

# The Classification of Finite Dimensional $C^*$ -algebras

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In this document, we will classify (up to isomorphism) all finite dimensional  $C^*$ -algebras with unity. Our proof follows that taught during a topics course at McGill university in the winter of 2018.

As a first step, we will prove a seemingly unrelated result which will play a crucial role in the classification presented in §2.

## 1 The First Step

Let  $\mathcal{U}$  be a  $C^*$ -algebra with identity  $\mathbb{1}$  and fix  $A \in \mathcal{U}$ . We define  $\mathcal{U}_A$  to be the  $C^*$ -subalgebra of  $\mathcal{U}$  generated by  $\{\mathbb{1}, A\}$ . In this section, we prove that  $\mathcal{U}_A \cong C(\sigma(A))$ . As usual, this will be achieved by way of multiple steps. We would first like to make it clear that  $\sigma(A)$  is always non-empty and compact (by Problem 1 in the midterm) and as such  $C(\sigma(A))$  is a Banach space over  $\mathbb{C}$  (with the uniform norm) when equipped with the obvious pointwise operations.

To be more precise, we prove the following.

**Theorem.** *Let  $\mathcal{U}$  be a  $C^*$ -algebra with identity  $\mathbb{1}$  and let  $A \in \mathcal{U}$  be self-adjoint. Letting  $\mathcal{U}_A$  be the  $C^*$ -subalgebra generated by  $\{A, \mathbb{1}\}$ , one has that  $\mathcal{U}_A \cong C(\sigma(A))$  as  $C^*$ -algebras.*

PROOF. We will decompose this proof into multiple steps. First, we define

$$V := \left\{ a_0 \mathbb{1} + a_1 A + \cdots + a_n A^n : n \in \mathbb{N}_0, a_j \in \mathbb{C} \right\}.$$

**Step 1.1.** *The set  $V$  forms a vector subspace of  $\mathcal{U}_A$ . Moreover, the topological closure  $\overline{V}$  is equal to  $\mathcal{U}_A$ . In particular,  $V$  is a dense subspace of  $\mathcal{U}_A$ .*

*Proof of Step 1.1.* First, it is clear that  $V$  is a subset of  $\mathcal{U}_A$  since  $\mathbb{1}, A \in \mathcal{U}_A$  by construction. Therefore,  $\overline{V} \subseteq \mathcal{U}_A$  since  $\mathcal{U}_A$  is a closed subspace containing  $V$ . Also, it is obvious from

the definition of  $V$  that  $V$  is closed under scalar multiplication and vector addition. Hence,  $V$  (and thus  $\overline{V}$ ) is a vector subspace of  $\mathcal{U}_A$ . Let now  $B, C \in V$  and write them as

$$B = \beta_0 \mathbb{1} + \cdots + \beta_n A^n, \quad C = \gamma_0 \mathbb{1} + \cdots + \gamma_m A^m$$

where  $\beta_j, \gamma_j \in \mathbb{C}$ . It is then clear that the product  $BC$  will be a finite sum of terms having the form  $\alpha_k A^k$ , with  $k \in \mathbb{N}_0$  and  $\alpha_k \in \mathbb{C}$ . Thus,  $BC \in V$  and  $V$  is closed under multiplication. Also, since  $A^* = A$  we see that

$$B^* = \overline{\beta_0} \mathbb{1} + \cdots + \overline{\beta_n} A^n \in V.$$

Therefore,  $V$  is closed under involution. We now argue that  $\overline{V}$  is a  $C^*$ -algebra. Notice that  $\overline{V}$  is a closed subset of a complete metric space, and is therefore complete in its own right. Since  $\overline{V}$  is a vector space,  $\overline{V}$  is a Banach space. Now, for  $B, C \in \overline{V}$  choose two sequences  $(B_n)_{n \in \mathbb{N}}$  and  $(C_n)_{n \in \mathbb{N}}$  in  $V$  such that

$$B = \lim_{n \rightarrow \infty} B_n, \quad C = \lim_{n \rightarrow \infty} C_n.$$

Since these are convergent sequences, they are also bounded with respect to the norm. Thus, for every  $n \in \mathbb{N}$  there holds

$$\|BC - B_n C_n\| = \|BC - BC_n + BC_n - B_n C_n\| \leq \|B\| \|C - C_n\| + \|B - B_n\| \|C_n\| \xrightarrow{n \rightarrow \infty} 0.$$

This implies that  $B_n C_n \rightarrow BC$ . But,  $B_n C_n \in V$  for every  $n$  and therefore  $BC \in \overline{V}$ . Hence,  $\overline{V}$  is closed under multiplication. Likewise, notice that

$$\|B^* - B_n^*\| = \|(B - B_n)^*\| = \|B - B_n\| \xrightarrow{n \rightarrow \infty} 0.$$

Now, since  $V$  is closed under involution, we have  $B_n^* \in V$  for all  $n$ . Therefore,  $B_n^* \in \overline{V}$  for all  $n$  and  $B_n^* \rightarrow B^*$ . This certainly means that  $B^* \in \overline{V}$ . We conclude from this that  $\overline{V}$  is a  $C^*$ -algebra. Now,  $V \subseteq \overline{V}$  and  $V$  contains  $\{\mathbb{1}, A\}$  by construction. Therefore,  $\overline{V}$  is a  $C^*$ -algebra containing  $\{\mathbb{1}, A\}$  and so we must have  $\overline{V} \supseteq \mathcal{U}_A$ . On the other hand, at the start of the proof, we showed  $\overline{V} \subseteq \mathcal{U}_A$ . This completes the proof of the step.  $\square$

We now lend ourselves to a useful observation. Notably, that the elements of  $V$  commute with themselves. To see that this is so, let  $B, C \in V$  be given and write them as

$$B = \beta_0 \mathbb{1} + \cdots + \beta_n A^n, \quad C = \gamma_0 \mathbb{1} + \cdots + \gamma_m A^m$$

It is therefore clear that

$$\begin{aligned}
BC &= (\beta_0 \mathbb{1} + \cdots + \beta_n A^n) (\gamma_0 \mathbb{1} + \cdots + \gamma_m A^m) \\
&= \sum_{k=0}^n \sum_{j=0}^m \beta_k \gamma_j A^{k+j} \\
&= (\gamma_0 \mathbb{1} + \gamma_1 A + \cdots + \gamma_m A^m) (\beta_0 \mathbb{1} + \beta_1 A + \cdots + \beta_n A^n) \\
&= CB.
\end{aligned}$$

Thus,  $V$  can be viewed as commutative. This gives way to the second step of the proof.

**Step 1.2.** Let  $T : V \rightarrow C(\sigma(A))$  be given by the association  $P(A) \mapsto P(\lambda)$ , where  $\lambda \in \sigma(A)$  is a free variable. Then  $T$  is well defined, linear, multiplicative and preserves both the norm and involution.

*Proof of Step 1.2.* We first ensure that  $T$  is well defined. Obviously, elements of  $V$  can be viewed as polynomials (over  $\mathbb{C}$ ) in  $A$ . Let now  $P(A)$  and  $Q(A)$  be any two such elements of  $V$  and suppose that  $P(A) = Q(A)$ . Then  $P(A) - Q(A) \in V$  is normal (since  $V$  is commutative and closed under taking adjoints). This implies that

$$\rho(P(A) - Q(A)) = \|P(A) - Q(A)\| = 0.$$

But then it follows that

$$0 = \rho(P(A) - Q(A)) = \sup_{\lambda \in (P-Q)(\sigma(A))} |\lambda| = \sup_{\lambda \in \sigma(A)} |P(\lambda) - Q(\lambda)|$$

whence  $P(\lambda) = Q(\lambda)$  for all  $\lambda \in \sigma(A)$ . This shows that  $T$  is well defined. It is also immediate from the definition that  $T$  is linear over  $\mathbb{C}$ .

Let now  $P(A) \in V$  be a polynomial in  $A$  over  $\mathbb{C}$ . Since one has  $A^* = A$  by hypothesis, direct calculation verifies that  $P(A)^* = \overline{P(A)}$  whence

$$T(P(A)^*) = T(\overline{P(A)}) = \overline{P(\lambda)} \stackrel{\text{def}}{=} P(\lambda)^* = T(P(\lambda))^*.$$

Again,  $P(A)$  is normal since  $V$  is closed under involutions and is commutative. This implies that

$$\|P(A)\| = \sup_{\lambda \in \sigma(P(A))} |\lambda| = \sup_{\lambda \in P(\sigma(A))} |\lambda| = \sup_{\lambda \in \sigma(A)} |P(\lambda)| = \|P(\lambda)\|$$

where this last term is clearly  $\|T(P(A))\|$ . Therefore,  $T$  is as required and this step is complete.  $\square$

We now wind down with the final step in the proof of this theorem.

**Step 1.3.** *The map  $T$  described in Step 1.2 extends to an isomorphism  $\mathcal{U}_A \rightarrow C(\sigma(A))$ .*

*Proof of Step 1.3.* Now, we have shown in Step 1.1 that  $V$  is dense in  $\mathcal{U}_A$ . Also, since  $T$  is norm preserving on  $V$ , it is bounded. We may therefore extend<sup>1</sup>  $T$  to a bounded linear operator on the whole of  $\mathcal{U}_A$ .

Let us also label this extension  $T$ ; we now show that  $T$  is the desired isomorphism. First,  $T$  will preserve multiplication. Certainly, let  $B, C \in \mathcal{U}_A$  be given and choose sequences  $(B_n)_{n \in \mathbb{N}}, (C_n)_{n \in \mathbb{N}}$  in  $V$  such that

$$B = \lim_{n \rightarrow \infty} B_n \quad \text{and} \quad C = \lim_{n \rightarrow \infty} C_n.$$

Then,  $B_n C_n \rightarrow BC$  whence by continuity of  $T$ :

$$T(BC) = \lim_{n \rightarrow \infty} T(B_n C_n) = \lim_{n \rightarrow \infty} T(B_n) T(C_n).$$

But, since  $B_n \rightarrow B$  and  $C_n \rightarrow C$  we also have  $T(B_n) \rightarrow T(B)$  and  $T(C_n) \rightarrow T(C)$ . Using this with the above implies that

$$T(BC) = \lim_{n \rightarrow \infty} T(B_n) T(C_n) = T(B) T(C).$$

Also,  $\|B^* - B_n^*\| = \|B - B_n\|$  and so  $B_n^* \rightarrow B^*$ . Again, invoking the continuity of  $T$  gives

$$T(B^*) = \lim_{n \rightarrow \infty} T(B_n^*) = \lim_{n \rightarrow \infty} T(B_n)^*.$$

But, by the same argument,  $T(B_n)^* \rightarrow T(B)^*$  and so the above yields

$$T(B^*) = \lim_{n \rightarrow \infty} T(B_n)^* = T(B)^*.$$

Now, the norm composed with  $T$  returns a continuous function and therefore

$$\|T(B)\| = \lim_{n \rightarrow \infty} \|T(B_n)\| = \lim_{n \rightarrow \infty} \|B_n\| = \|B\|$$

by continuity of the norm. This shows that  $T$  is norm preserving on the whole of  $\mathcal{U}_A$ . Notice that this implies that  $T$  is injective. Certainly, if  $T(B) = T(C)$  then  $T(B - C) = 0$  whence

$$\|B - C\| = \|T(B - C)\| = 0.$$

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<sup>1</sup>This is a general fact about Banach spaces. Let  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be Banach spaces and  $Q \subseteq \mathcal{B}_1$  a dense subspace. Suppose that we are given a bounded linear operator  $T : Q \rightarrow \mathcal{B}_2$ . Then  $T$  extends *uniquely* to a bounded linear operator  $\mathcal{B}_1 \rightarrow \mathcal{B}_2$ .

Thus, to establish that  $T$  is the desired isomorphism of  $C^*$ -algebras, we need only check that  $T$  is surjective. This will make use of the Stone-Weierstrass theorem.

Recall that  $\sigma(A)$  is non-empty and compact. Also, since  $A^* = A$ , we must have  $\sigma(A) \subset \mathbb{R}$ . Let now  $f \in C(\sigma(A))$  be given; by the Stone-Weierstrass theorem there exists a sequence  $(\varphi_n)_{n \in \mathbb{N}}$  of polynomials defined on  $\sigma(A)$  converging *uniformly* to  $f$  on  $\sigma(A)$ . Now, for each  $n \in \mathbb{N}$  we may express this  $\varphi_n$  as

$$\varphi_n(\lambda) = \sum_{j=0}^{K(n)} \alpha_{n,j} \lambda^j, \quad \alpha_{n,j} \in \mathbb{C}.$$

For each  $n \in \mathbb{N}$  we subsequently define

$$B_n := \varphi_n(A) = \sum_{j=0}^{K(n)} \alpha_{n,j} A^j.$$

Clearly,  $B_n$  “corresponds” to  $\varphi_n(\lambda)$  in the sense that  $\varphi_n(\lambda) = T(B_n)$  for all  $n$ . Now, using the fact that  $T$  is norm preserving:

$$\|B_n - B_m\| = \|T(B_n - B_m)\| = \|T(B_n) - T(B_m)\| = \|\varphi_n - \varphi_m\|_{\infty}.$$

Since  $(\varphi_n)_{n \in \mathbb{N}}$  is convergent in  $C(\sigma(A))$ , it is in particular Cauchy. The above then shows that  $(B_n)_{n \in \mathbb{N}}$  is Cauchy as well. Since  $\mathcal{U}_A$  is complete,  $B_n$  converges to some  $B \in \mathcal{U}_A$ . By the continuity of  $T$ , it follows that

$$T(B) = \lim_{n \rightarrow \infty} T(B_n) = \lim_{n \rightarrow \infty} \varphi_n = f.$$

Therefore,  $T$  is surjective and hence is the desired isomorphism of algebras. □

## 2 The Classification of Finite Dimensional $C^*$ -Algebras

Let  $\mathcal{U}$  be a finite dimensional  $C^*$ -algebra with identity  $\mathbb{1}$ , our goal is to prove that there exist finite dimensional Hilbert spaces  $\mathcal{H}_1, \dots, \mathcal{H}_n$  such that

$$\mathcal{U} \cong \bigoplus_{j=1}^n \mathcal{B}(\mathcal{H}_j).$$

Again, this proof will make use of multiple lemmas/propositions. Notice also that if  $\mathcal{H}$  is a finite dimensional Hilbert space, then  $\mathcal{B}(\mathcal{H}) \cong M_d(\mathbb{C})$  for some integer  $d$ . Therefore,

if the above holds, then we would also have that

$$\mathcal{U} \cong \bigoplus_{j=1}^n M_{d_j}(\mathbb{C}), \quad d_j \geq 1.$$

For what remains of this document,  $\mathcal{U}$  is a **finite dimensional**  $C^*$ -algebra with identity  $\mathbb{1}$ . We begin with the following expected result.

**Lemma 1.** *Let  $(X, d)$  be a metric space and let  $C(X)$  denote the space of all continuous functions  $X \rightarrow \mathbb{C}$ . If  $X$  is infinite, then  $\dim(C(X)) = \infty$ .*

*Proof.* Notice that the statement makes sense for  $C(X)$  is a vector space over  $\mathbb{C}$  with the usual pointwise operations. Fix  $N \in \mathbb{N}$  and choose a finite subset  $\{x_1, \dots, x_N\} \subset X$ . For every index  $n$  notice that the function  $x \mapsto d(x, x_n)$  is continuous on  $X$ . Therefore, so is the product

$$x \mapsto f_1(x) := \prod_{n=2}^N d(x, x_n).$$

Now,  $f_1(x) = 0$  if and only if  $x \in \{x_2, \dots, x_N\}$  and is non-zero at  $x_1$ . After normalization, we might as well assume that  $f_1(x_1) = 1$ . Proceeding similarly, for every index  $j = 1, \dots, N$  we may find a function  $f_j \in C(X)$  so that for  $x \in \{x_1, \dots, x_N\}$  one has

$$f_j(x) := \begin{cases} 1, & x = x_j, \\ 0, & x \neq x_j. \end{cases}$$

These functions are clearly linearly independent, and thus  $\dim(C(X)) \geq N$ . As  $N$  was arbitrary, it follows that  $C(X)$  is infinite dimensional.  $\square$

**Proposition 2.1.** *Let  $A \in \mathcal{U}$  be self-adjoint. Then  $\sigma(A)$  is finite and*

$$A = \sum_{\lambda \in \sigma(A)} \lambda P_\lambda$$

where the  $P_\lambda$  are non-zero projections in  $\mathcal{U}$  such that  $P_\lambda P_{\lambda'} = 0$  for  $\lambda \neq \lambda'$  and  $\sum_{\lambda \in \sigma(A)} P_\lambda = \mathbb{1}$ .

*Proof.* From the first part of this final, we have  $\mathcal{U}_A \cong C(\sigma(A))$ . Since  $\dim(\mathcal{U}) < \infty$  and  $\mathcal{U}_A \subseteq \mathcal{U}$ , we see that  $\dim(\mathcal{U}_A) = \dim(C(\sigma(A))) < \infty$ . Invoking the previous lemma, it becomes clear that  $\sigma(A)$  is also a finite set. Thus,  $\sigma(A) = \{\lambda_1, \dots, \lambda_n\}$  for some  $\lambda_j \in \mathbb{R}$ . Indeed, the  $\lambda_j$  are real since  $A$  is self-adjoint. Define now for  $k = 1, \dots, n$

$$f_k(\lambda) := \begin{cases} 1, & \lambda = \lambda_k, \\ 0, & \text{otherwise.} \end{cases}$$

Since  $\sigma(A)$  is finite, this is clearly a continuous function  $\sigma(A) \rightarrow \mathbb{C}$ . Also, it is clear from the definition that for each  $\lambda \in \sigma(A)$ :

$$\sum_{k=1}^n f_k(\lambda) = 1 \quad \text{and} \quad \sum_{k=1}^n \lambda_k f_k(\lambda) = \lambda.$$

Now, in the first problem we proved that  $\mathcal{U}_A \cong C(\sigma(A))$ . For  $k = 1, \dots, n$ , let  $P_k$  be the element of  $\mathcal{U}_A$  associated to  $f_k$  (according to the isomorphism provided in the first problem). Then, since such an isomorphism will preserve the structure of  $\mathcal{U}_A$  we see that  $P_k^2 = P_k$  since  $f_k^2 \equiv f_k$ . Also, since  $f_k$  maps to  $\mathbb{R}$  and the isomorphism respects involution, we will also have  $P_k^* = P_k$ . Just the same, this isomorphism takes  $\mathbb{1} \rightarrow 1$  whence one has

$$\sum_{k=1}^n P_k = \mathbb{1} \quad \text{and} \quad \sum_{k=1}^n \lambda_k P_k = A.$$

This proves the proposition. □

We recall some notation regarding projections. Let  $P_1, P_2$  be projections in  $\mathcal{U}$ . We will write  $P_1 \geq P_2$  if and only if  $P_1 P_2 = P_2$ . If  $P$  is a projection, then  $P^2 = P$  so that  $P \leq P$ . If  $P_1 \geq P_2$  and  $P_2 \geq P_1$  then

$$P_1 P_2 = P_2 \quad \text{and} \quad P_2 P_1 = P_1.$$

Taking adjoints in the second equation gives  $P_1 P_2 = P_1$  and so  $P_1 = P_1 P_2 = P_2$ . If  $P_3 \leq P_2$  and  $P_2 \leq P_1$  then

$$P_2 P_3 = P_3 \quad P_1 P_2 = P_2.$$

But then

$$P_1 P_3 = P_1 (P_2 P_3) = (P_1 P_2) P_3 = P_2 P_3 = P_3.$$

This shows that ' $\geq$ ' is a partial order.

**Proposition 2.2.** *Let  $P_1, P_2$  be projections in  $\mathcal{U}$ . Then  $P_1 \geq P_2$  if and only if  $P_2 \mathcal{U} P_2 \subseteq P_1 \mathcal{U} P_1$ . Therefore,*

$$P_1 = P_2 \iff P_2 \mathcal{U} P_2 = P_1 \mathcal{U} P_1.$$

*Proof.* Suppose first that  $P_1 \geq P_2$ . Then, by definition,

$$P_2 \mathcal{U} P_2 = P_1 P_2 \mathcal{U} P_2 P_1 \subseteq P_1 \mathcal{U} P_1.$$

Indeed, this is because  $P_1P_2 = P_2$  implies that

$$P_2 = P_2^* = (P_1P_2)^* = P_2P_1.$$

Conversely, suppose that  $P_2\mathcal{U}P_2 \subseteq P_1\mathcal{U}P_1$ . Then  $P_2\mathbb{1}P_2 = P_1AP_1$  for some  $A \in \mathcal{U}$  whence

$$P_1P_2 = P_1[P_1AP_1] = P_1AP_1 = P_2.$$

This completes the proof.  $\square$

**Proposition 2.3.** *Let  $P \in \mathcal{U}$  be a projection such that  $\dim(P\mathcal{U}P) > 1$ . There exists a non-zero projection  $Q \in \mathcal{U}$  such that  $P \geq Q$  and  $P \neq Q$ .*

*Proof.* Notice that  $P\mathcal{U}P$  is clearly a vector subspace of  $\mathcal{U}$  with identity  $P$ . Now,  $P^2 = P$

$$(PAP)(PBP) = P(APB)P \in P\mathcal{U}P.$$

Furthermore, since  $P^* = P$ , we have

$$(PAP)^* = PA^*P \in P\mathcal{U}P.$$

Since  $P\mathcal{U}P$  is a subspace of a finite dimensional space  $\mathcal{U}$ , it is complete and hence a  $C^*$ -algebra. Let now  $A \in P\mathcal{U}P$  be self adjoint and suppose that  $\sigma(A)$  is a singleton  $\{\lambda\}$ . Then consider the functions  $f(z) = z$  and  $g(z) = \lambda$  on  $\sigma(A)$ . Relative to  $\sigma(A)$ , these are the same functions. But then, the first part of this final yields that

$$f(A) = A, \quad g(A) = \lambda P$$

since  $P$  is the identity of  $P\mathcal{U}P$ . This would imply also that  $A = \lambda P$ . Therefore, if every self-adjoint element of  $P\mathcal{U}P$  had a singleton spectrum, then, since every such  $A$  would look like  $\lambda P$ , we would have

$$P\mathcal{U}P = \{\lambda P : \lambda \in \mathbb{C}\}.$$

Indeed, this is because any  $B \in P\mathcal{U}P$  can be written as  $A_1 + iA_2$  for self adjoint  $A_1, A_2$ . But this contradicts the fact that  $P\mathcal{U}P$  has dimension larger than 1. Hence, there exists a self adjoint element  $A \in P\mathcal{U}P$  having two distinct points  $\lambda, \lambda' \in \sigma(A)$ . Following the notation of the first proposition, consider non-zero corresponding projections  $P_\lambda, P'_\lambda$  such that  $P_\lambda P_{\lambda'} = 0$ . Now, because  $P_\lambda \in P\mathcal{U}P$  we can express it as

$$P_\lambda = PBP, \quad B \in \mathcal{U}$$

whence

$$PP_\lambda = PPBP = PBP = P_\lambda.$$

Likewise, we see that  $PP'_\lambda = P_{\lambda'}$ . In any case, we have shown that  $P \geq P_\lambda$ . Now, suppose that  $P = P_\lambda$ . Then, by what we have shown above

$$P_{\lambda'} = PP_{\lambda'} = 0$$

which contradicts our choice of  $P_{\lambda'}$ . Hence,  $P \neq P_\lambda$  and the proposition has been proven.  $\square$

**Proposition 2.4.** *There exists a projection  $P$  in  $\mathcal{U}$  such that no non-zero projection  $\theta \neq P$  satisfies  $\theta \leq P$ . In a sense, there exists a “minimal” non-zero projection.*

*Proof.* Since  $\mathcal{U}$  has an identity  $\mathbb{1}$  there will always exist a projection in  $\mathcal{U}$ . Let now  $P$  be a projection in  $\mathcal{U}$  and assume that  $\dim(P\mathcal{U}P) > 1$ . There exists non-zero  $Q \neq P$  with  $Q \leq P$ , by the previous proposition. Also,  $Q \in P\mathcal{U}P$ . Indeed,  $Q \leq P$  implies that

$$PQ = Q \quad \text{and} \quad Q\mathcal{U}Q \subseteq P\mathcal{U}P.$$

Hence,  $Q\mathbb{1}Q = Q^2 = Q$  lives in  $P\mathcal{U}P$ . Also, we must have

$$Q\mathcal{U}Q \subsetneq P\mathcal{U}P.$$

If we had equality, then it would follow that  $Q \geq P$  which contradicts  $P \neq Q$ . This also yields  $\dim(Q\mathcal{U}Q) < \dim(P\mathcal{U}P)$ . We may repeat this procedure finitely many times to obtain a projection  $R$  such that  $\dim(R\mathcal{U}R) = 1$ . We claim that  $R$  is minimal. Suppose we can find a projection  $\theta \leq R$  with  $\theta \neq R$ . But then we see that  $\theta\mathcal{U}\theta \subsetneq R\mathcal{U}R$  so that  $\dim(\theta\mathcal{U}\theta) = 0$ . Hence,  $\theta = 0$ . This shows that  $R$  is minimal.  $\square$

The argument used in this proof gives the following.

**Corollary 1.**  *$P$  is minimal if and only if  $P\mathcal{U}P$  has dimension 1.*

**Proposition 2.5.** *Let  $P$  be a non-zero minimal projection and consider the vector space  $\mathcal{U}P$ . If  $A, B \in \mathcal{U}P$  then  $A = AP$  and  $B = BP$ . Indeed,  $A = CP$  for  $C \in \mathcal{U}$  so that*

$$AP = CPP = CP = A.$$

Moreover,

$$A^*B = PA^*BP \in P\mathcal{U}P.$$

By minimality,  $\dim(P\mathcal{U}P) = 1$  and so  $A^*B = \lambda P$  for a unique  $\lambda \in \mathbb{C}$ . We claim that

$$\langle A, B \rangle = \lambda$$

is an inner product on  $\mathcal{U}P$ . Hence,  $\mathcal{U}P$  is a finite dimensional inner-product space. Therefore,  $\mathcal{U}P$  is a Hilbert space over  $\mathbb{C}$ .

*Proof.* By definition,  $\langle A, B \rangle P = \lambda P = A^* B$ . Therefore,

$$\langle A, \alpha B_1 + \beta B_2 \rangle P = A^*(\alpha B_1 + \beta B_2) = \alpha A^* B_1 + \beta A^* B_2 = \alpha \langle A, B_1 \rangle P + \beta \langle A, B_2 \rangle P.$$

Since  $P \neq 0$ , this gives

$$\langle A, \alpha B_1 + \beta B_2 \rangle = \alpha \langle A, B_1 \rangle + \beta \langle A, B_2 \rangle.$$

But, also,  $\langle A, A \rangle P = A^* A \geq 0$ . In particular,  $\langle A, A \rangle = 0$  if and only if  $A^* A = 0$  which is equivalent to  $\|A\| = 0$ . Now, notice that  $P$  is positive since

$$P = P^2 = PP = P^* P.$$

Let then  $\lambda := \langle A, A \rangle$  so that  $\lambda P = A^* A \geq 0$ . Since  $\sigma(\lambda P) = \lambda \sigma(P) \subseteq [0, \infty)$ , it follows from the positivity of  $P$  that  $\lambda \geq 0$ . That is,  $\langle A, A \rangle \geq 0$  as was required.  $\square$

**Proposition 2.6.** *Let  $P$  be a minimal non-zero projection. Then*

$$\mathcal{UPU} \stackrel{\text{def}}{=} \text{Span}(\{APB : A \in \mathcal{U}, B \in \mathcal{U}\})$$

*is a unital  $C^*$ -subalgebra of  $\mathcal{U}$ .*

*Proof.* Clearly,  $\mathcal{UPU}$  is closed under scalar multiplication and vector addition; this makes  $\mathcal{UPU}$  a subspace of a finite dimensional vector space ( $\mathcal{U}$ ) and so it must also be finite dimensional. As a vector subspace of a finite dimensional space, it is topologically closed and thus complete. It is clear from the definitions that  $\mathcal{UPU}$  is closed under multiplication and involution. This makes  $\mathcal{UPU}$  into a  $C^*$ -algebra.

Now, we have shown that  $\mathcal{UP}$  is a finite dimensional inner product space with respect to the inner product introduced in the previous proposition. Since it has finite dimension, it is a Hilbert space. Let  $C_1, \dots, C_n$  be an orthonormal basis for  $\mathcal{UP}$ . Define

$$Q := \sum_{j=1}^n C_j C_j^*.$$

Now,  $C_j \in \mathcal{UP}$ . This means that  $C_j = C_j P$  so that  $C_j^* = P^* C_j^* = P C_j^*$ . Therefore,

$$C_j C_j^* = C_j P P C_j^* = C_j P C_j^* \in \mathcal{UPU}.$$

Especially,  $C_j P C_j^* \in \mathcal{UPU}$ . Hence,  $Q \in \mathcal{UPU}$ . Let now  $A \in \mathcal{UP}$  be given and write

$$QA = \sum_{j=1}^n C_j C_j^* A = \sum_{j=1}^n C_j \langle C_j, A \rangle P = \sum_{j=1}^n \langle C_j, A \rangle C_j P = \sum_{j=1}^n \langle C_j, A \rangle C_j = A.$$

Here we have used that  $C_j = C_j P$ , by  $C_j \in \mathcal{UP}$ . This means that  $QA = A$  for all  $A \in \mathcal{UP}$ . If  $A \in \mathcal{UP}$  and  $C \in \mathcal{U}$  this gives also

$$QAC = AC$$

whence  $QA = A$  for all  $A \in \mathcal{UPU}$ . Thus,  $Q$  is a left identity. Taking adjoints, we get

$$A^* Q^* = A^*, \quad \forall A \in \mathcal{UPU}.$$

But, it is obvious that  $Q^* = Q$  and so  $Q$  is also a right identity. This concludes the proof.  $\square$

**Proposition 2.7.** *Let  $P$  be a minimal non-zero projection. then  $\mathcal{UPU}$  is isomorphic to  $\mathcal{B}(\mathcal{UP})$ .*

*Proof.* We construct a map  $\pi : \mathcal{UPU} \rightarrow \mathcal{B}(\mathcal{UP})$ . For an element  $A \in \mathcal{UPU}$  we define an operator

$$\pi(A) : \mathcal{UP} \rightarrow \mathcal{UP}$$

by  $\pi(A)a := Aa$ . Clearly, this is well defined, linear, and bounded. Hence,  $\pi$  is well defined. Clearly,  $\pi(A + B) = \pi(A) + \pi(B)$  and also  $\pi(A) \circ \pi(B) = \pi(AB)$ . Moreover, for all  $a, b \in \mathcal{UP}$  one has

$$\langle a, \pi(A^*)b \rangle P = a^* \pi(A^*)b = a^* A^* b = \langle Aa, b \rangle P = \langle \pi(A)a, b \rangle P.$$

Since  $P \neq 0$ , this gives that

$$\langle a, \pi(A^*)b \rangle = \langle \pi(A)a, b \rangle$$

and so  $\pi(A)^* = \pi(A^*)$ . This means that  $\pi$  is a  $*$ -morphism. It hence only remains to ensure that  $\pi$  is a bijection. Now, an arbitrary element of  $\mathcal{UPU}$  is a finite sum of terms having the form  $APB$ . Since  $AP, B^*P$  live in  $\mathcal{UP}$  we may write them as

$$AP = \sum_{j=1}^n \alpha_j C_j, \quad B^*P = \sum_{j=1}^n \beta_j C_j.$$

Or, rather,

$$PB = (B^*P)^* = \sum_{j=1}^n \overline{\beta_j} C_j^*.$$

Now, since  $P^2 = P$  it follows from the above that

$$APB = (AP)(PB) = \sum_{i,j=1}^n \gamma_{i,j} C_i C_j^*.$$

Thus,  $\mathcal{UPU}$  is spanned by the elements  $C_i C_j^*$  for  $i, j = 1, \dots, n$ . We now argue that these elements are linearly independent. To this end, suppose that one has the relation  $\sum_{i,j=1}^n \gamma_{i,j} C_i C_j^* = 0$  for  $\gamma_{i,j} \in \mathbb{C}$ . Then, for  $a \in \mathcal{UP}$  one has that

$$0 = \sum_{i,j=1}^n \gamma_{i,j} C_i C_j^* a = \sum_{i,j=1}^n \gamma_{i,j} C_i \langle C_j, a \rangle P.$$

In the above, take  $a := C_k$  for any  $1 \leq k \leq n$  thereby yielding

$$0 = \sum_{i=1}^n C_i \sum_{j=1}^n \gamma_{i,j} \langle C_j, C_k \rangle P = \sum_{i=1}^n \gamma_{i,k} C_i P = \sum_{i=1}^n \gamma_{i,k} C_i.$$

By the linear independence of the  $C_i$ , it follows that  $\gamma_{i,k} = 0$  for all  $i$ . Letting  $k$  vary also gives that  $C_i C_j^*$  are linearly independent over  $\mathbb{C}$ . Hence,  $C_i C_j^*$  forms a *basis* for  $\mathcal{UPU}$ .

Now, notice that for  $a \in \mathcal{UP}$

$$\pi(C_i C_j^*) = C_i C_j^* a = \langle C_j, a \rangle C_i.$$

This means that  $\pi(C_i C_j^*) \equiv \langle C_j, \cdot \rangle C_i$ . Let now  $L : \mathcal{UP} \rightarrow \mathcal{UP}$  be a bounded linear operator. Then, this may be realized as

$$L(a) = \ell_1(a) C_1 + \dots + \ell_n(a) C_n$$

for bounded<sup>2</sup> linear functionals  $\ell : \mathcal{UP} \rightarrow \mathbb{C}$ . Now, by the Riesz-Representation theorem, every  $\ell_k$  may be represented as

$$\ell_k(\cdot) \equiv \langle b, \cdot \rangle, \quad b \in \mathcal{UP}.$$

Now, this  $b$  will be itself a linear combination of the form  $b = \sum_{j=1}^n \alpha_j C_j$ . All of these facts combined show that the space  $\mathcal{B}(\mathcal{UP})$  is spanned by the collection

$$\left\{ \langle C_j, \cdot \rangle C_i \right\}_{i,j=1}^n.$$

However, this family contains  $n^2$ -elements. Since  $\mathcal{B}(\mathcal{UP})$  is isomorphic to  $M_n(\mathbb{C})$  (in the sense of vector spaces), its dimension over  $\mathbb{C}$  is  $n^2$ . Since we have found a spanning set having no larger than  $n^2$ -elements, it must be a linearly independent family (for otherwise we could remove an element, which would contradict  $\dim(\mathcal{UP}) \leq n^2$ ). It follows that  $\pi$  is bijective and an isomorphism. □

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<sup>2</sup>Linear functionals defined on finite dimensional complex vector spaces are always continuous, and hence bounded.

We now briefly move on towards a useful definition. A collection  $\{P_1, \dots, P_n\}$  of minimal non-zero projections is called **independent** if  $P_i \mathcal{U} P_j = \{0\}$  whenever  $i \neq j$ . We will now show that any such collection is linearly independent and must satisfy  $n \leq \dim(\mathcal{U})$ .

Indeed, assume that  $P_1, \dots, P_n$  are linearly *dependent*. There must then exist a non-trivial linear combination equal to zero. Without loss of generality, we will assume  $P_1$  is a non-trivial linear combination of  $P_2, \dots, P_n$ . Then for suitable  $\alpha_j \in \mathbb{C}$  one may write

$$P_1 = \alpha_2 P_2 + \dots + \alpha_n P_n.$$

This implies that

$$P_1 \mathcal{U} P_1 = P_1 \mathcal{U} (\alpha_2 P_2 + \dots + \alpha_n P_n) = \{0\}.$$

However, this implies that  $P_1 \mathbb{1} P_1 = P_1 = 0$  which is absurd. Hence, these are linearly independent. As a result, we must clearly have  $n \leq \dim(\mathcal{U})$ . Let now  $\{P_1, \dots, P_n\}$  be a maximal collection of non-zero *independent* minimal projections.

**Proposition 2.8.**  $\mathcal{U}$  is isomorphic (as a  $C^*$ -algebra) to the direct sum  $\bigoplus_{j=1}^n \mathcal{U} P_j \mathcal{U}$ . Moreover, invoking the previous proposition, one therefore has that

$$\mathcal{U} \cong \bigoplus_{j=1}^n \mathcal{U} P_j \mathcal{U} \cong \bigoplus_{j=1}^n \mathcal{B}(\mathcal{U} P_j).$$

*Proof.* We recall that  $\mathcal{U} P_k \mathcal{U}$  is a  $C^*$ -algebra having an identity  $Q_k$ . If  $A \in \mathcal{U} P_i \mathcal{U}$  and  $B \in \mathcal{U} P_j \mathcal{U}$  for  $i \neq j$  then

$$A = \sum_{k=1}^{N_1} A_k^{(1)} P_i B_k^{(1)}, \quad B = \sum_{l=1}^{N_2} A_l^{(2)} P_j B_l^{(2)}.$$

Therefore, since  $i \neq j$ .

$$AB = \sum_{k,l} A_k^{(1)} \underbrace{(P_i B_k^{(1)} A_l^{(2)} P_j)}_{=0} B_l^{(2)} = 0.$$

Let now  $Q := \mathbb{1} - \sum_1^n Q_j$ . Now, notice that

$$\begin{aligned}
Q^2 &= \left( \mathbb{1} - \sum_{j=1}^n Q_j \right) \left( \mathbb{1} - \sum_{j=1}^n Q_j \right) = \mathbb{1} - 2 \sum_{j=1}^n Q_j + \sum_{i,j=1}^n Q_i Q_j = \mathbb{1} - 2 \sum_{j=1}^n Q_j + \sum_{j=1}^n Q_j^2 \\
&= \mathbb{1} - 2 \sum_{j=1}^n Q_j + \sum_{j=1}^n Q_j \\
&= \mathbb{1} - \sum_{j=1}^n Q_j \\
&= Q.
\end{aligned}$$

Therefore,  $Q$  is a projection. If  $Q$  is non-zero as well then we can choose (by the proof of Proposition 2.4) a minimal non-zero projection  $P$  such that  $Q \geq P$ . Now, since  $P \leq Q$  we may write for any index  $k$

$$P\mathcal{U}P_k = PQ\mathcal{U}P_k = PQQ_k\mathcal{U}P_k;$$

here we have used that  $Q_k$  acts as an identity on  $\mathcal{U}P_k\mathcal{U} \supseteq P_k\mathcal{U}$ . However,

$$QQ_k = Q_k - \sum_{j=1}^n Q_j Q_k = Q_k - Q_k^2 = Q_k - Q_k = 0$$

whence  $P\mathcal{U}P_k = \{0\}$ , for all indices  $k$ . Thus,  $\{P, P_1, \dots, P_n\}$  is independent which contradicts the maximality of  $\{P_1, \dots, P_n\}$ . This contradiction gives  $Q = 0$  which implies

$$\sum_{j=1}^n Q_j = \mathbb{1}.$$

Given  $A \in \mathcal{U}$ , we have  $A = \mathbb{1}A = \sum_{j=1}^n Q_j A =: \sum_{j=1}^n A_j$ . Furthermore, we have that

$$A_j = Q_j A = \sum_{k=1}^m C_{j,k} C_{j,k}^* A = \sum_{k=1}^m C_{j,k} P_j C_{j,k}^* A \in \mathcal{U}P_j\mathcal{U}$$

where  $\{C_{j,k}\}_k$  is a basis for  $\mathcal{U}P_j$ . Here we may add this factor of  $P_j$  into the summand since  $C_{j,k} \in \mathcal{U}P_j$  whence

$$C_{j,k} = c_{j,k} P_j = c_{j,k} P_j^2 = C_{j,k} P_j$$

for some  $c_{j,k} \in \mathcal{U}$ . This shows that every element  $A \in \mathcal{U}$  may be written as a sum  $A_1 + \dots + A_n$  where  $A_j \in \mathcal{U}P_j\mathcal{U}$ . This decomposition is also unique. Indeed, if  $A$  may also be expressed as

$$A = B_1 + \dots + B_n, \quad B_j \in \mathcal{U}P_j\mathcal{U}$$

then  $0 = (A_1 - B_1) + \cdots + (A_n - B_n)$  with  $A_j - B_j \in \mathcal{U}P_j\mathcal{U}$ . Therefore, uniqueness follows if we can show that

$$0 = A_1 + \cdots + A_n, \quad A_j \in \mathcal{U}P_j\mathcal{U}$$

implies  $A_j = 0$  for all  $j$ . However, multiplying through by  $Q_j$  gives  $0 = A_j Q_j = A_j$  which is precisely this result. Therefore, the map

$$\pi : \mathcal{U} \rightarrow \bigoplus_{j=1}^n \mathcal{U}P_j\mathcal{U}, A \mapsto (A_1, \cdots, A_n)$$

is linear (clearly) and also a bijection. We now argue that  $\pi$  is a  $*$ -morphism. Since the families  $\mathcal{U}P_i\mathcal{U}$  are “orthogonal”, if we take  $A, B \in \mathcal{U}$  and write them as

$$A = A_1 + \cdots + A_n, \quad B = B_1 + \cdots + B_n, \quad A_j, B_j \in \mathcal{U}P_j\mathcal{U},$$

it follows that

$$AB = A_1 B_1 + \cdots + A_n B_n.$$

Thus,  $\pi(AB) = \pi(A)\pi(B)$ . In just the same way,

$$\pi(A^*) = \pi(A_1^* + \cdots + A_n^*) = (A_1^*, \dots, A_n^*)$$

so that  $\pi(A^*) = \pi(A)^*$ . Since  $\pi$  is injective and a  $*$ -morphism, it preserves norm and the proof is complete.  $\square$