# Harmonic Analysis of Boolean Functions

- Lectures: MW 10:05-11:25 in MC 103
- Office Hours: MC 328, by appointment (hatami@cs.mcgill.ca).
- Evaluation:
  - Assignments: 60 %
  - Presenting a paper at the end of the term: 20 %

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- Scribing two lectures: 15 %
- Attending lectures: 5 %

# Tentative plan

#### • Overview:

- Basic functional analysis.
- Basic Fourier analysis of discrete Abelian groups.

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- Mathematical theory:
  - Influences, Noise operator; Discrete Log-Sobolov inequalities, Hyper-contractivity, Threshold Phenomena, Noise sensitivity, etc.

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# Tentative plan

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- Applications to computer science:
  - Property testing.
  - Machine Learning.
  - Circuit Complexity.
  - Communication complexity.

# What are we going to study?



#### **Boolean Functions**

$$f: \{0,1\}^n \to \{0,1\}.$$

# What is Harmonic Analysis of Boolean Functions?

#### Harmonic Analysis

Focuses on the quantitative properties of functions, and how these quantitative properties change when apply various (often quite explicit) operators.

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# What is Harmonic Analysis of Boolean Functions?

#### Harmonic Analysis

Focuses on the quantitative properties of functions, and how these quantitative properties change when apply various (often quite explicit) operators.

#### Fourier analysis



Studies functions by decomposing them into a linear combination of "symmetric" functions. These symmetric functions are usually explicit, and are often associated with physical concepts such as frequency or energy.

# Examples I: Circuit Complexity

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# Circuit complexity

#### Question

What can one say about the Fourier expansion of functions computable with small circuits (with gates  $\land, \lor, \neg$ )?

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# **Circuit complexity**

#### Question

What can one say about the Fourier expansion of functions computable with small circuits (with gates  $\land, \lor, \neg$ )?

#### Theorem (Linial, Mansour, Nisan 1993)

The Fourier expansion of every such functions is always concentrated on low frequencies.

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# Circuit complexity

#### Question

What can one say about the Fourier expansion of functions computable with small circuits (with gates  $\land, \lor, \neg$ )?

#### Theorem (Linial, Mansour, Nisan 1993)

The Fourier expansion of every such functions is always concentrated on low frequencies.

• Corollary: Parity cannot be computed with a small circuit.

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# **Examples II: Influences**

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• Think of them as voting systems.



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Two candidates 0 and 1.



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- Two candidates 0 and 1.
- Everybody votes 0 or 1.



• Think of them as voting systems.



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- Two candidates 0 and 1.
- Everybody votes 0 or 1.
- f determines the winner.



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• How important is the vote of the *i*-th person?



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#### • How important is the vote of the *i*-th person?

<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> 3	f
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	0
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	1



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<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> 3	f
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	0
1	0	0	0
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1	0	1	1
1	1	0	1
1	1	1	1



#### • How important is the vote of the *i*-th person?



#### Definition (In Probabilistic Language:)

• Consider  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , and the *i*-th person.

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• Everybody else votes uniformly at random.

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- Everybody else votes uniformly at random.
- $I_i = \Pr[i \text{-th voter can change the outcome}].$

#### Question

## $f: \{0,1\}^n \rightarrow \{0,1\}$ with minimum total influence?

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#### Answer

The constant function f = 0 or f = 1. The total influence is 0.

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#### Question

Balanced  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  with minimum total influence?

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#### Example (Dictatorship)



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#### Example (Dictatorship)



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# More examples of Influences

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## Example (Majority)

$$f(x) =$$
Majority $(x_1, \ldots, x_n)$ .

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$$I_1 = I_2 = \ldots = I_n \approx \frac{1}{\sqrt{n}}$$
.
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## Example (Parity)

$$f(x) = x_1 \oplus x_2 \oplus \ldots \oplus x_n.$$

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## Example (Parity)

$$f(x)=x_1\oplus x_2\oplus\ldots\oplus x_n.$$

• 
$$I_1 = I_2 = \ldots = I_n = 1.$$

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### Dictator: $\sum I_i = 1 + 0 + ... + 0 = 1$ .

# Dictator: $\sum I_i = 1 + 0 + ... + 0 = 1$ . Parity: $\sum I_i = 1 + ... + 1 = n$ .

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Dictator: 
$$\sum I_i = 1 + 0 + ... + 0 = 1$$
.  
Parity:  $\sum I_i = 1 + ... + 1 = n$ .  
Majority:  $\sum I_i \approx \frac{1}{\sqrt{n}} + ... \frac{1}{\sqrt{n}} = \sqrt{n}$ .

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Majority:  $\sum I_i \approx \frac{1}{\sqrt{n}} + \ldots \frac{1}{\sqrt{n}} = \sqrt{n}$ .

### Question

Which functions have constant O(1) total influence?

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Junta session one week after the 1973 coup in Chile.

#### Definition (Junta)

There is a small set of voters  $\{i_1, \ldots, i_k\}$  who decide the election

$$f(\mathbf{x}) := g(\mathbf{x}_{i_1}, \ldots, \mathbf{x}_{i_k}).$$

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• Everybody outside the junta has influence 0.

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•  $\sum I_i \leq k$ .

There is a small set of voters  $\{i_1, \ldots, i_k\}$  who decide the election

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Everybody outside the junta has influence 0.

• 
$$\sum I_i \leq k$$
.

Friedgut: The inverse is essentially true.

#### Theorem (Friedgut'98)

If the total influence is constant then f is approximately a junta.

There is a small set of voters  $\{i_1, \ldots, i_k\}$  who decide the election

$$f(\mathbf{x}) := g(\mathbf{x}_{i_1}, \ldots, \mathbf{x}_{i_k}).$$

Everybody outside the junta has influence 0.

• 
$$\sum I_i \leq k$$
.

Friedgut: The inverse is essentially true.

#### Theorem (Friedgut'98)

If the total influence is constant then f is approximately a junta.

Proof is based on the proof of the KKL inequality.



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• It has many applications in computer science.



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- It is based on hyper-contractivity, a phenomenon in harmonic analysis.



- It has many applications in computer science.
- The proof was influential.
- It is based on hyper-contractivity, a phenomenon in harmonic analysis.
- It introduced this tool to the community of computer science and combinatorics.

# Example III: Phase Transitions

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# Erdös-Rényi graph

 In early sixties Erdös and Rényi invented the notion of a random graph G(n, p):

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# Erdös-Rényi graph

- In early sixties Erdös and Rényi invented the notion of a random graph G(n, p):
- A random graph on *n* vertices, where
- every edge is present independently with probability *p*.



# Thresholds

They observed that some fundamental graph properties such as connectivity exhibit a threshold as p increases.



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# Thresholds

This is an instance of the phenomenon of phase transition in statistical physics which explains the rapid change of behavior in many physical processes.



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# The critical probability

#### Definition

Let  $f : \{0, 1\}^n \to \{0, 1\}$  be an increasing function. The critical probability  $p_c$  is defined as

$$\Pr_{x \sim \mu_{\rho_c}}[f(x) = 1] = 1/2.$$



## Theorem (Bollobás-Thomason 1987)

Let  $f:\{0,1\}^n \to \{0,1\}$  be increasing. Then

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## Theorem (Bollobás-Thomason 1987)

Let  $f:\{0,1\}^n \to \{0,1\}$  be increasing. Then

$$\Pr_{x \sim \mu_p}[f(x) = 1] = \begin{cases} o(1) & p \ll p_c \\ 1 - o(1) & p \gg p_c. \end{cases}$$

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## Theorem (Bollobás-Thomason 1987)

Let  $f:\{0,1\}^n \to \{0,1\}$  be increasing. Then

$$\Pr_{x \sim \mu_p}[f(x) = 1] = \begin{cases} o(1) & p \ll p_c \\ 1 - o(1) & p \gg p_c. \end{cases}$$



## Connectivity:



## Containing a triangle:



# sharpness of threshold

One of the main questions that arises in studying phase transitions is:

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• "How sharp is the threshold?"

# sharpness of threshold

One of the main questions that arises in studying phase transitions is:

- "How sharp is the threshold?"
- That is how short is the interval in which the transition occurs.



## Theorem (Recall Bollobás-Thomason)

Every increasing function  $f : \{0,1\}^n \rightarrow \{0,1\}$  exhibits a *threshold*:

$$\Pr_{x \sim \mu_p}[f(x) = 1] = \begin{cases} o(1) & p \ll p_c \\ 1 - o(1) & p \gg p_c. \end{cases}$$

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## Theorem (Recall Bollobás-Thomason)

Every increasing function  $f : \{0,1\}^n \rightarrow \{0,1\}$  exhibits a *threshold*:

$$\Pr_{x \sim \mu_p}[f(x) = 1] = \begin{cases} o(1) & p \ll p, \\ 1 - o(1) & p \gg p, \end{cases}$$

#### Definition (Sharp threshold)

An increasing function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  exhibits a sharp threshold, if for all  $\epsilon > 0$ ,

$$\Pr_{x \sim \mu_p}[f(x) = 1] = \begin{cases} o(1) & p \leq (1 - \epsilon)p_c \\ 1 - o(1) & p \geq (1 + \epsilon)p_c. \end{cases}$$

Containing a triangle does not exhibit a sharp threshold.



Connectivity exhibits a sharp threshold.



## • What about more complicated properties such as

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## Example

What about more complicated properties such as

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- Satisfiability of a 3-SAT formula.
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Is there a general approach to such questions?

## Example

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  - Satisfiability of a 3-SAT formula.
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Is there a general approach to such questions?

## Question

What can we say about  $f : \{0, 1\}^n \to \{0, 1\}$  if it does not exhibit a sharp threshold?

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