

# Tabled higher-order logic programming

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David Warren, University of New York at Stony Brook

# Outline

- Logical frameworks and certified code
- Tabled higher-order logic programming
  - Basic idea and challenges
  - Experiments and Evaluation
  - Improving efficiency
- Conclusion and future work

# Deductive systems and logical frameworks

Deductive systems are plentiful computer science.

- Axioms and inference rules
- Examples: operational semantics, type system, logic, etc.

# Deductive systems and logical frameworks

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- Examples: operational semantics, type system, logic, etc.

**Logical framework:** meta-language for deductive systems

- High-level specifications (e.g. type system)
- Execution via logic programming interpretation (e.g. type checker)
- Meta-reasoning via theorem prover combining induction and logic programming search (e.g. type preservation)

# Declarative description of subtyping

types  $\tau ::= \text{zero} \mid \text{pos} \mid \text{nat} \mid \text{bit} \mid \tau_1 \Rightarrow \tau_2 \mid \dots$

Example:  $6 = \epsilon 110$       and       $\epsilon 110 \in \text{nat}$

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Example:  $6 = \epsilon 110$       and       $\epsilon 110 \in \text{nat}$

$\frac{}{\text{zero} \preceq \text{nat}}$       zn

$\frac{}{\text{pos} \preceq \text{nat}}$       pn

$\frac{}{\text{nat} \preceq \text{bit}}$       nb

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$$\frac{}{\text{pos} \preceq \text{nat}} \text{pn}$$

$$\frac{}{\text{nat} \preceq \text{bit}} \text{nb}$$

$$\frac{}{\tau \preceq \tau} \text{refl}$$

$$\frac{\tau_1 \preceq \tau \quad \tau \preceq \tau_2}{\tau_1 \preceq \tau_2} \text{tr}$$

# Typing rules for Mini-ML

expressions  $e ::= \epsilon \mid e_0 \mid e_1 \mid \text{fun } x.e \mid \text{app } e_1 e_2$

$$\frac{\Gamma \vdash e : \tau' \quad \tau' \preceq \tau}{\Gamma \vdash e : \tau} \text{tp-sub}$$

$$\frac{\Gamma, x:\tau_1 \vdash \tau_2}{\Gamma \vdash \text{fun } x.e : \tau_1 \Rightarrow \tau_2} \text{tp-fun}$$



# Implementation of subtyping

zn: sub zero nat.

pn: sub pos nat.

nb: sub nat bit.

refl: sub T T.

tr: sub T S

<- sub T R

<- sub R S.

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tr: sub T S

  <- sub T R

  <- sub R S.

**Proof:** (tr nb zn)

# Implementation of typing rules

```
tp_sub:   of E T
         <- of E T'
         <- sub T' T.
```

```
tp_fun:   of (fun λ x.E x) (T1 => T2)
         <- (Π x:exp.of x T1 -> of (E x) T2) .
           “forall x:exp, assume of x T1
             and show of (E x) T2”
```

# Higher-order logic programming

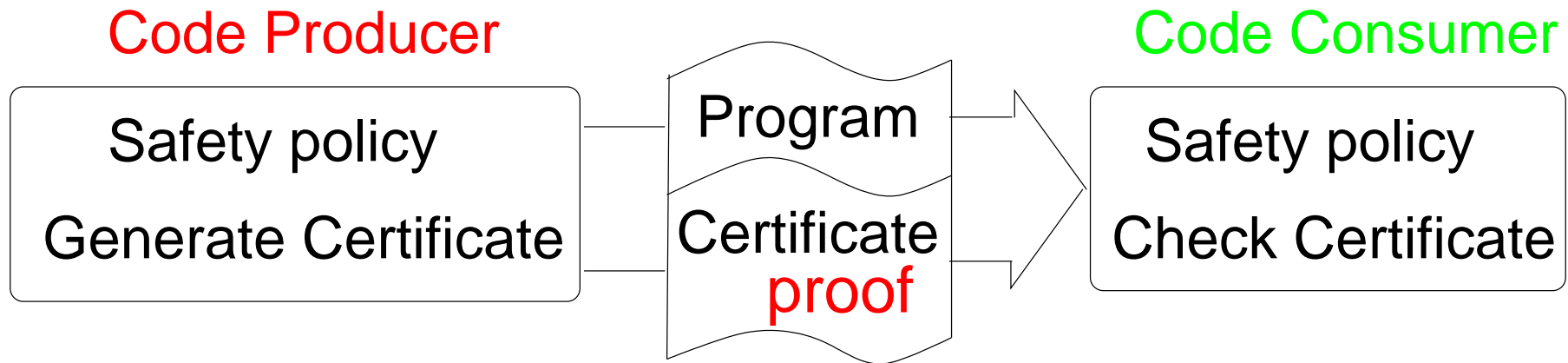
- Higher-order data-types:
  - $\lambda$ -abstraction
  - dependent types
- Dynamic program clauses
- Explicit proof objects

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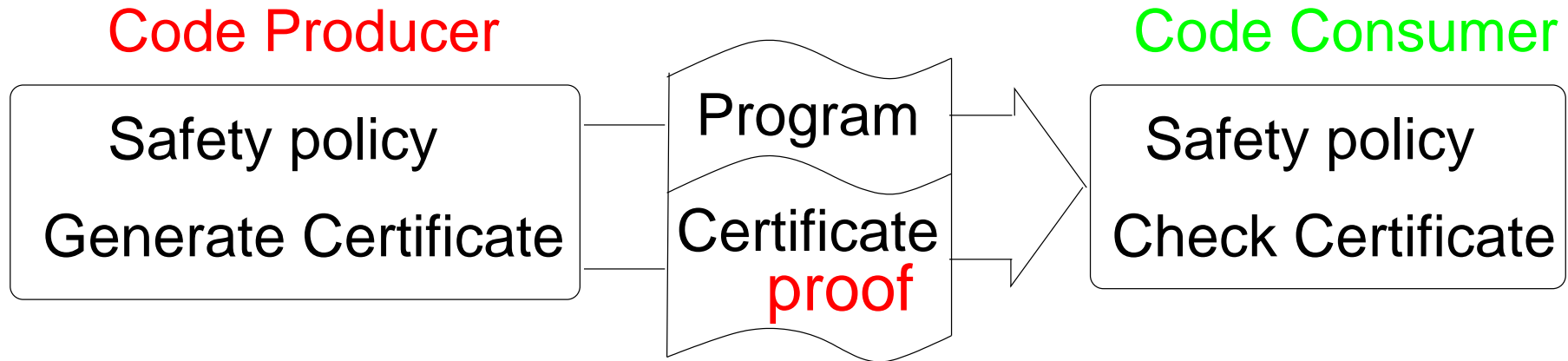
Different approaches:  $\lambda$ Prolog, Isabelle, Twelf

# Application: certified code



- Foundational proof-carrying code : [Appel, Felty 00]
- Temporal-logic proof carrying code [Bernard, Lee02]
- Foundational typed assembly language : [Crary 03]
- Proof-carrying authentication: [Felten, Appel 99]

# Application: certified code



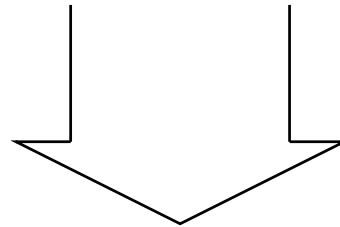
## Large-scale applications

- Typical code size: 70,000 – 100,000 lines  
includes data-type definitions and proofs
- Higher-order logic program: 5,000 lines
- Over 600 – 700 clauses



# Some limitations in practice

- Straightforward specifications are not executable.
- Redundancy severely hampers performance.
- Meta-reasoning capabilities limited in practice.

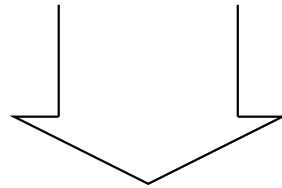


Overcome some of these limitations using tabelling and other optimizations!

# This thesis

Tabled higher-order logic programming allows us to

- efficiently execute logical systems  
(interpreter using tabled search)
- automate the reasoning with and about them.  
(meta-theorem prover using tabled search)



This is a significant step towards  
applying logical frameworks in practice.

# Contributions

## Tabled higher-order logic programming

- Characterization based on uniform proofs (ICLP'02)
- Implementation of a tabled interpreter
- Case studies (parsing, refinement types, rewriting)(LFM'02)

## Efficient data-structures and algorithms

- Foundation for meta-variables (LFM'03)
- Optimizing higher-order unification (CADE'03)
- Higher-order term indexing (ICLP'03)

## Meta-reasoning based on tabled search

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# Outline

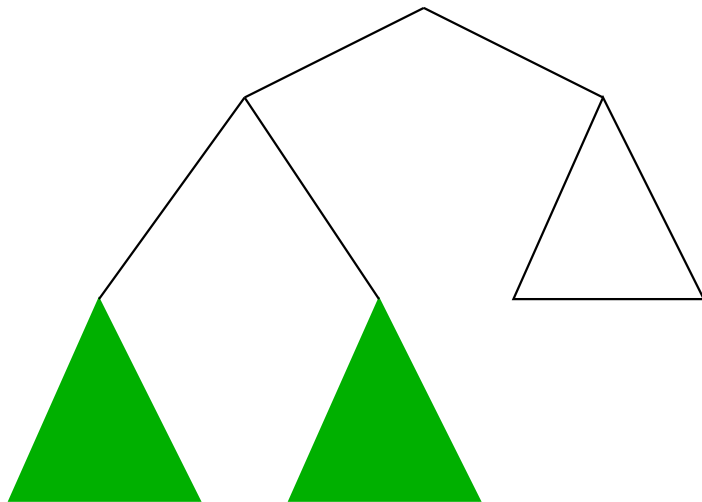
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# The idea

“...it is very common for the proofs to have repeated sub-proofs that should be hoisted out and proved only once ...” [Necula, Lee97]

# The idea

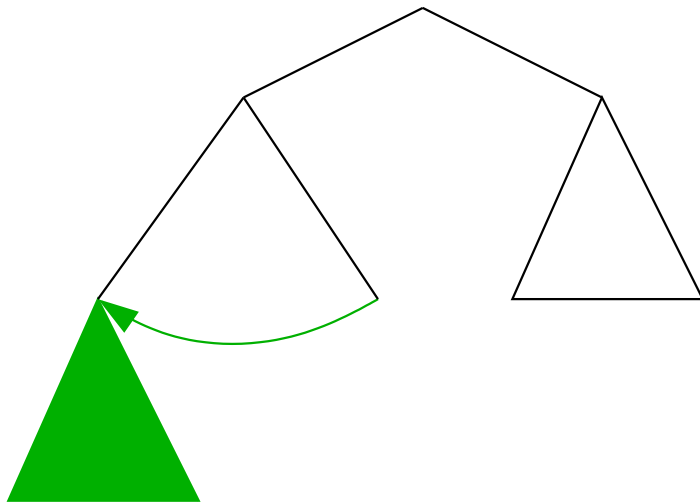
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Redundant computation

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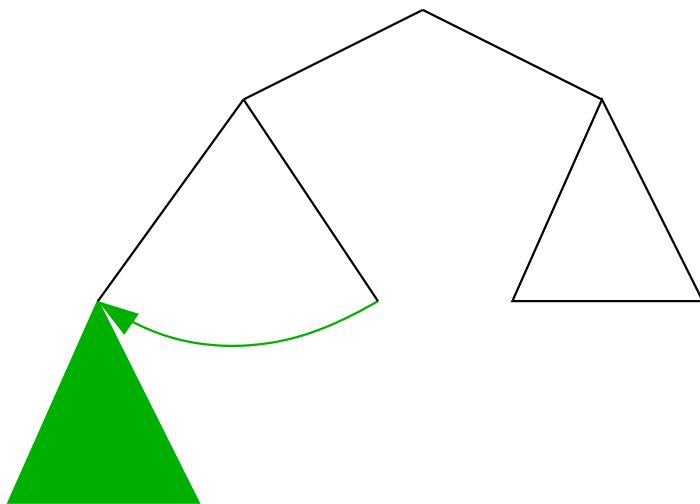


Redundant computation

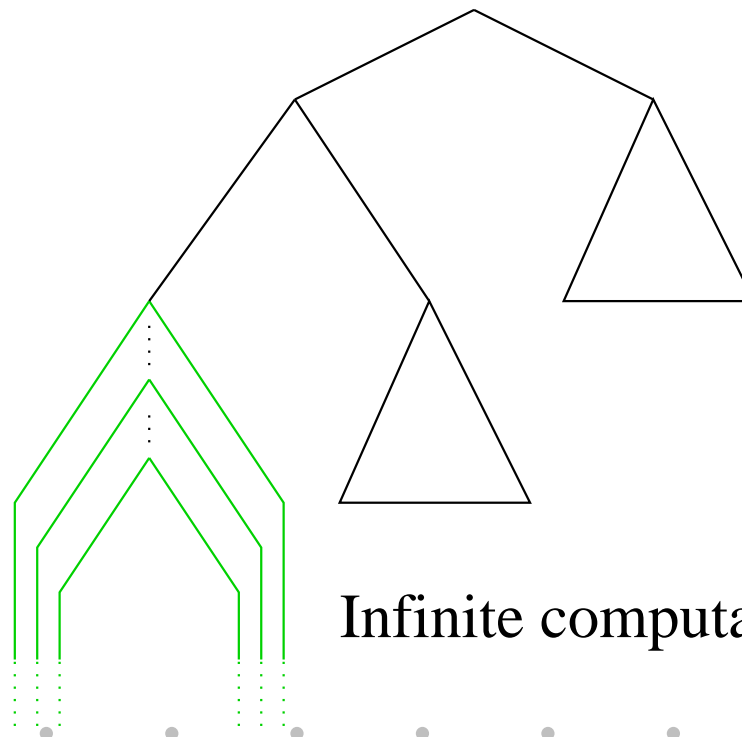


# The idea

“...it is very common for the proofs to have repeated sub-proofs that should be hoisted out and proved only once ...” [Necula, Lee97]



Redundant computation



Infinite computation

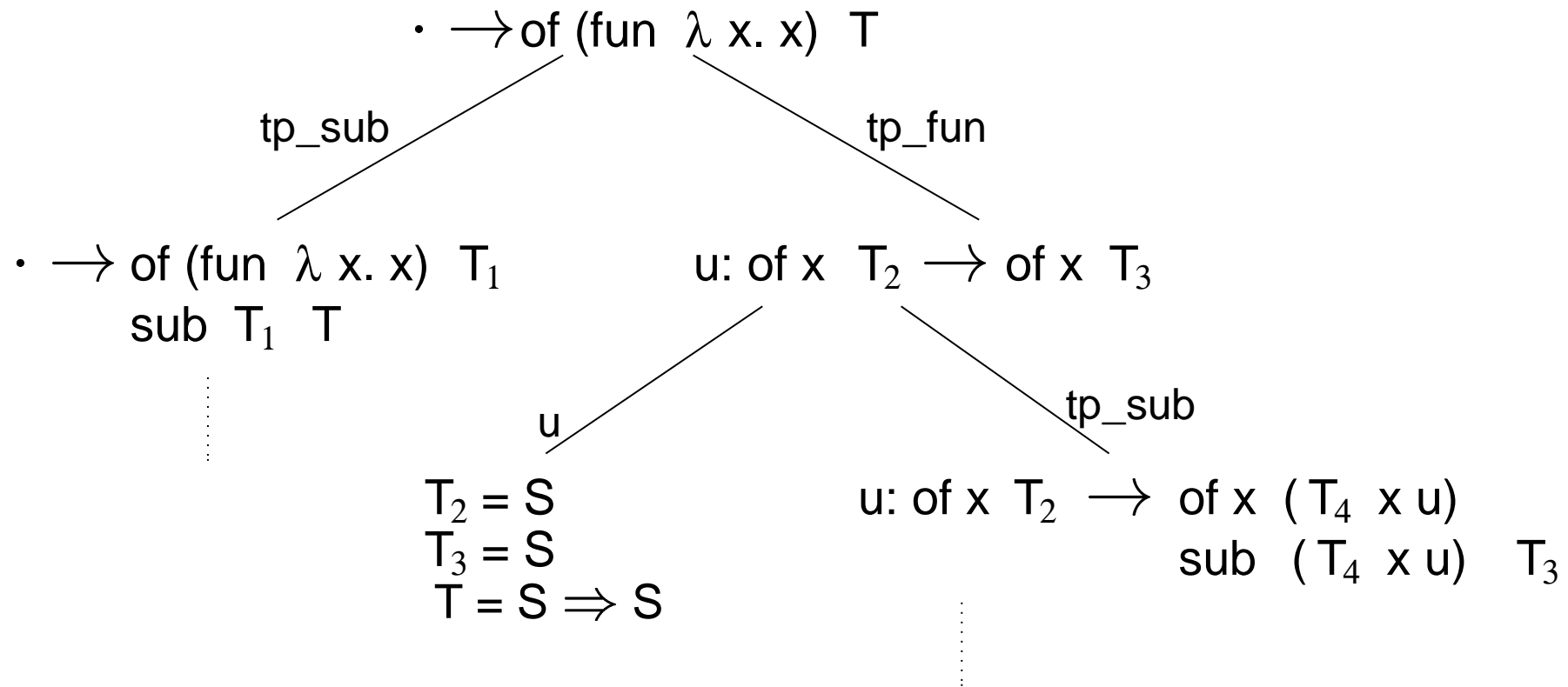
# Recall...subtyping

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tp_sub:   of E T
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         <- sub T' T.
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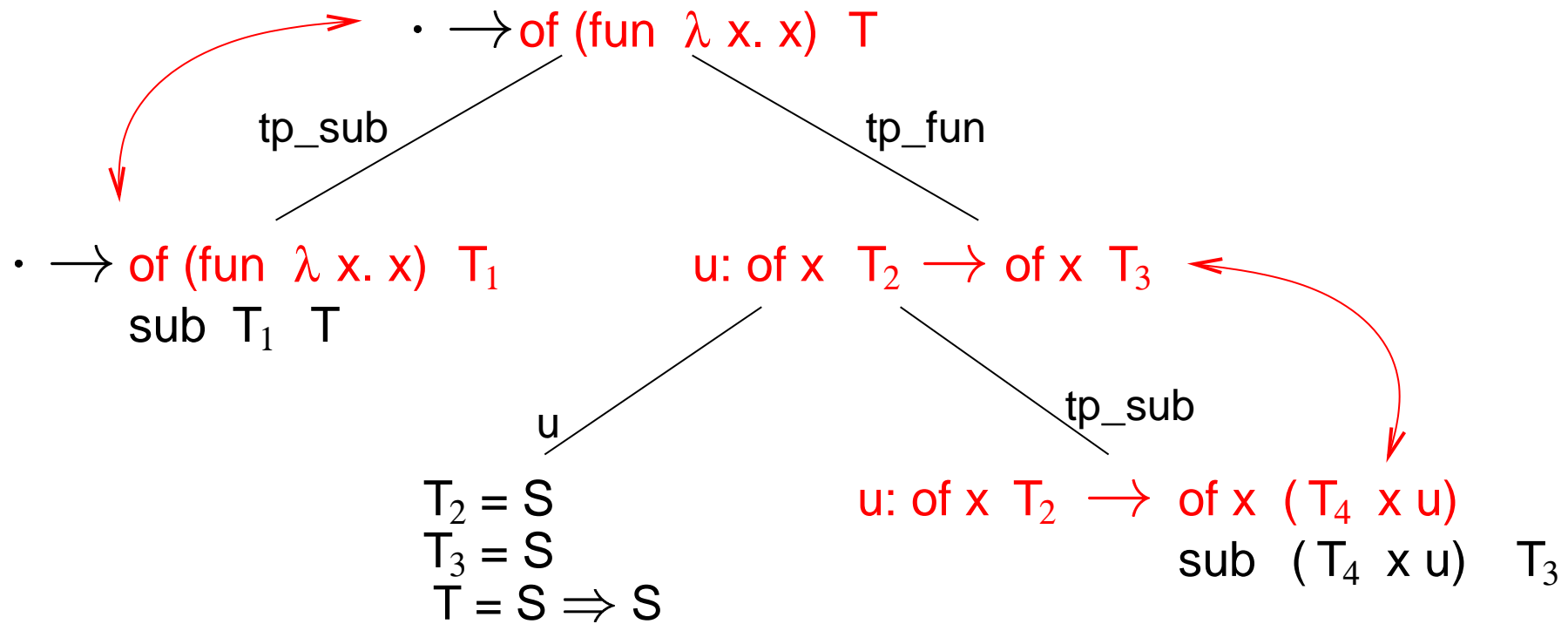
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tp_fun:   of (fun λ x.E x) (T1 => T2)
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```

“forall  $x: \text{exp}$ , assume of  $x$   $T1$   
and show of  $(E\ x)$   $T2$ ”

# Proof tree

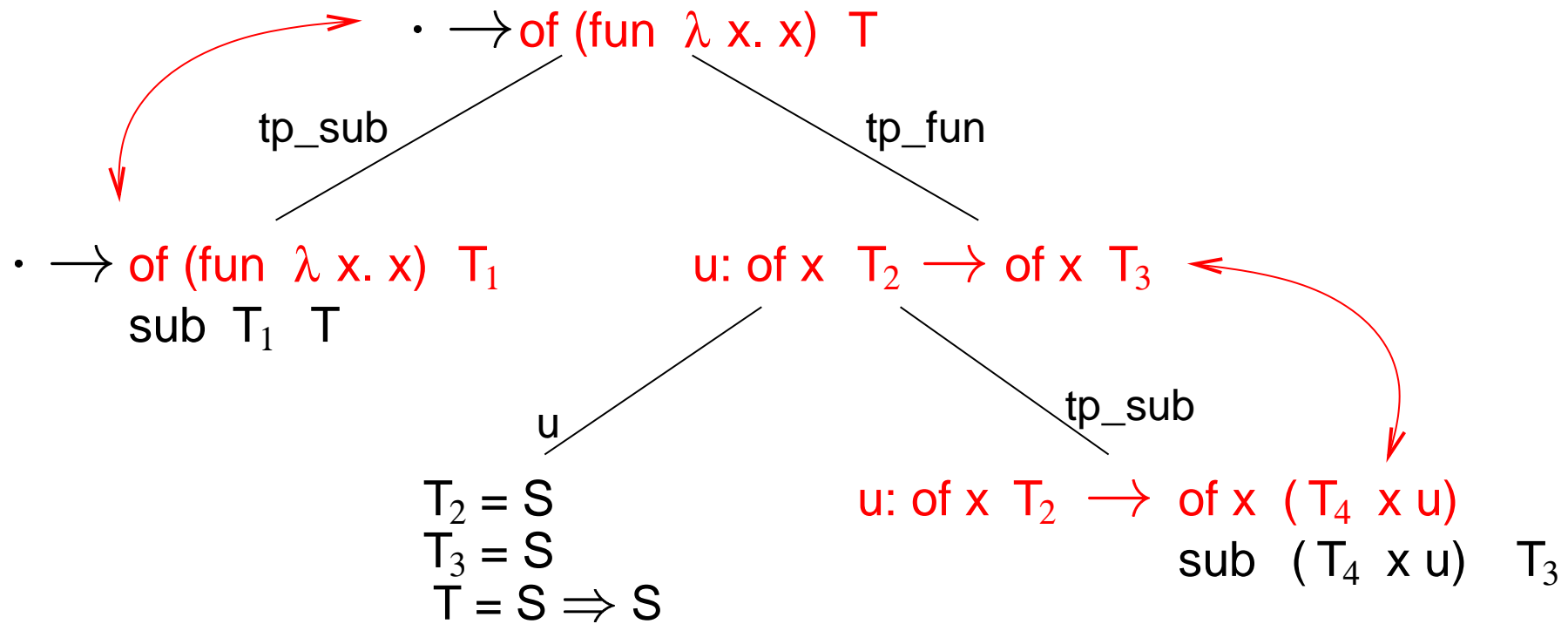


# Proof tree



Loop detection

# Proof tree



Loop detection

How can we detect loops?

# Loops modulo strengthening

- Dependencies among terms

$$u:\text{of } x \ T_2 \rightarrow \text{of } x \ (T_4 \ x \ u)$$

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strengthen  $u:\text{of } x \ T_2 \rightarrow \text{of } x \ T_4$   
 $u:\text{of } x \ T_2 \rightarrow \text{of } x \ (T_4 \times u)$

# Loops modulo strengthening

- Dependencies among terms

$$u:\text{of } x \ T_2 \rightarrow \text{of } x \ (T_4 \ x \ u)$$

strengthen  $u:\text{of } x \ T_2 \rightarrow \text{of } x \ T_4$

- Dependencies among propositions

$$u:\text{of } x \ T_2 \rightarrow \text{sub } (T_4 \ x \ u) \ T_3$$



# Loops modulo strengthening

- Dependencies among terms

u:of x  $T_2 \rightarrow$  of x ( $T_4$  x u)  
strengthen u:of x  $T_2 \rightarrow$  of x  $T_4$

- Dependencies among propositions

u:of x  $T_2 \rightarrow$  sub ( $T_4$  x u)  $T_3$   
strengthen:  $\cdot \rightarrow$  sub  $T_4$   $T_3$

# Loops modulo strengthening

- Dependencies among terms

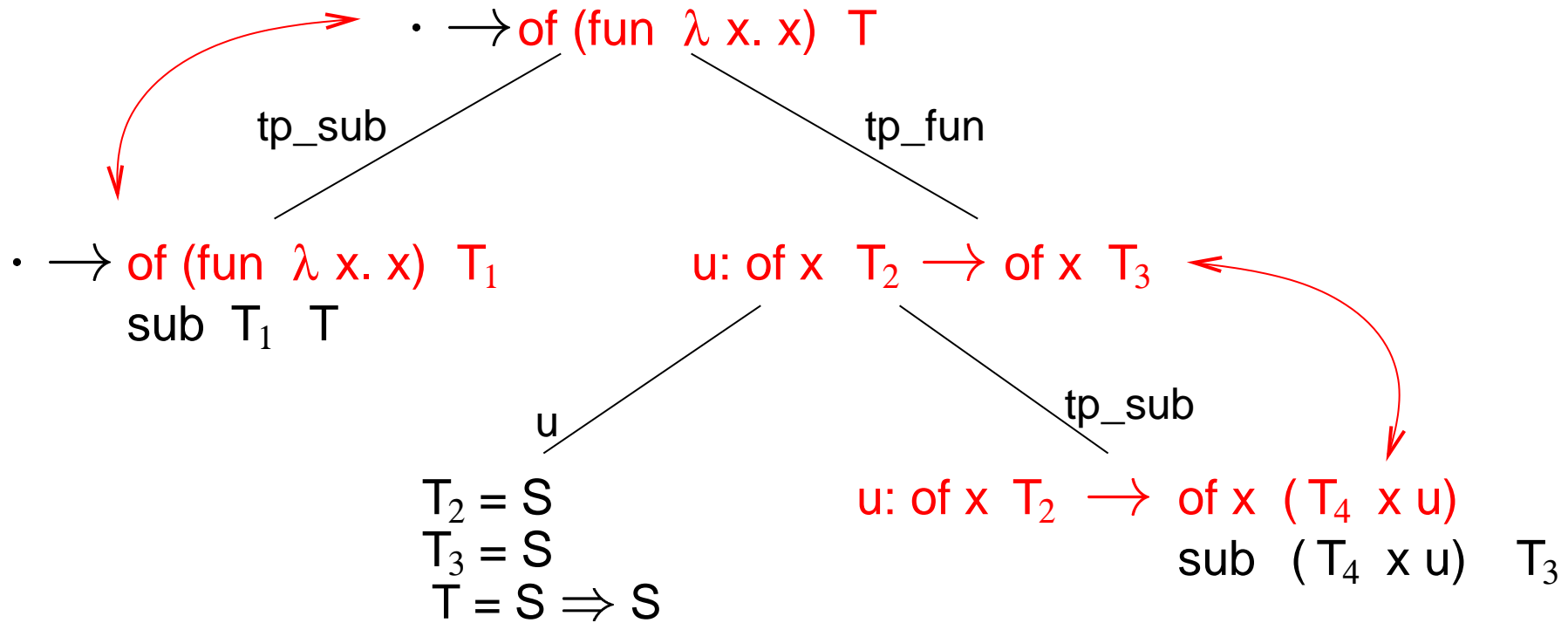
$u:\text{of } x \ T_2 \rightarrow \text{of } x \ (T_4 \times u)$   
strengthen  $u:\text{of } x \ T_2 \rightarrow \text{of } x \ T_4$

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$u:\text{of } x \ T_2 \rightarrow \text{sub } (T_4 \times u) \ T_3$   
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- Subordination analysis [Virga99]

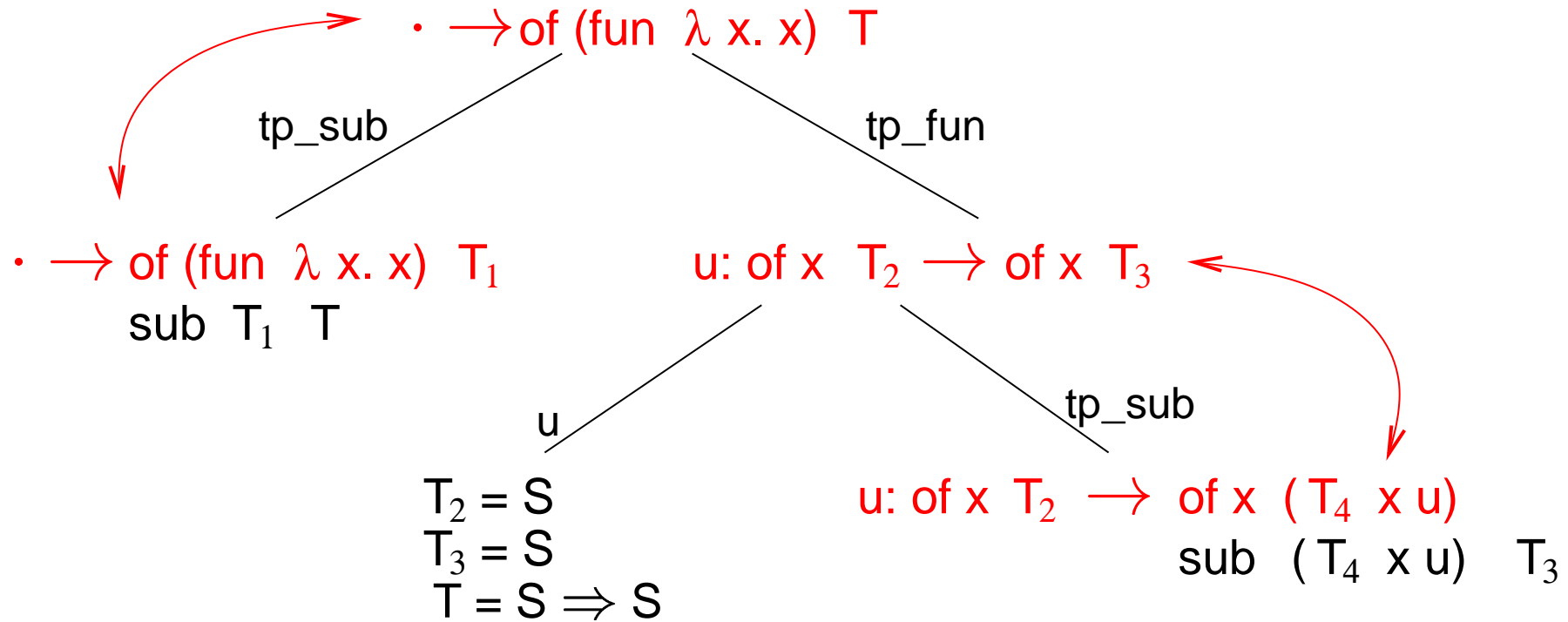
# Proof tree (cont.)



Loop detection

How can we detect loops?

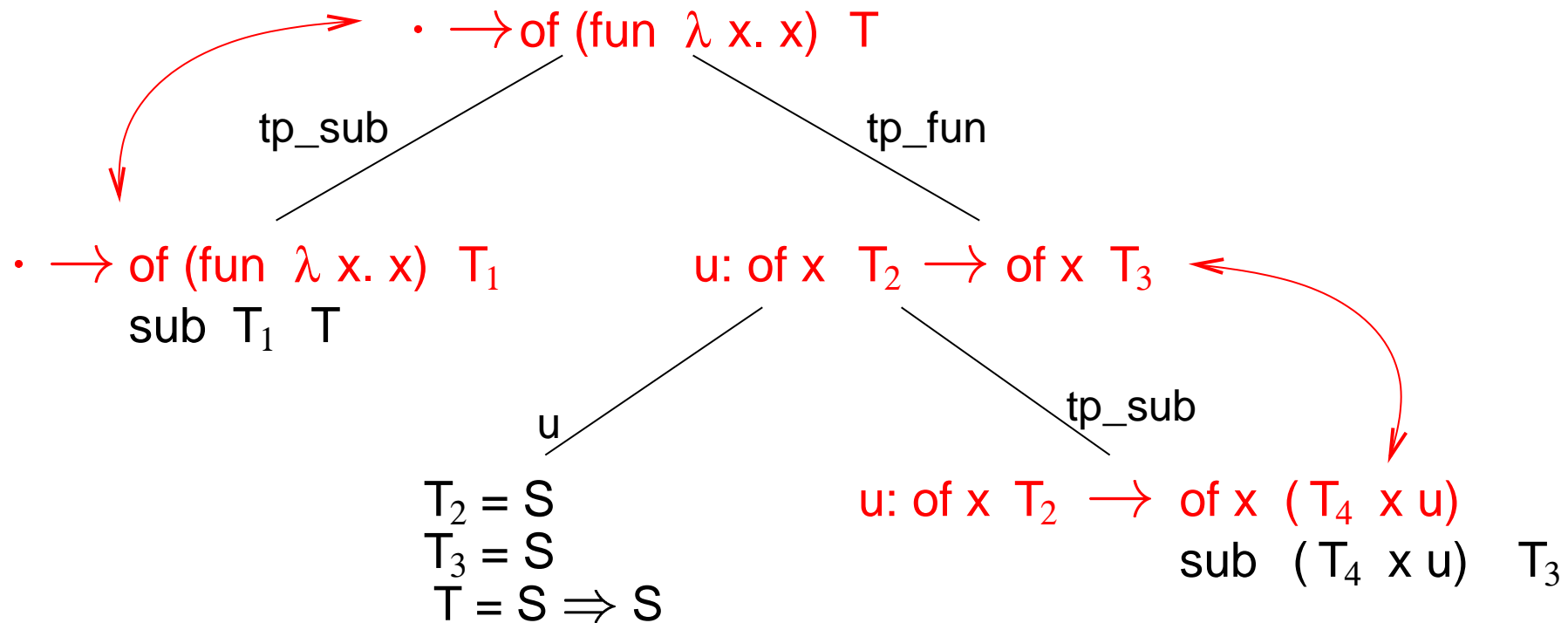
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How can we detect loops? **Subordination**

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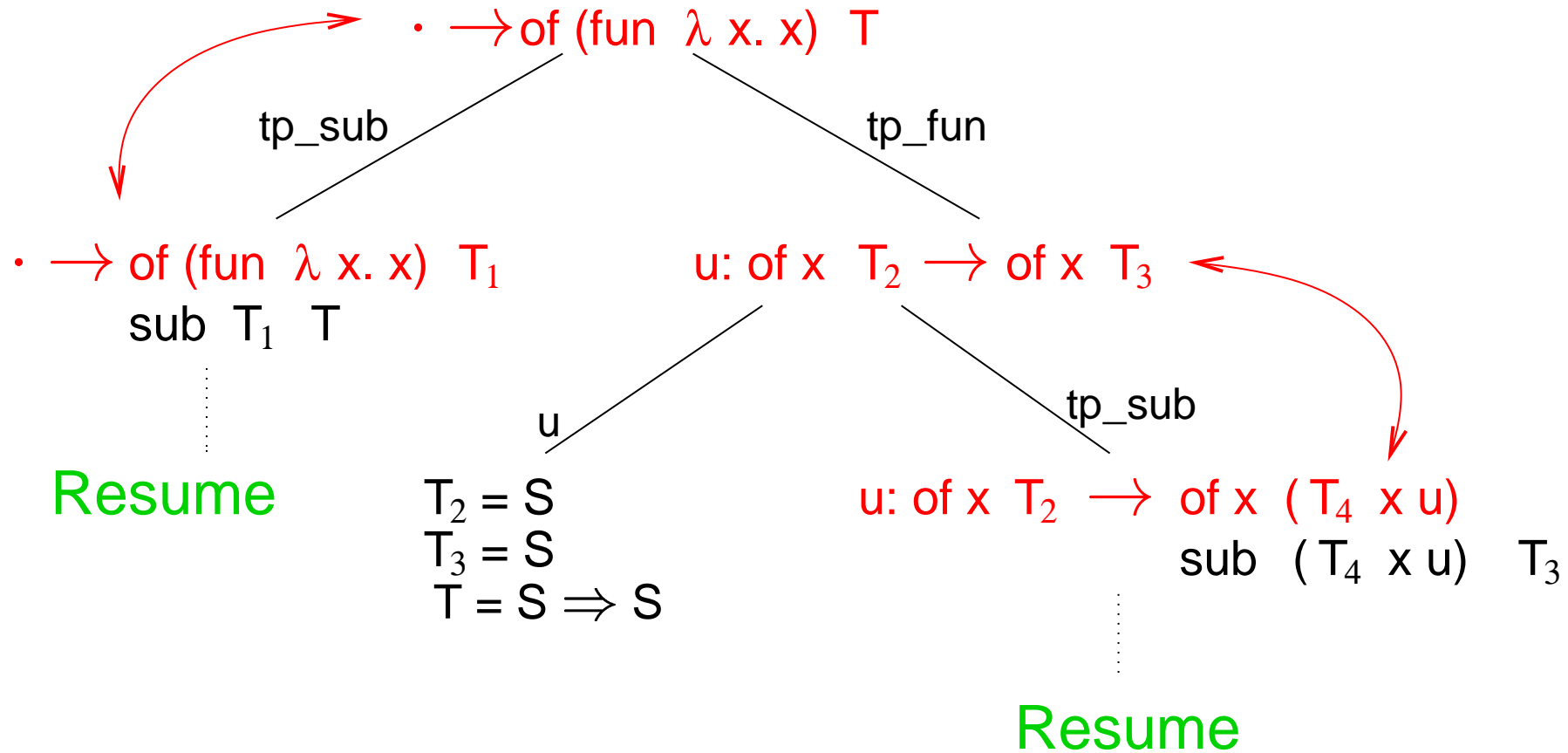


Loop detection

How can we detect loops? **Subordination**

How can we still produce all answers?

# Proof tree (cont.)



Multi-stage depth-first strategy  
 adapted from [Tamaki, Sato89]

# Memoization based proof search

- Proof search using a memo-table
- Store intermediate goals and re-use results
- May need to use subordination!
- Eliminate redundant computation
- Eliminate infinite paths
- More specifications are executable!

# Memo-table

- Table entry:  $(\Gamma \rightarrow a, \mathcal{A})$ 
  - $\Gamma$  : context of assumptions (i.e.  $u:\text{of } x \ T_2$ )
  - $a$  : atomic goal (i.e. of  $(\text{fun } \lambda x. x) \ T$ , of  $x \ T_3$ )
  - $\mathcal{A}$  : list of answer substitutions for all existential variables in  $\Gamma$  and  $a$



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  - $\mathcal{A}$  : list of answer substitutions for all existential variables in  $\Gamma$  and  $a$

|                      | Goal   | Answer                |
|----------------------|--|-----------------------|
| $\cdot$              | $\rightarrow \text{of } (\text{fun } \lambda x.x) T$ | $T = S \Rightarrow S$ |
| $u:\text{of } x T_2$ | $\rightarrow \text{of } x T_3$                       | $T_2 = S, T_3 = S$    |

# Properties

- Selective memoization
- Finds all answers to a query
- Terminates for programs over a finite domain

# Theoretical foundation

Conservative extension of LF [Harper *et. al.* 93]  
with meta-variables

- Foundation for proof search and for other optimization (e.g. higher-order unification, higher-order term indexing)
- Type-checking remains decidable.
- Canonical forms exist.
- Proofs follow [Harper, Pfenning03]

# Tabled proof search

Uniform proofs as a foundation for logic programming  
[Miller *et.al* 91]

**Soundness** Any uniform proof *with answer substitution* has a uniform proof.

**Completeness** Any uniform proof has a uniform proof *with answer substitution*.

**Soundness of tabled higher-order logic programming** : Any *tabled* uniform proof with an answer substitution has a uniform proof with the same answer substitution.

# Related work

- Related Work: XSB system [Warren et al. 99]  
Very successful for first-order logic programming
- Applicable to other higher-order systems:
  - $\lambda$ Prolog [Nadathur, Miller 88]
  - Linear logic programming [Hodas et al. 94] [Cervesato 96]

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# Experiments

- Parsing of formulas (adapted from [Warren99])
  - Left and right recursion
  - Not executable with depth-first search
  - Memoization vs iterative deepening
- Refinement type checking [Davies, Pfenning00]
  - Decidable
  - Memoization vs depth-first search



# Parser for formulas

| #tok | memo      | iterative deepening |
|------|-----------|---------------------|
| 20   | 0.13 sec  | 0.98 sec            |
| 58   | 2.61 sec  | $\infty$            |
| 117  | 10.44 sec | $\infty$            |
| 235  | 75.57 sec | $\infty$            |

$\infty$  = process does not terminate

Intel Pentium 1.6GHz, RAM 256MB,  
SML New Jersey 110, Twelf 1.4

# Refinement type-checking

|              | example | memo | depth-first |
|--------------|---------|------|-------------|
| First answer | sub     |      | 0.15 sec    |
|              | mult    |      | 0.15 sec    |
|              | square  |      | 0.16 sec    |
| Not provable | mult    |      | 13.50 sec   |
|              | plus    |      | $\infty$    |
|              | square  |      | $\infty$    |
| All answers  | sub     |      | 5.59 sec    |
|              | mult    |      | $\infty$    |
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|              | example | memo      | depth-first |
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| First answer | sub     | 3.19 sec  | 0.15 sec    |
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|              | square  | 9.02 sec  | 0.16 sec    |
| Not provable | mult    | 2.38 sec  | 13.50 sec   |
|              | plus    | 6.48 sec  | $\infty$    |
|              | square  | 9.29 sec  | $\infty$    |
| All answers  | sub     | 6.88 sec  | 5.59 sec    |
|              | mult    | 9.06 sec  | $\infty$    |
|              | square  | 10.30 sec | $\infty$    |

# Evaluation

- Benefits:
  - Superior to iterative deepening
  - Meaningful failure: decision procedure
  - Consistent performance
  - Quick failure
  - Small proof size
- Drawbacks:
  - Overhead of storing and retrieving information
  - Multi-stage strategy delays the reuse of answers

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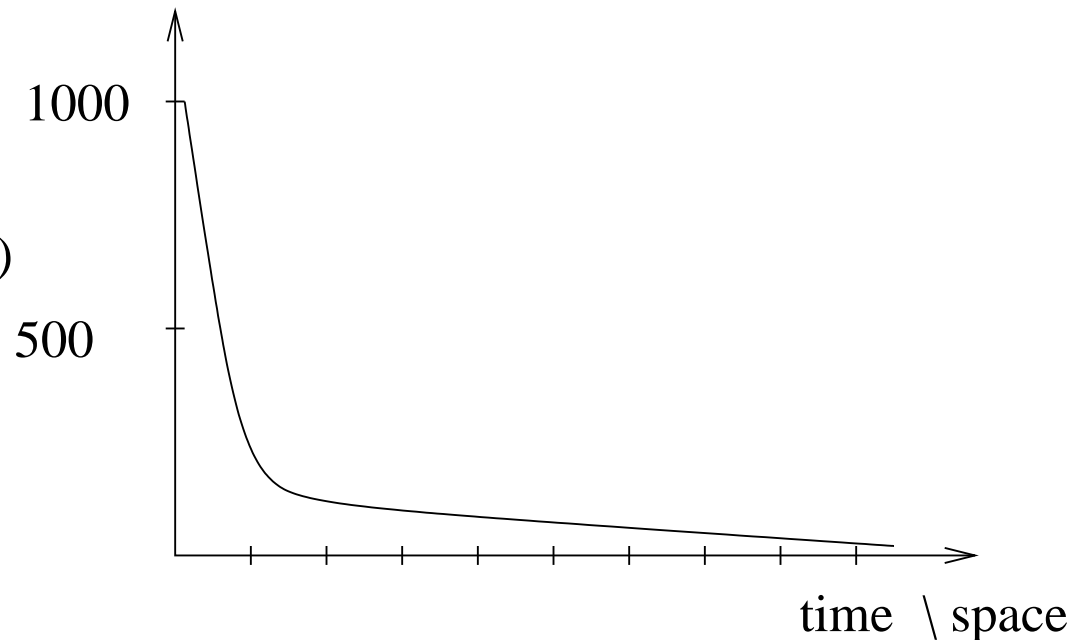
# Efficiently accessing the memo-table

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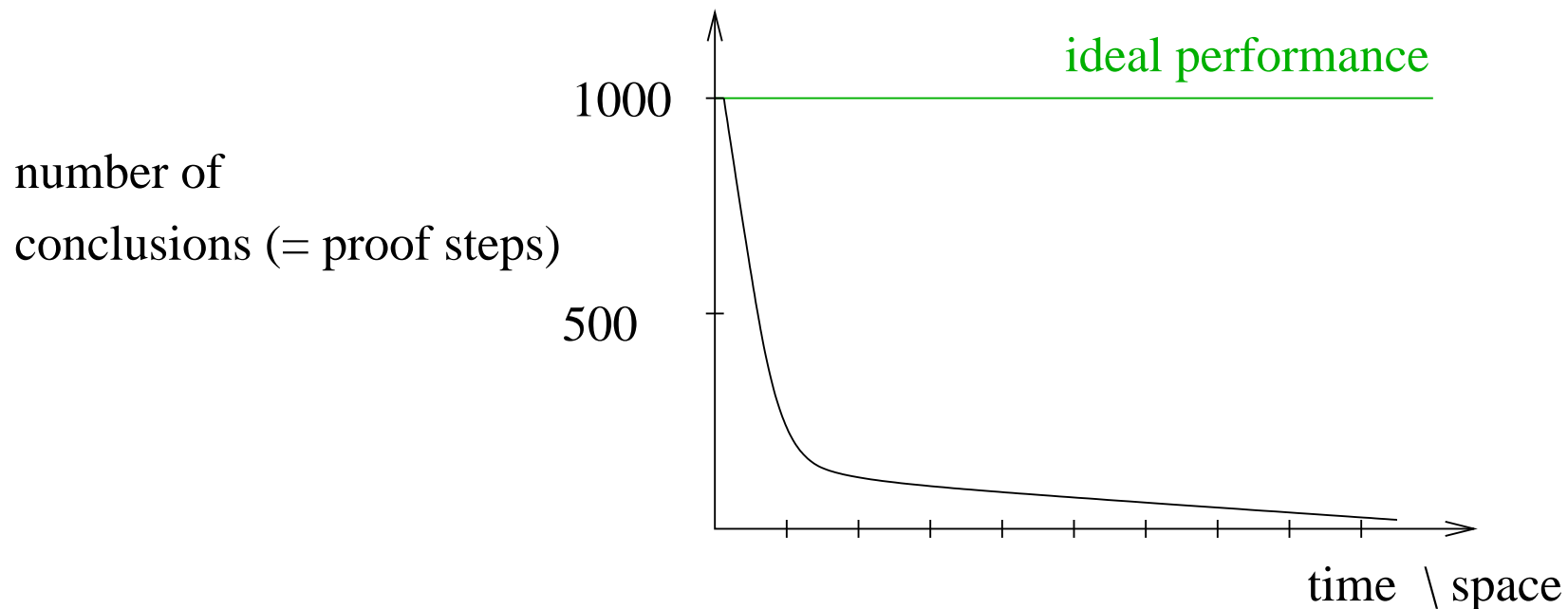
number of  
conclusions (= proof steps)





# Efficiently accessing the memo-table

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# Indexing

## Set of terms

(1)  $\text{pred } (h (h b)) (g b) (f \lambda x. E x)$

(2)  $\text{pred } (h (h a)) (g b) (f \lambda x. E x)$

(3)  $\text{pred } (h (g a)) (g b) a$

Query:

$\text{pred } (h (h b)) (g b) a$

How can we efficiently store and retrieve data?

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Query:

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How can we efficiently store and retrieve data?

- Share term structure
- Share common operations

# Common sub-expression

## Set of terms

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(3)  $\text{pred (h (g a)) (g b) a}$

Query:

$\text{pred (h (h b)) (g b) a}$

- Factor out common sub-expressions!

$\text{pred (h (h a)) (g b) (f } \lambda x. E x)$

$\text{pred (h (g a)) (g b) a}$

$\text{pred (h *1) (g b) *2}$

# Common sub-expression

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- In general the most specific common generalization (msg) does not exist!

# MSG of higher-order patterns

## Set of terms

(1)  $\text{pred } (h (h b)) (g b) (f \lambda x. E x)$

(2)  $\text{pred } (h (h a)) (g b) (f \lambda x. E x)$

(3)  $\text{pred } (h (g a)) (g b) a$

Query:

$\text{pred } (h (h b)) (g b) a$

- Most specific generalization exists for higher-order patterns.
- Not all terms fall within this class.
- Is this efficient?

# Our approach

## Set of terms

(1)  $\text{pred } (h (h b)) (g b) (f \lambda x. E x)$

(2)  $\text{pred } (h (h a)) (g b) (f \lambda x. E x)$

(3)  $\text{pred } (h (g a)) (g b) a$

Query:

$\text{pred } (h (h b)) (g b) a$

- Further restrict higher-order patterns!  
(Linear higher-order patterns)
  - Every meta-variable occurs only once.
  - Every meta-variable is fully applied.
- Translate terms into linear higher-order patterns and residual equations (variable definitions)





# Parser for formulas

| #tok | iterative | memoization |           | speed-up |
|------|-----------|-------------|-----------|----------|
|      | deepening | noindex     | index     |          |
| 20   | 0.98 sec  | 0.13 sec    | 0.07 sec  | 85%      |
| 58   | $\infty$  | 2.61 sec    | 1.25 sec  | 108%     |
| 117  | $\infty$  | 10.44 sec   | 5.12 sec  | 103%     |
| 235  | $\infty$  | 75.57 sec   | 26.08 sec | 190%     |

$\infty$  = process does not terminate

Intel Pentium 1.6GHz, RAM 256MB,  
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# Refinement type-checking

|          | example | noindex   | index    | speed-up | orig |
|----------|---------|-----------|----------|----------|------|
| First    | sub     | 3.19 sec  | 0.46 sec | 593%     |      |
| answer   | mult    | 7.78 sec  | 0.89 sec | 774%     |      |
|          | square  | 9.02 sec  | 0.98 sec | 820%     |      |
| Not      | mult    | 2.38 sec  | 0.38 sec | 526%     |      |
| provable | plus    | 6.48 sec  | 0.85 sec | 662%     |      |
|          | square  | 9.29 sec  | 1.09 sec | 752%     |      |
| All      | sub     | 6.88 sec  | 0.71 sec | 869%     |      |
| answers  | mult    | 9.06 sec  | 0.98 sec | 824%     |      |
|          | square  | 10.30 sec | 1.08 sec | 854%     |      |

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# Contribution and related work

- Contribution:
  - Higher-order term indexing (key: linearization,  $\eta$ -longform)
  - Indexing substantially improves performance between 85% and 820%

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  - Higher-order term indexing (key: linearization,  $\eta$ -longform)
  - Indexing substantially improves performance between 85% and 820%
- Related Work:
  - Substitution trees for first-order terms [Graf95]
  - (Higher-order) automata-driven indexing [Necula,Rahul01] imperfect filter, calls full higher-order unification to check candidates

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# Summary

## This talk

- Tabled higher-order logic programming
- Higher-order indexing

## In the thesis

- More theory
- Optimizing higher-order unification
- Meta-theorem proving based on tabled higher-order logic programming

# Conclusion

- This opens many new opportunities
  - to experiment and develop large-scale systems.  
for example: proof-carrying code
  - to explore the full potential of logical frameworks  
new applications: authentication, security
- Efficient proof search techniques are critical
  - to sustain performance.
  - to reduce response time to the developer.



# Future work

- Narrowing the performance gap further
  - Improving tabling (e.g. subsumption, different scheduling strategies)
  - Eliminating redundancy in the representation of clauses, goals and proofs: approximate typing [Necula, Lee98]
  - Mode, determinism, termination analysis [Schrijvers et al. 02]
  - Ordered resolution [Bachmair, Ganzinger 01]
  - ...

# Theory

- Foundation for meta-variables
  - Abstract over meta-variables ( $\Pi^{\square} u :: \Psi \vdash A.$ )
  - First-class variable definitions ( $\Pi^{\square} u = M :: \Psi \vdash A$ )
  - Representing and type-checking dag-style objects
- Meta-theorem proving
  - Automating complete induction
  - Further work on redundancy elimination

# Applications

## Proof-carrying code

- How can we transmit small proofs?[Necula,Rahul 01],  
(collaboration with Crary and Sarkar)
- How can we check them efficiently? [Stump, Dill 02]
- How can we automate some of the meta-proofs?  
[Crary,Sarkar03]

# Applications

## Proof-carrying code

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## Proof-carrying authorization [Bauer et al. 02]

Bob proves that he is authorized to access Alice's web-page.

- How can we efficiently generate proofs?
- How can we cache and re-use proof attempts?

# Finally ...

The End.

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The End.

if you want to find out more:

<http://www.cs.mcgill.ca/~bpientka>