Identifying Different Student Clusters in Functional Programming Assignments: From Quick Learners to Struggling Students

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ABSTRACT

Instructors and students alike are often focused on the grade in programming assignments as a key measure of how well a student is mastering the material and whether a student is struggling. This can be, however, misleading. Especially when students have access to auto-graders, their grades may be heavily skewed.

In this paper, we analyze student assignment submission data collected from a functional programming course taught at McGill university incorporating a wide range of features. In addition to the grade, we consider activity time data, time spent, and the number of static errors. This allows us to identify four clusters of students: "Quick-learning", "Hardworking", "Satisficing", and "Struggling" through cluster algorithms. We then analyze how work habits, working duration, the range of errors, and the ability to fix errors impact different clusters of students. This structured analysis provides valuable insights for instructors to actively help different types of students and emphasize different aspects of their overall course design. It also provides insights for students themselves to understand which aspects they still struggle with and allows them to seek clarification and adjust their work habits.

CCS CONCEPTS

• Social and professional topics \rightarrow Student assessment.

KEYWORDS

online programming platform; computer science education; cluster analysis

1 INTRODUCTION

Online programming environments, such as RoboProf [8] for C++, DrScheme [13, 14] for Scheme or, more recently, Mumuki [4], offer immense potential to enhance the students' educational experience in large-scale programming-oriented courses. They not only lower the entry barrier for beginners but often feature auto-grading facilities that allow students to run and get feedback on their code while they are developing their programs, giving them the opportunity to fix bugs and address errors in their understanding right away. While having access to immediate feedback on their code has been recognized to significantly improve student learning outcomes and engagement (see, e.g., [15, 26, 30]), instructors and students alike Xujie Si McGill University Montreal, QC, Canada xsi@cs.mcgill.ca

are often too focused on the grade as a key measure of competency. Especially when students have access to auto-graders, the students' grades may be heavily skewed and misleading.

This paper develops a data-driven approach to better understand students' behavior when solving programming assignments in a functional programming course. In addition to the grade, we propose to consider additional factors such as the number of static errors and total time spent on solving programming assignments to identify student clusters. Using this methodology, we analyze the assignment submission data collected in a functional programming course taught at McGill university which uses the Learn-OCaml online programming platform [5, 6, 17]. This allows us to identify four student clusters: "Quick-learning", "Hardworking", "Satisficing", and "Struggling". While the first two clusters can be characterized as maximizers, i.e. students strive to achieve the highest possible grades and continue to improve their work, they still differ in the amount of time and effort spent on completing a given homework. In contrast, satisficing¹ students accept a possibly non-optimal outcome as "good enough" allowing them to adequately achieve their goals by saving time and effort. We further analyze these clusters with respect to work habits and the number and kinds of errors that are prevalent. This leads to four key insights:

- Leveraging the notion of *chronotype* a circadian typology in humans and animals, we confirm that a work pattern where students tend to work in the morning is related to academic success. In particular, quick learners tend to work more in the morning, while other clusters of students rely more on afternoons and evenings.
- In general, starting on the homework early is related to higher grades. However, we also noticed that satisficing students start relatively late but finish the earliest. This further emphasizes that satisficing students aim for satisfactory results rather than the optimal one. At the same time, satisficing students have one of the lowest numbers of programming errors suggesting that they struggle significantly less with static errors than for example hardworking students.
- Our analysis of static errors shows that syntax and type errors are prevalent among all students. Further, students

¹The term "satisficing" was introduced by H. Simon [27] to describe a decision-making process in which an individual makes a choice that is satisfactory rather than optimal.

continue to struggle with these errors throughout the semester. In addition, our analysis points to other common mistakes such as non-exhaustive case analysis and the use of unbound variables.

 Taking into account students' ability to fix static errors, i.e. how many tries a student needs to fix a particular error, we notice that the failure/success ratio is particularly high for hardworking students. This highlights both their desire and drive to get the best possible grade, but also that their path is full of small stumbling blocks.

We believe our proposed set of features and data-driven analysis can provide instructors with a clearer and more detailed picture of students' behaviours and performance. This in turn may be used to adjust how some concepts, such as how to avoid static errors, are taught. It may also be used to design different strategies for different students to enhance the students' learning experience. Furthermore, this data may be interesting to students themselves to better understand how well they do in a class and identify areas where they can actively make changes and seek help early.

2 RELATED WORK

Analyzing student data in programming courses is a central topic in learning analytics, and it is gaining increasing attention with the recent advances in storing and processing data. One of the core aims of analyzing student data is to understand student behaviours, and in turn, improve student learning experience [21].

Over the past decade, there have been several studies that focus on identifying groups of students using cluster analysis. For example, Emerson et al. [12] use cluster algorithms to identify student misconceptions in a block-based programming environment for non-CS major students based on program structures. Wiggins et al. [29] finds five major clusters of hint requests in a block-based programming system equipped with an intelligent tutor. Hossein et al. [20] leverages clustering analysis to further investigate the correlation between students' programming speed and programming behaviours by collecting programming snapshots whenever an action occurs. They then divide students into two clusters by comparing a student's programming speed to the median speed. Lahtinen et al. [23] uses different levels of Bloom's Taxonomy as features to identify six distinct student groups that instructor should be aware of when teaching introductory programming courses.

In contrast to these existing works, our work considers multicategorical features involving the grade, total time spent on the assignment, and the number of static errors encountered to identify clusters of students.

Based on the identified clusters, we follow existing work in understanding the work/rest patterns of students. In particular, Claes et al. [7] study programmers' working patterns using clustering analysis on time stamps of committed activities of 86 large open-source software projects. Zavgorodniaia et al. [31] study the chronotypes of students through cluster algorithms using keystroke data. In our study, we use activity data (such as whether a student compiled or graded their homework) to study the work/rest patterns of students. It is the first study in the context of typed functional programming.

We further analyze static errors in typed functional programming assignments and their impact on different student clusters. This is the first such study in this setting. Previous studies focus on compilation events in object-oriented programs written in Java. For example, Ahmadzadeh et al. [1] investigates compiler error frequencies of student programs and debugging activity patterns in Java. They suggest debugging skills should be emphasized in the teaching of programming. Altadmri et al. [2] collect a large dataset comprising compilation events of 250,000 students, which provides a robust analysis of error patterns and time for fixing different errors. Denny et al. [9] also study various syntax error frequencies and how long students spend fixing common syntax errors. They also found that certain types of errors remain challenging even for higher-ability students. Edwards et al. [11] analyze 10 million static analysis errors found in over 500 thousand program submissions made by students over a five-semester period. The experimental results suggest error frequencies made by CS major and non-major students are consistent.

Our analysis is one of the first that investigates in more depth the frequency of various static errors in the typed functional programming assignments. Here, static errors go beyond syntax and simple type errors and include for example detection of missing branches in a program.

3 STUDY DESIGN

This research aims to gain a deeper understanding of how students develop typed functional programs (TFP). We assume that the grade alone is not a good indicator of how well a student masters basic concepts and achieves competency. Instead, we propose that taking into account the time spent as well as the number of errors a student encounters can provide a more nuanced picture. Hence, the main question that we tackle in this paper is how can we best identify different clusters of students taking into account grades, time spent, and the number of errors. We then analyze our clusters with respect to five hypotheses:

- H1: Even students with a high grade in programming assignments may significantly struggle with a range of static errors.
- **H2:** Despite a lower grade, students who spend less time and have a low number of static errors do in fact well overall.
- **H3:** Work/rest patterns of students as well as the time a student spends on homework play a role in students achieving a high grade. It highlights how driven a student is.
- **H4:** Static errors in TFP range from syntax and type errors to detecting unbound variables and missing branches in programs. This wide range of static errors provides a fine-grained picture of concepts students find challenging.
- **H5:** Error fix ratio, i.e. how many tries a student needs to fix a static error, indicates how well students understand basic ideas in TFP and this is correlated to their understanding and performance.

3.1 Course Context

Our study concerns students in a second-year undergraduate computer science course at McGill university. The course introduces concepts about functional programming and programming paradigms. It is offered every semester with more than 300 registered undergraduate students. In this study, all data is collected in the Fall 2021

	programming topics	#tasks
HW1	basic expressions, recursion	7
HW2	data types and pattern matching	6
HW3	higher-order functions	11
HW4	references, state, memorization	5
HW5	exception, continuations	5
HW6	lazy programming, toy language	5

Table 1: Overview of six programming assignments.



Figure 1: Data collection pipeline. Grade and Compile and Eval events are handled by different servers, all submission data are stored in a *MongoDB* database. The components highlighted in light green are original components in the Learn-OCaml platform, while the components highlighted in light blue are newly introduced by us.

semester when students could attend online Zoom or in-person sessions.

The course had six bi-weekly programming assignments each worth 5% of the final grade. Each homework consists of several programming tasks to implement functions and test cases. Homework information is summarized in Table 1. All homework assignments were hosted on Learn-OCaml [6], an online programming platform for OCaml which allows students to edit, compile, test, and debug code all in one place. We used a modified version of Learn-OCaml by Hameer and Pientka [18] with additional features such as style checking and evaluation of test cases written by students.

3.2 Data Collection

Our data collection pipeline is built on top of the Learn-OCaml platform and it can automatically log students' actions. Specifically, we send local programming events like compile and evaluation (for testing and debugging) with asynchronous logging requests to our backend server. Figure 1 illustrates the process of collecting the data from the online environment Learn-OCaml.

Around 52.81% (i.e., 169 out of 320) students gave us consent to access their data. We collect more than 270,000 programming events, and each event stores a snapshot of the code as well as feedback information (e.g., time-stamp, static errors, grades, etc.).

3.3 Feature

For each homework, we collect a sequence of programming *activity events*. The activity events include grade, compile, and evaluation events. This allows us to create an **activity density vector** for each student. It is a four-element vector that represents the percentage

of the student's activity events that occurs in different ranges of hours [0-6, 6-12, 12-18, 18-0], which is the same choice of ranges suggested in [31].

In addition, we design the following features based on the activity event sequence:

- Start time. The day when a student starts *actively* working on an assignment based on the activity events collected.
- End time. The day when a student finishes an assignment, which is the last Grade event.
- Working session. Defined as the time window where activity events occur. If there is no activity event within 30min, then the working session is assumed to have ended.
- Total time spent. Sum over the length of all working sessions.
- Number of errors. The number of static errors that a student made while completing an assignment.
- Grade. The final grade a student receives for an assignment.

3.4 Feature Engineering

There are two challenges to applying clustering algorithms and statistical tests to our study. The first one is skewed data . For instance, the grade is highly skewed as students can always improve their grades through interacting with the auto-grader. The second one is the difference between feature scales, which renders the clustering results incoherent. We use two approaches to address these challenges. First, we use non-parametric tests including Spearman correlations and Kruskal-Wallis H-Tests. Second, we apply the rank transformation on features to facilitate clustering algorithms.

4 IDENTIFYING STUDENT CLUSTERS

To identify student clusters, we run the K-means[19] clustering algorithm on the aggregation (mean) of three most important features (i.e., *grade*, *number of errors* and *time spent*) over six homework. We use the *elbow method* to determine the optimal k (the number of clusters) to be 4. After determining the optimal k, we re-run the K-means algorithm and report the results in Table 2. We give the time in hours and note that all clusters have a similar size in terms of number of students (#*Std*).

To determine whether the resulting four clusters are different, we run a Kruskal-Wallis H-Test, which is a nonparametric equivalent of an ANOVA, on the three features (time spent, #errors, and grade) of each cluster. The results are statistically significant with the statistics of 113.26, 100.87, and 123.02 respectively, and all p-values < 0.0001. This suggests the four clusters are statistically different.

Students in cluster A have the highest average grade (95.24) while spending less than the expected 6h on solving the homework. This suggests that they achieve their goal with relative ease. In fact,

Clusters	#Std	Time (Hours)	# Error	Grade
A - Quick learning	46	5.30 (± 0.94)	66.11 (± 26.95)	95.24 (± 3.25)
B - Hardworking	46	8.24 (± 1.52)	148.67 (± 63.26)	94.25 (± 3.90)
C - Satisficing	31	4.47 (± 1.01)	52.26 (± 21.89)	74.43 (± 11.31)
D - Struggling	46	6.49 (± 0.94)	118.14 (± 35.32)	72.81 (± 11.03)

Table 2: Student clusters

students in this cluster outperform students in other clusters by a large margin. We characterize this cluster as **quick learning**.

Students in cluster B have the second-highest average grade (94.25). However, they also have the highest average number of errors (148.67) and with 8.24h spend significantly more time on homework than any other group. In particular, they spend significantly more time than expected. This suggests that they face many difficulties which they manage to overcome by spending a significant amount of time. These students are driven to improve their work and to achieve the highest possible grade. Hence, we characterize them as **hardworking**. This data supports our hypothesis **H1**.

Cluster C has the lowest average number of errors (52.26) and spent the least amount of time (4.47h) on the homework. With an average grade of 74.43, they still achieve a "good enough" result. These students achieve their goals by saving time and effort. At the same time, these students reach a satisfying level of competency as evidenced by their low number of average errors. We describe these students as **satisficing** students. This supports our hypothesis **H2**.

Students in Cluster D are in fact closely related to students in cluster B, which shows a similarly high average number of errors (118.14) and a significant amount of time (6.49h). However, compared to students in cluster B, they fail to overcome the difficulties along their path. These students are **struggling**.

5 UNDERSTANDING STUDENT CLUSTERS

5.1 How do work habits vary for different student clusters?

To investigate our hypothesis **H3**, we consider when students are active based on our activity data. Prior research suggests that chronotype, a person's preference in carrying out activity at certain periods in a day, is governed by the circadian cycle which is controlled by clock genes [10, 25]. In this section, we are interested in investigating the chronotypes, or in other words, the work habits of students. In particular, it has been observed that "morningness" is positively correlated with academic achievement [24, 31].

To identify potential chronotypes, we run the K-means clustering algorithm on the feature space spanned by activity density vectors. The elbow method yields k = 3, suggesting three possible chronotypes, which is different from four chronotypes reported in [31]. We report centroids of each chronotype cluster in Table 3.

Chrono clusters	0 - 6	6 - 12	12 - 18	18 - 0	Chronotype
Cluster 1	8%	14%	26%	52%	Evening (Eve)
Cluster 2	4%	26%	20%	50%	Morning (Mor)
Cluster 3	2%	19%	37%	42%	Afternoon (Aft)

Table 3: Centroids of each chronotype.

As we can see, most activities occur from 18:00 - 00:00 for all three clusters. This is not surprising as most students may have classes during the day. Based on this observation, we aim to define chronotypes by considering secondary activity peaks as well. We notice that Cluster 2 has its secondary activity peak (26%) in 6:00 -12:00 whereas Cluster 3 has the secondary activity peak (37%) in 12:00 - 18:00. Thus, we define Cluster 2 and 3 as the **morning** (Mor) and **afternoon** (Aft) type. Cluster 1 has only one activity peak in 18:00 - 00:00, thus we define it as **evening** (Eve) type.



Figure 2: Chronotype distribution in each student cluster.

As Figure 2 suggests, quick-learning students usually tend to work in the morning and afternoon whereas satisficing students worked on their homework in the evening. This suggests quicklearning students were driven, motivated, and had possibly better time management skills. In general, satisficing students were the only group to have a strong incline to work in the evening. This could point to other commitments that students have or a high course load. The afternoon type occurs most frequently in struggling and hardworking clusters. This may be because they were seeking help during office hours that were offered during the day or they simply required more time in general. Overall, our results confirm previous findings that certain chronotypes are related to academic achievement[24, 31].



Figure 3: Clustering result of different types of students The start of a time interval stands for the average start time whereas the end represents the average end time.

5.2 How long do different clusters of students work on their homework?

To further investigate hypothesis **H3**, we investigate when students in a given cluster start and finish their homework. We report the average start time and end time for each cluster in Figure 3. In addition, the Kruskal-Wallis H-Test suggests start date was statistically significantly different (*stat* = 22.59, *p*-value < 0.0001) whereas the end date was not (*stat* = 3.12, *p*-value = 0.37). Despite that, we can still observe some interesting patterns.

Error Groups	Error Categories	HW1	HW2	HW2	HW4	HW5	HW6
A Conceal Static Errore	1. Type Error	38.12%	30.94%	40.93%	32.65%	36.90%	34.83%
A. General static Errors	2. Syntax Error	42.33%	21.54%	21.79%	32.68%	17.80%	25.66%
	3. Unbound value	10.42%	7.19%	9.06%	13.42%	7.02%	7.27%
	4. Missing else branch	1.92%	0.75%	0.43%	0.08%	1.03%	1.07%
B. Imperative Thinking Errors	ors 5. Unused variable		0.65%	0.63%	6.37%	21.34%	7.23%
C Pattarn Matching Errors	6. Pattern matching type error	0.84%	5.24%	2.13%	0.62%	1.37%	1.40%
C. I attern Matching Errors	7. Non-exhaustive pattern matching	1.02%	16.78%	15.74~%	2.47%	4.62%	11.92%
D Eurotion Applications Errors	8. Wrong number of arguments	1.67%	2.19%	3.38%	1.17%	2.09%	1.89%
D. Function Applications Errors	9. Misuse of non-function values	2.50%	2.10%	2.07%	1.72%	1.50%	1.77%
	10. Others	0.88%	12.6%	5.89%	8.83%	6.33%	6.96%
	Total number of errors	7,850	27,519	14,331	19,859	22,467	26,681

Table 4: Error Groups and error categories together with their distribution of HWs

We note that both satisficing and struggling students start relatively late on their homework, at 7.51 and 7.22 average days respectively. However, satisficing students finish the earliest (10.78). This underscores the fact that they accept a "good enough" result rather than striving for better outcomes. Further, satisficing students had the shortest working duration. This substantiates our claim that these students achieve their goals by saving time and effort.

Struggling students experienced many difficulties as evidenced by a high number of static errors that they encounter. These students finish indeed last (finish time (11.41)). This indicates that these students are struggling, although they do try their best until the very end. However, they lack the skills or support to overcome their difficulties.

Hardworking students have the longest time interval. While they start the earliest (6.06), they finish the second latest (11.08). This shows the commitment and dedication they bring to their work.

Quick-learning students tend to start quite earlier (6.38), although not as early as hardworking students. This suggests that these students have confidence in their abilities to finish the homework smoothly.

We ran Spearman correlations to examine the correlation between start time and homework grade, the statistically significant result (*correlation* = -0.42, *p*-value < 0.0001) suggests procrastination affects negatively on student learning outcomes, which has been widely reported [3, 16, 22].

5.3 How do static errors affect students in different clusters?

Compilers for typed functional programming languages such as OCaml provide a wealth of errors and feedback to programmers. It not only reports syntax and type errors but also reports, for example, unused variables, and missing branches in case-statements and ifexpressions. This provides a basis for a better understanding of what basic concepts students struggle with the most.

5.3.1 Overview of static errors. To investigate our hypothesis **H4**, we analyze the types of errors of each failed compile event and group errors into four main categories: general static errors (eg. group A), errors due to imperative thinking (Group B), and errors related to pattern matching and function (eg. groups C and D). We also include how often particular errors occurred in assignment submissions (see Table 4).

The first homework shows a significant spike (42.33%) in syntax errors encountered. This is unsurprising, as it is the first time that students attempt to write programs in a new language. However, it may be surprising that 20% to 30% of the errors encountered are related to syntax and type errors (Group A) throughout the semester. In fact, these errors constitute around 60% of errors for every homework assignment in Table 4. This may point to the fact that type errors in TFP catch conceptual errors in the programmer's thinking early rather than later during testing. This may also suggest instructors dedicating more time to demystifying type error analysis.

For some key concepts from typed functional programming such as pattern matching, our error analysis indicates that students do improve and gain a better understanding of it. When pattern matching is first introduced in HW2, pattern matching errors and nonexhaustive pattern matching errors (Group C) consist 22% of total static errors. After practicing HW2 and HW3, the proportion of Error Group C drops greatly, which suggests that students gain a deeper understanding with more programming practice.

One of the prerequisites of this course is taking an introductory CS course, which is taught in Java or Python at our university. This implies that all of the participants had experience in programming before and had to deal with conceptual transfer from imperative/object-oriented programming (Python or Java) to functional programming (OCaml). Students usually report transitioning smoothly between procedural language and object-oriented language for concepts such as if-conditionals and functions and scope[28]. From our observations, students struggle more when transitioning to functional programming. In particular, they struggle with the concept of bound or unbound variables, missing branches in if-expressions, and function application errors. Although these errors occur less frequently than syntax and type errors, we believe it highlights that students struggle with thinking recursively and considering all cases in such a recursive program (Error No.4,7). Therefore, if-else expression without an else branch also often leads to type errors in a language like OCaml.

Moreover, imperative programming supports variables declared in the local or global state, while in functional languages, such as OCaml, we distinguish between stateful variables that can be updated and bound variables. While the concept of free variables and bound variables and the difference between stateful variables are discussed frequently in this course, students continue to encounter errors related to variables. In particular, the unbound value error occurs throughout the semester. This seems to be a sign that the concept of stateful variable declarations as used in imperative programming is persisting in how students think about a given problem. The most essential concept of functional programming is that functions are first-class citizens. Therefore, higher-order functions, which take a function as an argument, or return a function, are used frequently, especially in HW3 and subsequent assignments. If functions are not used correctly, it would most frequently be flagged as a type error. However, OCaml also provides other error reporting. In particular, it may report on the incorrect number of arguments (Error NO.8) and use a function value instead of applying arguments on a non-function value (Error NO.9). These errors form a non-negligible class indicating where students stumble.

5.3.2 How efficiently do students in each cluster fix errors? Lastly, we investigate hypothesis **H5** and aim to understand how students in different clusters vary in their ability to fix errors quickly. Table 5 shows the average number of successful compile events and failure ones experienced by different student clusters throughout the semester. The Failure/Success ratio *x* can be roughly interpreted as *debugging efficiency* or *error fix rate* that it on average costs a student *x* failure compile events to get a successful one.

	Quick-learning	Hardworking	Satisficing	Struggling
Success	37.9	60.4	28.1	40.7
Failure	85.7	162.3	66.9	118
F/S	2.26	2.67	2.38	2.90

 Table 5: Average success, failure and failure/success ratio

 (F/S) of compile events in each student cluster

Struggling students have the most difficulty in fixing static errors, requiring 2.9 failure compilations to fix the error on average. By contrast, quick-learning students have the best ability to debug with only a 2.26 failure compilation to get a successful one. Furthermore, the gap between their debugging efficiency is more significant, if we look at their average failure and success. While the average success for struggling students (40.7) and quick learners (37.9) are close, their average failures have a substantial gap: a struggling student has around 30 more failure compilations than quick learners.



Figure 4: Distribution of static errors in each student cluster.

The row of Failure in Table 5 can be further represented by the average number of each group of static errors for four student clusters in Figure 4. Type and syntax errors (Group A) dominate for all clusters but there are noteworthy differences. Quick learners have fewer errors in all groups, not only general static errors but also errors specific to functional programming. Satisficing students have the fewest errors in Group B, C, and D which may indicate that they in fact achieve competency. Lastly, hardworking and struggling students have significantly more errors in all error groups. In particular, they struggle more with basic concepts such as bound or unused variables, missing branches, and the proper use of functions.

6 CONCLUSION

In this study, we aim to understand how students develop functional programming assignments based on data collected through the Learn-OCaml programming platform. Our analysis considers grade, total time spent, and the total number of static errors to identify four student clusters: "Quick-learning", "Hardworking", "Satisficing", and "Struggling". Using statistical tests we validate our clustering results along with other analysis results. This provides a nuanced picture of students' behaviours and also exposes different paths towards achieving academic success in the course. Our analysis of chronotypes confirms that students who work in the morning reach the highest grade most quickly and smoothly. The total amount of time students spend on the homework also highlights the difference and similarities between the different student clusters. Although this part of the analysis was done in the context of a functional programming course, we expect our methodology to be applicable to other programming courses and help identify clusters of students who would benefit from additional support.

Our detailed analysis of static errors in typed functional programming also highlights areas where instructors can adjust their course content and possibly revisit topics. We believe our analysis also provides insights for students themselves, in particular the hardworking students, to understand which aspects they still struggle with and to seek clarifications. This would possibly allow them to become more efficient debuggers, spend less time on homework assignments, and improve their conceptual understanding.

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