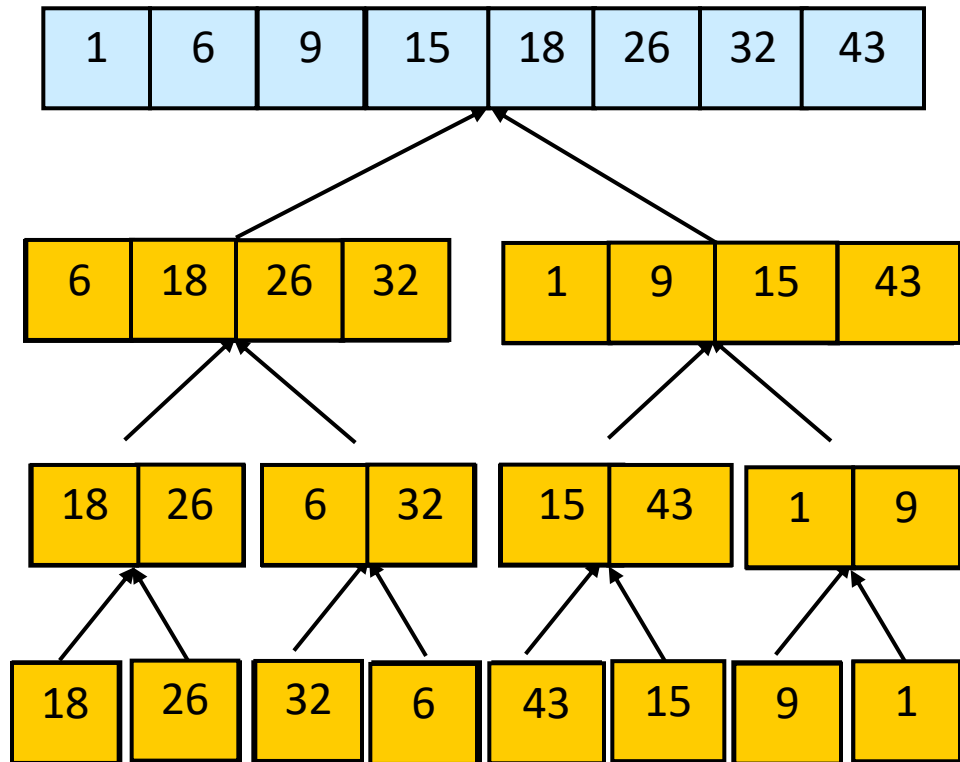
Why? Because the array is already sorted!

Merge Sort – (bigger) Example

Original Sequence



Sorted Sequence



Merge-Sort (A, p, r)

INPUT: a sequence of n numbers stored in array A

OUTPUT: an ordered sequence of n numbers

```
MergeSort ( $A, p, r$ ) // sort  $A[p..r]$  by divide & conquer
1  if  $p < r$ 
2    then  $q \leftarrow \lfloor (p+r)/2 \rfloor$ 
3      MergeSort ( $A, p, q$ )
4      MergeSort ( $A, q+1, r$ )
5      Merge ( $A, p, q, r$ ) // merges  $A[p..q]$  with  $A[q+1..r]$ 
```

Initial Call: *MergeSort*($A, 1, n$)

Procedure Merge

Merge(A, p, q, r)

```
1  $n_1 \leftarrow q - p + 1$ 
2  $n_2 \leftarrow r - q$ 
3   for  $i \leftarrow 1$  to  $n_1$ 
4     do  $L[i] \leftarrow A[p + i - 1]$ 
5   for  $j \leftarrow 1$  to  $n_2$ 
6     do  $R[j] \leftarrow A[q + j]$ 
7    $L[n_1 + 1] \leftarrow \infty$ 
8    $R[n_2 + 1] \leftarrow \infty$ 
9    $i \leftarrow 1$ 
10   $j \leftarrow 1$ 
11  for  $k \leftarrow p$  to  $r$ 
12    do if  $L[i] \leq R[j]$ 
13      then  $A[k] \leftarrow L[i]$ 
14             $i \leftarrow i + 1$ 
15      else  $A[k] \leftarrow R[j]$ 
16             $j \leftarrow j + 1$ 
```

Input: Array containing sorted subarrays $A[p..q]$ and $A[q+1..r]$.

Output: Merged sorted subarray in $A[p..r]$.

Sentinels, to avoid having to check if either subarray is fully copied at **each step**.

Running time of Merge Sort

Running time $T(n)$ of Merge Sort:

- Base case: **constant time c**
- Divide: computing the middle takes **constant time c'**
- Conquer: solving 2 subproblems takes **$2T(n/2)$**
- Combine: merging n elements takes **$k \cdot n$** (i.e. time proportional to the number of elements to merge)
- Total:

$$T(n) = c \quad \text{if } n = 1$$

$$T(n) = 2T(n/2) + k \cdot n + c' \quad \text{if } n > 1$$

Example: Let $c=1$, $c'=1$ and $k=1$

n	1	2	4	8	16	32	64	...	n
T(n)	1	5	15	39	95	223	511	...	?

Running time of Merge Sort

