

Properties of unitary t -designs

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Outline

Introduction

Trace double sum inequality

Symmetries and minimal designs

Greedy algorithms

Ouroboros application

Conclusion



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- ▶ For convenience we normalize integration by assuming that $\int_{U(d)} dU = 1$.

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- ▶ For convenience we normalize integration by assuming that $\int_{U(d)} dU = 1$.
- ▶ The goal of unitary t -designs is to evaluate averages of polynomials via a finite sum.

Preliminaries: $\text{Hom}(r, s)$

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$$U \mapsto \frac{\text{tr}(U^* U)}{d} \in \text{Hom}(1, 1)$$

$$U, V \mapsto \text{tr}(U^* V)U^2 + VU^* VU \in \text{Hom}(3, 1)$$

$$U \mapsto \underbrace{\text{tr}(U^* V)U^2}_{\text{Hom}(2,1)} + \underbrace{VU^* VU}_{\text{Hom}(1,1)} \notin \text{Hom}(2, 1)$$

Functional definition of unitary t -designs

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A function $w : X \rightarrow (0, 1]$ is a **weight function on X** if for all $U \in X$ we have $w(U) > 0$ and $\sum_{U \in X} w(U) = 1$

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A tuple (X, w) with finite $X \subset U(d)$ and weight function w on X is a **unitary t -design** if

$$\sum_{U \in X} w(U) f(U) = \int_{U(d)} f(U) dU$$

for all $f \in \text{Hom}(t, t)$.

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Definition

A finite $X \subset U(d)$ is an **unweighted t -design** if it is a unitary t -design with a uniform weight function (i.e. $w(U) = \frac{1}{|X|}$ for all $U \in X$).

Functional definition is general enough

Proposition

Every t -design is a $(t - 1)$ -design.



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Proposition

For any $f \in \text{Hom}(r, s)$ with $r \neq s$

$$\int_{U(d)} f(U) dU = 0$$

Lemma

For any $f \in \text{Hom}(r, s)$, $U \in U(d)$, and $c \in \mathbb{C}$ we have $f(cU) = c^r \bar{c}^s f(U)$

Strengths and shortcomings of the functional definition

Strengths:

- ▶ Average of any polynomial with degrees in U and U^* less than t can be evaluated one summand at a time.
- ▶ Multi-variable polynomials can be evaluated:

$$\int \cdots \int_{U(d)} f(U_1, \dots, U_n) dU_1 \dots dU_n$$

$$= \sum_{U_1 \in X} \cdots \sum_{U_n \in X} w(U_1) \dots w(U_n) f(U_1, \dots, U_n).$$

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Shortcomings:

- ▶ Not clear how to test if a given (X, w) is a t -design.
- ▶ If (X, w) is not a design, then how far away is it?

Tensor product definition of unitary t -designs

Definition

A tuple (X, w) with finite $X \subset U(d)$ and weight function w on X is a **unitary t -design** if

$$\sum_{U \in X} w(U) U^{\otimes t} \otimes (U^*)^{\otimes t} = \int_{U(d)} U^{\otimes t} \otimes (U^*)^{\otimes t} dU$$



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- ▶ More tractable for checking if an arbitrary (X, w) is a t -design.
- ▶ Literature has explicit formula for the RHS for many choices of d and t [Col03, CS06].
- ▶ Still not metric.



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For all finite $X \subset U(d)$ we have

$$\sum_{U, V \in X} w(U)w(V)|\text{tr}(U^* V)|^{2t} \geq \int_{U(d)} |\text{tr}(U)|^{2t} dU$$

With equality if and only if X is a t -design.



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With equality if and only if X is a t -design.

- ▶ Proved earlier by Scott [Sco08].
- ▶ RHS is the number of permutations of $\{1, \dots, t\}$ with no increasing subsequences of order greater than d [DS94, Rai98].
- ▶ If $d \geq t$ then RHS is $t!$.
- ▶ We will call the RHS σ .

Proof of TDSI, Part 1

Consider an arbitrary finite $X \subset U(d)$ with a weight function w , define matrices S and Σ as:

$$S = \sum_{U \in X} w(U) U^{\otimes t} \otimes (U^*)^{\otimes t}$$

$$\Sigma = \int_{U(d)} U^{\otimes t} \otimes (U^*)^{\otimes t} dU$$

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Consider the matrix $D = S - \Sigma$:

$$\begin{aligned} \text{tr}(D^* D) &= \text{tr}((S^* - \Sigma^*)(S - \Sigma)) \\ &= \text{tr}(S^* S) - \text{tr}(\Sigma^* S) - \text{tr}(S^* \Sigma) + \text{tr}(\Sigma^* \Sigma) \end{aligned}$$

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Trace is linear, thus can be brought past the integrals, summations and weights.

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$$\begin{aligned}
 & \operatorname{tr}((U^{\otimes t} \otimes (U^*)^{\otimes t})^* (V^{\otimes t} \otimes (V^*)^{\otimes t})) \\
 &= \operatorname{tr}((U^* V)^{\otimes t} \otimes (UV^*)^{\otimes t}) \\
 &= \operatorname{tr}(U^* V)^t \operatorname{tr}(UV^*)^t \\
 &= |\operatorname{tr}(U^* V)|^{2t}
 \end{aligned}$$

Proof of TDSI, Part 3

Consider the fourth summand $\text{tr}(\Sigma^* \Sigma)$:

$$\text{tr}(\Sigma^* \Sigma) = \int_{U(d)} \int_{U(d)} |\text{tr}(U^* V)|^{2t} dV dU$$

Proof of TDSI, Part 3

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Let $f(U) = \int_{U(d)} |\text{tr}(U^* V)|^{2t} dV$ be the inner integral.

$$\text{tr}(\Sigma^* \Sigma) = \int_{U(d)} f(U) dU = \int_{U(d)} f(I) dU = \int_{U(d)} |\text{tr}(V)|^{2t} dV$$



Metric definition of unitary t -designs

Definition

A weight function w is a **proper weight function on X** if for all other choices of weight function w' on X , we have:

$$\sum_{U, V \in X} w(U)w(V)|\text{tr}(U^*V)|^{2t} \leq \sum_{U, V \in X} w'(U)w'(V)|\text{tr}(U^*V)|^{2t}.$$

The **trace double sum** is a function Σ defined for finite $X \subset U(d)$ as:

$$\Sigma(X) = \sum_{U, V \in X} w(U)w(V)|\text{tr}(U^*V)|^{2t},$$

Definition

A finite $X \subset U(d)$ is a **unitary t -design** if

$$\Sigma(X) = \langle |\text{tr}(U)|^{2t} \rangle$$

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Four symmetries of t -designs

Proposition

If $X = \{U_1, \dots, U_n\}$ is a t -design then $Y = \{e^{i\phi_1} U_1, \dots, e^{i\phi_n} U_n\}$ is also a t -design for all $\phi_1, \dots, \phi_n \in [0, 2\pi]$.



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If X is a t -design then $X^ = \{U^* : U \in X\}$ is also a t -design.*



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Proposition

If X is a t -design then $X^ = \{U^* : U \in X\}$ is also a t -design.*

Proposition

If $X \subset U(d)$ is a t -design then $\forall M \in U(d)$, $MX = \{MU : U \in X\}$ and $XM = \{UM : U \in X\}$ are also a t -design.



Minimal designs

Lemma

If X, Y are two t -designs then so is $X \cup Y$.

- ▶ Designs can be arbitrarily large



Minimal designs

Lemma

If X, Y are two t -designs then so is $X \cup Y$.

- ▶ Designs can be arbitrarily large
- ▶ We are interested in smaller designs

Definition

A **minimal (unweighted) t -design** X is a t -design such that all $Y \subset X$ are not (unweighted) t -designs.



Characterization of minimal t -designs

Theorem

A t -design X is minimal if and only if it has a unique proper weight function w .



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A t -design X is minimal if and only if it has a unique proper weight function w .

- ▶ Useful tool for proving minimality.
- ▶ Sadly, minimal designs are not necessarily minimum.
- ▶ Currently working on finding correspondences between minimal and minimum designs.

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$$\alpha = \min_{U \in X} \frac{w'(U)}{w(U)}.$$

Let $Y = X - \{U \in X : w'(U) - \alpha w(U) = 0\}$, with weight function

$$w'' = \frac{w' - \alpha w}{1 - \alpha}$$



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Let $\langle f \rangle_X^w$ be the average of $f \in \text{Hom}(t, t)$ over X with weight function w :

$$\langle f \rangle_Y^{w''} = \frac{\langle f \rangle_X^{w'} - \alpha \langle f \rangle_X^w}{1 - \alpha} = \frac{\langle f \rangle - \alpha \langle f \rangle}{1 - \alpha} = \langle f \rangle$$

Proof of CMD, Part 2

(\Leftarrow) Consider a strengthened contrapositive: if $(X, w), (Y, w')$ are t -designs such that $Y \subset X$ then there are infinitely many proper weight functions on X .



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Assuming that $w'(U) = 0$ for $U \notin Y$, let $w'' = pw + (1 - p)w'$ for any choice of $p \in (0, 1)$. □

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Introducing greedy 'algorithms'

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 $\Sigma(X) \leq \Sigma(X \cup Y)$



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For every finite $X \subset U(d)$ there is some t -design Z such that $X \subseteq Z$



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Definition

The **contribution of U to X** is a function S defined as

$$S(U; X) = \sum_{V \in X} w(V) |tr(U^* V)|^{2t}$$

- ▶ $\Sigma(X) = \sum_{U \in X} w(U) S(U; X)$.
- ▶ Total amount $U \in X$ contributes to $\Sigma(X)$ is $d^{2t} + 2S(U; X - \{U\})$.



p -adjustment greedy algorithm

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 $w'(V) = (1 - \rho)w(V)$
3. Repeat with $X \leftarrow X'$ until we have a t -design

p -adjustment greedy algorithm

1. If X is not a t -design select a $U \notin X$ that minimizes $S(U; X)$.
2. Let $X' = X \cup \{U\}$ with $w'(U) = p$ and for $V \in X$
 $w'(V) = (1 - p)w(V)$
3. Repeat with $X \leftarrow X'$ until we have a t -design

If we adjust only p and our choice of U , then the new trace double sum is:

$$\Sigma(X') = (1 - p)^2 \Sigma(X) + p^2 d^{2t} + 2p(1 - p)S(U; X)$$

Which is minimized by:

$$p = \frac{\Sigma(X) - S(U; X)}{\Sigma(X) - 2S(U; X) + d^{2t}}$$

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Dangers:

- ▶ Weight function w' might not be proper weight function on X' .
- ▶ Might be able to lower the contribution of $S(U; X)$ at the expense of small increase in $\Sigma(X)$

A lower bound on the size of t -designs

- ▶ Note that $S(U; X) \geq 0$ for any U and X .



A lower bound on the size of t -designs

- ▶ Note that $S(U; X) \geq 0$ for any U and X .
- ▶ If we assume that $S(U, X) = 0$ at each time step, then the p -adjustment algorithm produces a proper weight function w' .
- ▶ Use this observation to find lower bounds.

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Proposition

If $X \subset U(d)$ is a t -design then $|X| \geq \frac{d^{2t}}{\sigma}$.



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If $X \subset U(d)$ is a t -design then $|X| \geq \frac{d^{2t}}{\sigma}$.

- ▶ Best known bounds are by Roy and Scott [RS08]: $|X| \geq \binom{d^2+t-1}{t}$
- ▶ Asymptotically, for large d and fixed t , both bounds are $\Theta(d^{2t})$

Proof of LB

Consider our algorithm with the best case of $S(U_k; X_k) = 0$ for every time step k :

$$\Sigma(X_{k+1}) = \frac{d^{2t}\Sigma(X_k)}{\Sigma(X_k) + d^{2t}}$$

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Making some changes of variable, we obtain the recurrence $x(1) = 1$ and:

$$x(k+1) = \frac{x(k)}{x(k) + 1}$$



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Until $d^{2t}x(k)$ falls below the value σ we know that there is no possible way to construct a t -design X with $|X| \leq k$. □



Limitations of greedy algorithms

Theorem

A p -adjustment greedy algorithm cannot construct an unweighted t -design.



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Proposition

For an unweighted $X \subset U(d)$, and all elements $U, V \in X$, $S(U; X) = S(V; X) \geq \sigma$ with equality if and only if X is a t -design.



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Lemma

For $X \subset U(d)$ with proper weight function w , and any pair of elements $U, V \in X$, if $w(U) \geq w(V)$ then $S(U; X - \{U, V\}) \leq S(V; X - \{U, V\})$.



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Orthonormal bases for $\mathbb{C}^{d \times d}$

Goal: find an orthonormal basis $|E_1\rangle, \dots, |E_{d^2}\rangle$ of $\mathbb{C}^{d \times d}$ such that each $E_i \in U(d)$



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Definition

$X \subset U(d)$ is **pairwise traceless** if for every $U, V \in X$ with $U \neq V$ we have $\text{tr}(U^*V) = 0$.

A pairwise traceless $X \subset U(d)$ is **maximum** pairwise traceless if $|X| = d^2$.

Orthonormal bases of unitaries for $\mathbb{C}^{d \times d}$ are maximum pairwise traceless sets.



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Proposition

For any $X \subset U(d)$, X is maximum pairwise traceless if and only if X is a minimum unweighted 1-design.



Very brief introduction to MUBs

Definition

Two orthonormal bases $\{|e_i\rangle : 1 \leq i \leq d\}$ and $\{|e'_i\rangle : 1 \leq i \leq d\}$ of \mathbb{C}^d are **mutually unbiased** if $|\langle e_i | e'_j \rangle|^2 = \frac{1}{d}$ for all $1 \leq i, j \leq d$.

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- ▶ Open question: determine the maximum number $\mathfrak{M}(d)$ of pairwise mutually unbiased bases for \mathbb{C}^d .

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- ▶ Open question: determine the maximum number $\mathfrak{M}(d)$ of pairwise mutually unbiased bases for \mathbb{C}^d .
- ▶ If we write the prime decomposition of $d = p_1^{n_1} \dots p_k^{n_k}$ such that $p_i^{n_i} \leq p_{i+1}^{n_{i+1}}$ then $p_1^{n_1} \leq \mathfrak{M}(d) \leq d + 1$.

Very brief introduction to MUBs

Definition

Two orthonormal bases $\{|e_i\rangle : 1 \leq i \leq d\}$ and $\{|e'_i\rangle : 1 \leq i \leq d\}$ of \mathbb{C}^d are **mutually unbiased** if $|\langle e_i | e'_j \rangle|^2 = \frac{1}{d}$ for all $1 \leq i, j \leq d$.

- ▶ Open question: determine the maximum number $\mathfrak{M}(d)$ of pairwise mutually unbiased bases for \mathbb{C}^d .
- ▶ If we write the prime decomposition of $d = p_1^{n_1} \dots p_k^{n_k}$ such that $p_i^{n_i} \leq p_{i+1}^{n_{i+1}}$ then $p_1^{n_1} \leq \mathfrak{M}(d) \leq d + 1$.

Important features for us:

- ▶ $\mathfrak{M}(d) \geq 2$ for $d \geq 1$.
- ▶ Without loss of generality, can assume one of the bases to be the standard basis.

Example

$$\left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}, \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\}, \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ +i \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \right\}$$

Maximum pairwise traceless set construction

- ▶ Let $|e_1\rangle \dots |e_d\rangle$ be an orthonormal basis of \mathbb{C}^d that is mutually unbiased with the standard basis.
- ▶ Define $I_i = \sqrt{d} \text{diag}(|e_i\rangle)$ for $1 \leq i \leq d$.



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For any tuple $1 \leq i, j, m, n \leq d$ we have:

$$\text{tr}((C_i^m)^* C_j^n) = \text{tr}(I_i^* C^{d-m+n} I_j) = \begin{cases} d & \text{if } i = j \text{ and } m = n \\ 0 & \text{otherwise} \end{cases}$$



Evaluating the average commutator over $U(d)$

Theorem

For any $V \in U(d)$ and $[U, V] = U^* V^* UV$ we have:

$$\langle [\cdot, V] \rangle = \frac{\text{tr}(V^*)}{d} V$$



Proof of EAC

Consider the diagonalization of V^* , i.e. $V^* = P^*DP$, with $D = \text{diag}(\lambda_1, \dots, \lambda_d)$.

$$\int_{U(d)} U^* V^* U V dU = \left[\int_{U(d)} U^* V^* U dU \right] V = \left[\int_{U(d)} U^* P^* D P U dU \right] V$$

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Thus, $\langle f \rangle = (\lambda_1 + \dots + \lambda_d) I$



t -designs are non-commuting

Definition

$X \subset U(d)$ is a *non-commuting* if there is some $U, V \in X$ such that $[U, V] \neq I$.

Theorem

For all $d \geq 2$ if $X \subset U(d)$ is a t -design then X is non-commuting.

Supports our intuition that designs must be well ‘spread out’.

Outline

Introduction

Trace double sum inequality

Symmetries and minimal designs

Greedy algorithms

Ouroboros application

Conclusion



Concluding remarks

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- ▶ Proved the trace double sum inequality: $\Sigma(X) \geq \langle |tr(U)|^{2t} \rangle$ with equality if and if X is a t -design



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- ▶ Proved the trace double sum inequality: $\Sigma(X) \geq \langle |tr(U)|^{2t} \rangle$ with equality if and if X is a t -design
- ▶ Discussed symmetries of designs: phase, X^* , MX , and XM .
- ▶ Classified minimal designs: a t -design is minimal if and only if it has a unique proper weight function.



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Thank you for listening!

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