

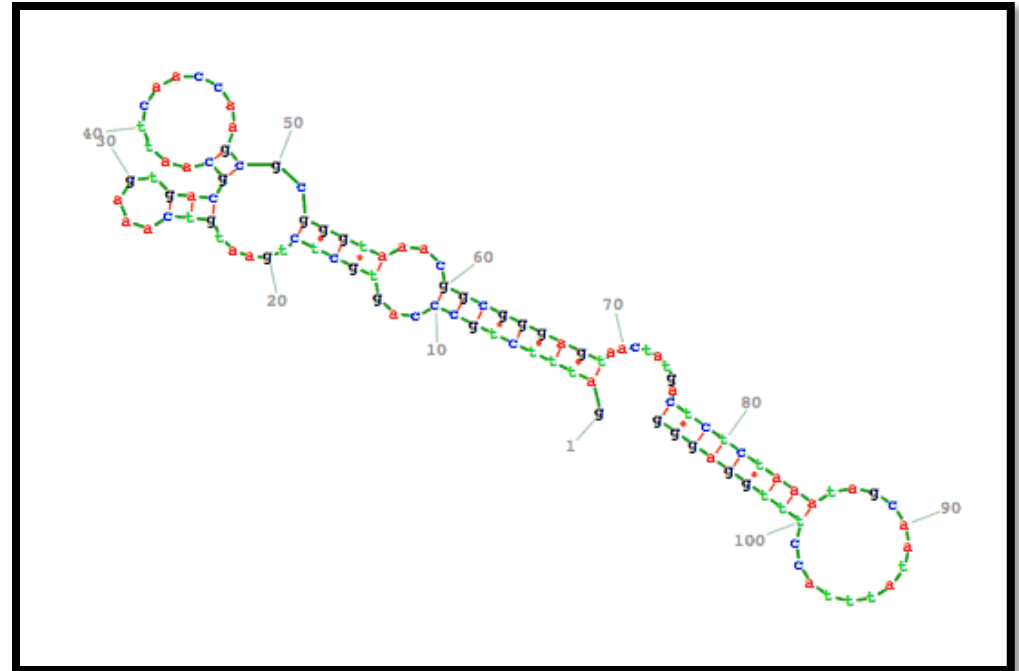
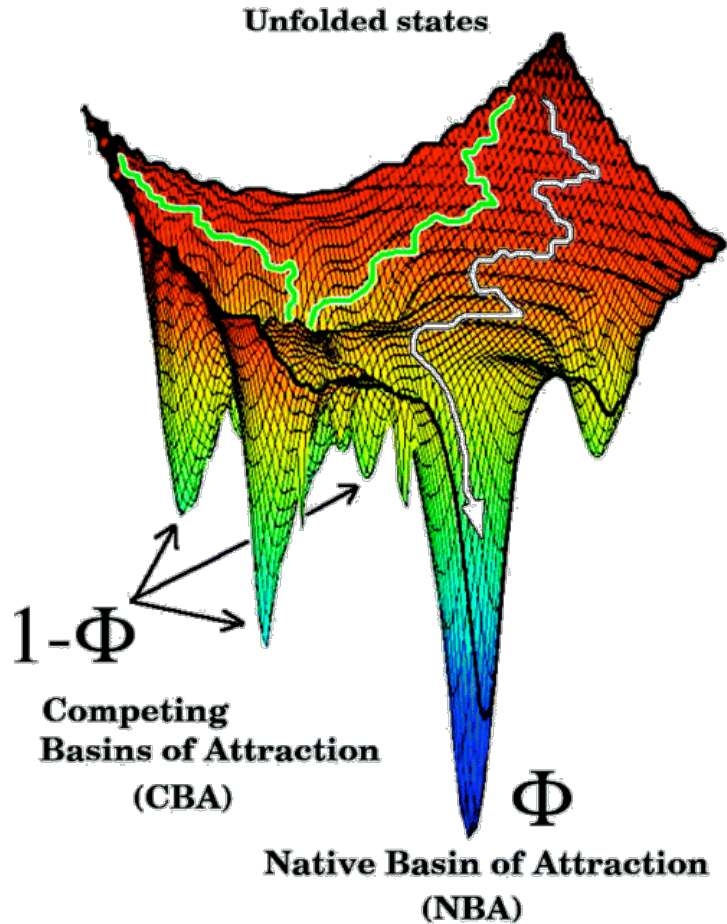
# COMP761: Foundation of Computational Structural Biology



**RNA STOCHASTIC SECONDARY STRUCTURE  
PREDICTION**

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# Beyond the minimal free energy structure



# Beyond the minimal free energy structure

## Problems:

- There is potentially several competing structures
- The mfe may not be reachable.

## Alternative 1: Look at the suboptimal structure

- P-optimal base pair : base pair  $(i \cdot j)$  s.t.  $V(i, j) + V(j, i) \geq (1 - \frac{P}{100}) \cdot E_{min}$
- the collection of P-optimal base pairs is the union of all P-optimal foldings
- Compute representative suboptimal foldings by determining the m.f.e. structure of a structure with suboptimal base pair.

## Alternative 2: Statistical Mechanics

**Definition 1** (Boltzmann partition function) :

Let us label the exact states (microstates) that the system can occupy by  $j$  ( $j=1,2,3,\dots$ ), and denote the total energy of the system when it is in microstate  $j$  as  $E_j$ . Additionally, we denote  $T$  the temperature of the system and  $\mathcal{K}_B$  the Boltzmann constant.

$$Z = \sum_j e^{-\beta \cdot E_j}, \text{ where } \beta = \frac{1}{\mathcal{K}_B T}$$

**Definition 2** (Probability of a state) :

$$P_j = \frac{e^{-\beta E_j}}{Z}$$

# Application

**Theorem 1** *Average energy*

$$\langle E \rangle = \mathcal{K}_B T^2 \cdot \frac{\partial}{\partial T} \ln Z = - \frac{\partial \ln Z}{\partial \beta}$$

Proof :

$$\begin{aligned} \frac{\partial}{\partial T} \ln Z &= \frac{\partial}{\partial T} \ln \sum_j e^{-E_j / \mathcal{K}_B T} \\ &= \frac{1}{Z(T)} \cdot \sum_j \frac{E_j}{\mathcal{K}_B T^2} \cdot e^{-E_j / \mathcal{K}_B T} \\ &= \frac{1}{\mathcal{K}_B T^2} \cdot \frac{\sum_j E_j \cdot e^{-E_j / \mathcal{K}_B T}}{Z(T)} \\ &= \frac{1}{\mathcal{K}_B T^2} \cdot \sum_j P_j \cdot E_j \\ &= \frac{\langle E_j \rangle}{\mathcal{K}_B T^2} \end{aligned}$$

# Application

Variance (energy fluctuation) :

$$\langle (\delta E)^2 \rangle = \frac{\partial^2 \ln Z}{\partial \beta^2}$$

Heat capacity :

$$C_v = \frac{\partial \langle E \rangle}{\partial T} \ln Z = \mathcal{K}_B T^2 \cdot \langle \delta E^2 \rangle$$

Entropy :

$$S = -\mathcal{K}_B \sum_j P_j \ln P_j = \mathcal{K}_B (\ln Z + \beta \langle E \rangle) = \frac{\partial}{\partial T} (\mathcal{K}_B \ln Z)$$

# RNA Secondary Structure Partition Function

## Definition 3 Boltzmann partition function

- $s$  is the sequence,
- $\mathcal{S}(s)$  is the ensemble of structures over  $s$ ,
- $E(S)$  folding energy,
- $R$  gas constant,
- $T$  temperature.

$$Q(s) = \sum_{S \in \mathcal{S}(s)} e^{-E(S)/RT}, Q_k(s) = \sum_{S \in \mathcal{S}_k} e^{-E(S)/RT}$$

## Definition 4 density of states

$$\rho_k(s) = \frac{Q_k(s)}{Q(s)}$$

# Principle

**Problem 1:** How to recursively decompose the partition function?

## Observation 1:

Let A,B be 2 secondary structures and E an energy function with the additive property (i.e.  $E(AB)=E(A)+E(B)$ ), then

$$\exp(-(E(A)+E(B))/RT)=\exp(-E(A)/RT) \times \exp(-E(B)/RT).$$

## Conclusion 1:

- The partition function of a sum is the product of the partition function of each component.
- If the seq  $\omega_{ij}$  has a single secondary structure that can be cut in two parts at index  $k$  on  $\omega_{i,k-1}$  and  $\omega_{k,j}$  then:

$$Z(i,j)=Z(i,k-1) \times Z(k,j)$$

**Problem 2:** Can we extend the result if multiple sec. str. can be mapped on subsequences?



# Principle

**Observation 2:** Let  $\omega_{i,j}$  be a RNA sequence and let  $i < k < j$ . Assume that there is only two sec. str. A,B possible on  $\omega_{i,k-1}$  and only two sec. str. C,D on  $\omega_{k,j}$ . The partition function of this partition is:

$$\begin{aligned} Z &= e^{-E(A)/RT} \cdot e^{-E(C)/RT} + e^{-E(A)/RT} \cdot e^{-E(D)/RT} + \\ &\quad e^{-E(B)/RT} \cdot e^{-E(C)/RT} + e^{-E(B)/RT} \cdot e^{-E(D)/RT} \\ &= e^{-E(A)/RT} \cdot \left( e^{-E(C)/RT} + e^{-E(D)/RT} \right) + \\ &\quad e^{-E(B)/RT} \cdot \left( e^{-E(C)/RT} + e^{-E(D)/RT} \right) \\ &= \left( e^{-E(A)/RT} + e^{-E(B)/RT} \right) \cdot \left( e^{-E(C)/RT} + e^{-E(D)/RT} \right) \end{aligned}$$

$$\mathbf{Z(i,j) = Z(i,k-1) \times Z(k, j)}$$

# Comparison with the minimum folding energy algorithm

**Principle of Zuker's algorithm:**

$$\text{MFE}(i,j) = \min_k (\text{MFE}(i,k-1) + \text{MFE}(k,j))$$

**Principle of McCaskill's algorithm:**

$$Z(i,j) = \sum_k Z(i,k-1) \times Z(k,j)$$

**Conclusion:** Conserve the algorithm structure and switch the ring from  $\{\min, +\}$  to  $\{+, \times\}$ .

# Algorithm (Dynamic tables)

- $Z(i, j)$  : partition function over all secondary structures of  $a[i, j]$ .
- $Z^B(i, j)$  : partition function over all secondary structures of  $a[i, j]$ , which contain the base pair  $(i, j)$ .
- $Z^M(i, j)$  : partition function over all secondary structures of  $a[i, j]$ , subject to the constraint that  $a[i, j]$  is part of a multiloop and has *at least* one component.
- $Z^{M1}(i, j)$  : partition function over all secondary structures of  $a[i, j]$ , subject to the constraint that  $a[i, j]$  is part of a multiloop and has *exactly* one component.  
Moreover, it is *required* that  $i$  base-pair in the interval  $[i, j]$ ; i.e.  $(i, r)$  is a base pair, for some  $i < r \leq j$ .

# Algorithm (Feynman Diagrams)

$$\begin{array}{c} z \\ \bullet \text{---} \bullet \\ i \quad j \end{array} = \begin{array}{c} \text{---} z \text{---} \\ \bullet \quad \bullet \\ i \quad r-1 \end{array} \begin{array}{c} z^B \text{---} \\ \bullet \quad \bullet \\ r \quad j \end{array} + \begin{array}{c} \text{---} z \text{---} \\ \bullet \quad \bullet \\ i \quad j-1 \end{array} \begin{array}{c} \bullet \\ u \\ j \end{array}$$

$$\begin{array}{c} z^B \\ \bullet \text{---} \bullet \\ i \quad j \end{array} = \begin{array}{c} \text{---} \\ \bullet \quad \bullet \\ i \quad i+1 \end{array} \begin{array}{c} \text{---} \\ \bullet \quad \bullet \\ j-1 \quad j \end{array} + \begin{array}{c} \text{---} z^B \text{---} \\ \bullet \quad \bullet \\ i \quad r \end{array} \begin{array}{c} \bullet \\ s \\ j \end{array} + \begin{array}{c} \text{---} z^M \text{---} z^{M1} \text{---} \\ \bullet \quad \bullet \quad \bullet \quad \bullet \\ i \quad i+1 \quad r-1 \quad r \end{array} \begin{array}{c} \bullet \\ j-1 \\ j \end{array}$$

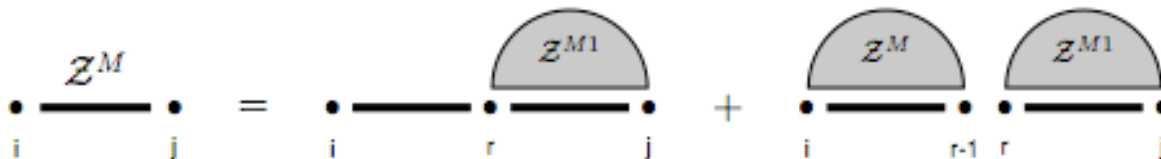
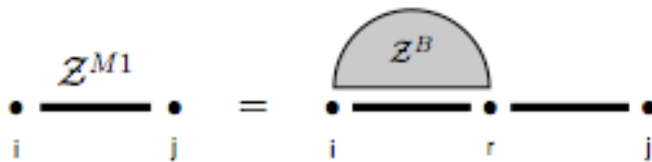
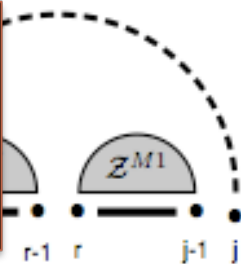
$$\begin{array}{c} z^{M1} \\ \bullet \text{---} \bullet \\ i \quad j \end{array} = \begin{array}{c} z^B \text{---} \\ \bullet \quad \bullet \\ i \quad r \end{array} \begin{array}{c} \bullet \\ j \end{array}$$

$$\begin{array}{c} z^M \\ \bullet \text{---} \bullet \\ i \quad j \end{array} = \begin{array}{c} \text{---} z^{M1} \text{---} \\ \bullet \quad \bullet \\ i \quad r \end{array} \begin{array}{c} \bullet \\ j \end{array} + \begin{array}{c} z^M \text{---} z^{M1} \text{---} \\ \bullet \quad \bullet \quad \bullet \\ i \quad r-1 \quad r \end{array} \begin{array}{c} \bullet \\ j \end{array}$$

# Algorithm (Feynman Diagrams)



$$Z(i, j) = \sum_r Z(i, r-1) \times Z(r, j) + Z(i, j-1)$$



# Algorithm (Feynman Diagrams)

$$\begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} \xrightarrow{z} \begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array}$$

$$\begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array}$$

$$\begin{aligned}
 Z^B(i,j) &= \exp(-\text{hairpin}(i,j)) + \\
 &\sum_{r,s} Z(i+1,r-1) \times \exp(-E(i,r,s,j)/RT) + \\
 &\sum_r Z(i+1,r-1) \times Z(r,j-1) \times \exp(-(\alpha+\Upsilon)/RT)
 \end{aligned}$$

$$\begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} \xrightarrow{z^M} \begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array}$$

# Algorithm (Feynman Diagrams)

$$\begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} \xrightarrow{z} \begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array}$$

Diagrammatic representation of the recurrence relation for  $Z^1(i,j)$ . The left side shows a horizontal line segment from  $i$  to  $j$  with a semi-circle labeled  $z$  above it. The right side shows two terms: the first is a horizontal line from  $i$  to  $r-1$  with a semi-circle labeled  $z$  above it, followed by a horizontal line from  $r$  to  $j$  with a semi-circle labeled  $z^B$  above it; the second is a horizontal line from  $i$  to  $j-1$  with a semi-circle labeled  $z$  above it, followed by a horizontal line from  $j$  to  $j$  (a single point).

$$Z^{M_1}(i,j) = \sum_r Z^B(i,r) \times (j-r) \times \beta$$

$$\begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} \xrightarrow{z^{M_1}} \begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array}$$

Diagrammatic representation of the base case for  $Z^{M_1}$ . The left side shows a horizontal line segment from  $i$  to  $j$  with a semi-circle labeled  $z^{M_1}$  above it. The right side shows a horizontal line from  $i$  to  $r$  with a semi-circle labeled  $z^B$  above it, followed by a horizontal line from  $r$  to  $j$ .

$$\begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} \xrightarrow{z^M} \begin{array}{c} \bullet \\ \cdot \\ \bullet \end{array} = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} + \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array}$$

Diagrammatic representation of the recurrence relation for  $Z^M$ . The left side shows a horizontal line segment from  $i$  to  $j$  with a semi-circle labeled  $z^M$  above it. The right side shows two terms: the first is a horizontal line from  $i$  to  $r$  with a semi-circle labeled  $z^{M_1}$  above it; the second is a horizontal line from  $i$  to  $r-1$  with a semi-circle labeled  $z^M$  above it, followed by a horizontal line from  $r$  to  $j$  with a semi-circle labeled  $z^{M_1}$  above it.

# Algorithm (Feynman Diagrams)

$$\begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^Z \text{---} \bullet \\ i \qquad j \end{array} = \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^Z \text{---} \bullet \text{---} \overbrace{\text{---}}^{z^B} \text{---} \bullet \\ i \qquad r-1 \quad r \qquad j \end{array} + \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^Z \text{---} \bullet \\ i \qquad j-1 \quad j \end{array}$$

$$\begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^B} \text{---} \bullet \\ i \qquad j \end{array} = \begin{array}{c} \bullet \text{---} \overbrace{\text{---}} \text{---} \bullet \\ i \quad i+1 \qquad j-1 \quad j \end{array} + \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^B} \text{---} \bullet \\ i \qquad r \qquad s \qquad j \end{array} + \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^M} \text{---} \overbrace{\text{---}}^{z^{M1}} \text{---} \bullet \\ i \quad i+1 \qquad r-1 \quad r \qquad j-1 \quad j \end{array}$$

$$Z^M(i,j) = \sum_r Z^{M1}(r,j) \times (r-i) \times \beta + \sum_r Z^{M1}(i,r-1) \times Z^{M1}(r,j)$$

$$\begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^M} \text{---} \bullet \\ i \qquad j \end{array} = \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^{M1}} \text{---} \bullet \\ i \qquad r \qquad j \end{array} + \begin{array}{c} \bullet \text{---} \overbrace{\text{---}}^{z^M} \text{---} \overbrace{\text{---}}^{z^{M1}} \text{---} \bullet \\ i \qquad r-1 \quad r \qquad j \end{array}$$



# Algorithm (recursive equations)

Unconstrained partition function :

$$Z(i, j) = Z(i, j - 1) + \sum_{r=i}^{j-\theta-1} Z(i, r - 1) \cdot Z^B(r, j).$$

Partition function such that  $(i, j)$  base pair :

$$Z^B(i, j) = e^{-\mathcal{H}(i, j)/RT} + \sum_{i \leq \ell \leq r \leq j} e^{-\mathcal{I}(i, \ell, r, j)/RT} + e^{-(a+b)/RT} \cdot \left( \sum_{r=i+1}^{j-\theta-2} Z^M(i+1, r-1) \cdot Z^{M1}(r, j-1) \right).$$

Partition function for single stem multiloop :

$$Z^{M1}(i, j) = \sum_{r=i+\theta+1}^j Z^B(i, r) \cdot e^{-c(j-r)/RT}.$$

Partition function for general multiloop :

$$Z^M(i, j) = \sum_{r=i}^{j-\theta-1} Z^{M1}(r, j) \cdot e^{-(b+c(r-i))/RT} + \sum_{r=i+\theta+1}^{j-\theta-1} Z^M(i, r-1) \cdot Z^{M1}(r, j) \cdot e^{-b/RT}$$

# Applications

## Definition (base pair probability) :

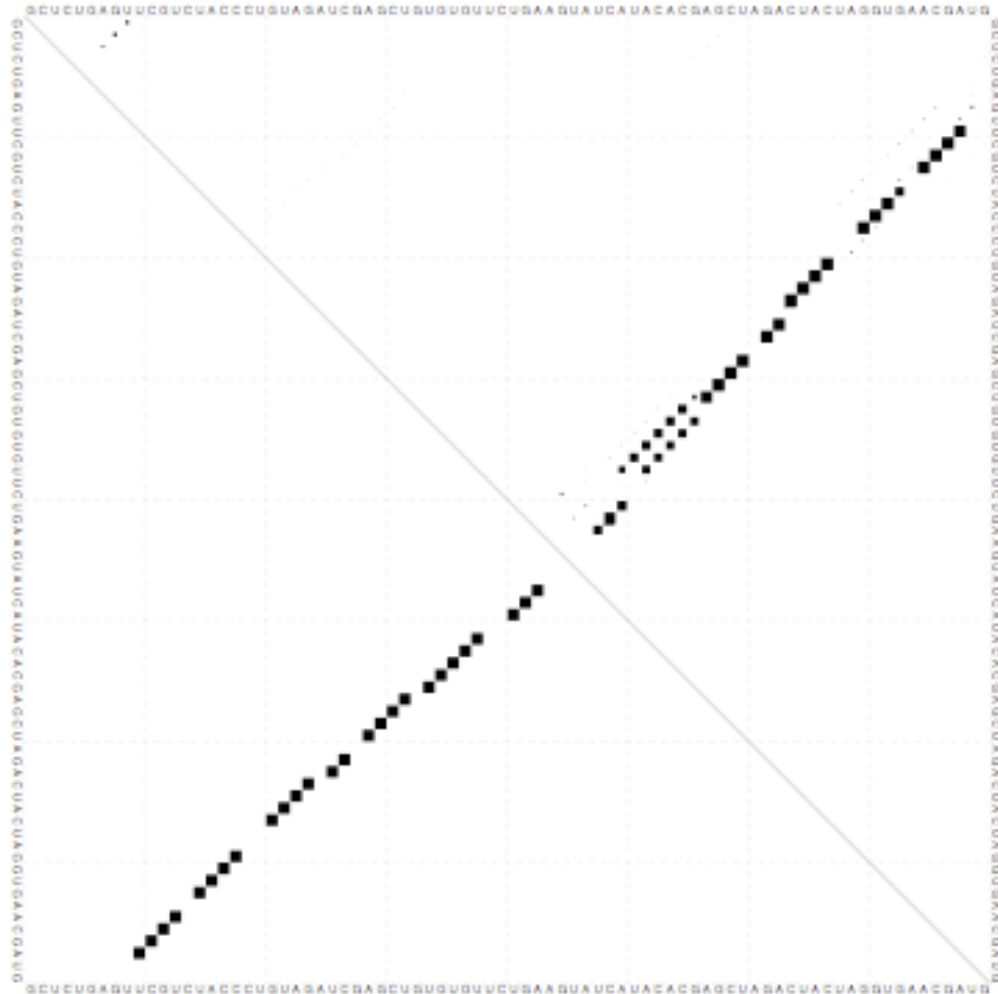
Let  $S_{i,j}$  be the subset of all sec. str. which contains the base pair  $(i,j)$ .

$$P_{i,j} = \frac{Z_{i,j}}{Z} = \frac{\sum_{S \in S_{i,j}} e^{-\beta S}}{Z}$$

$$P_{ij} = \frac{Z_{1,i-1} \cdot Z'_{i,j} \cdot Z_{j+1,N}}{Z_{1,N}} + \sum_{p < q, q > 1} P_{pq} \frac{Z'_{i,j}}{Z'_{p,q}} \cdot \left\{ E_{loop}(p, q, i, j) + Z_{p+1,j-1}^M a c^{q-j-1} + Z_{j+1,q-1}^M a c^{k-q-1} Z_{p+1,j-1}^M Z_{j+1,q-}^M \right.$$

# Example

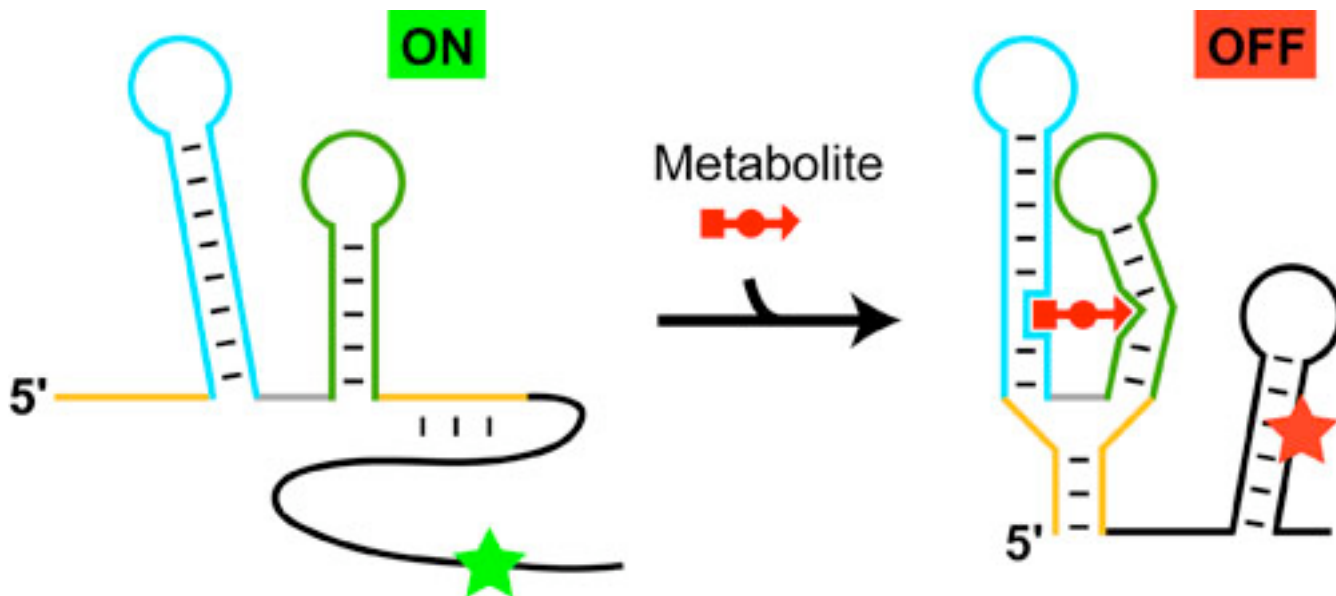
## Stochastic Contact Map of pre-miRNA cbr-mir-57



Lower triangle:  
MFE Contact Map

# (a better) Example

## Riboswitch



(Serganov et al.,2006)

RNA with two conformations controlled by a molecule binding.

# (a better) Example

## Stochastic Contact Map of a riboswitch

