COMP-520 – Review lecture

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Winter 2020

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- And outline some questions to practise

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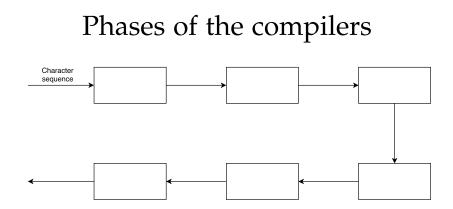
- We'll go over the different concepts we saw in class
- And outline some questions to practise
- You will have to provide the answers
- I know the names of many of you; if you don't want to be called out, volunteer an answer :)

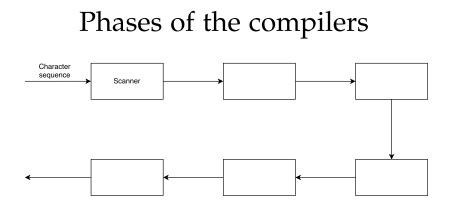
Compiler overview

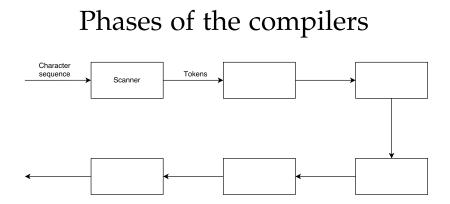
What is a compiler?

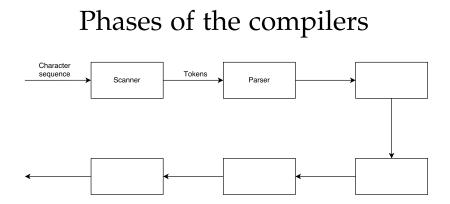
What is a compiler?

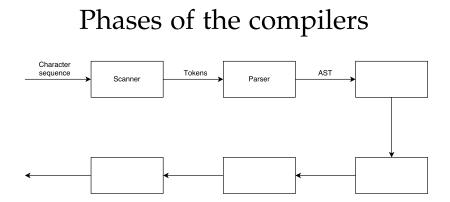
An *automated* program that *translates* programs written in a *source language* into *equivalent* programs in a *target language*.

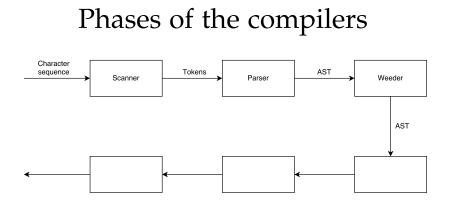


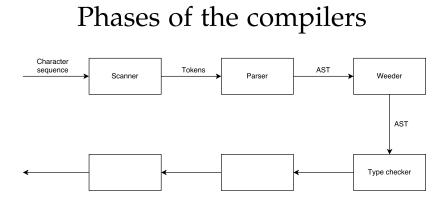


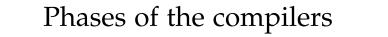


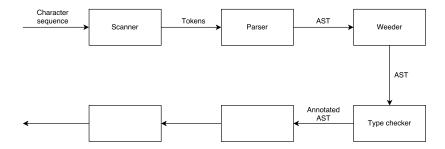




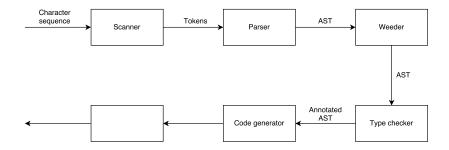


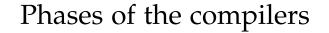


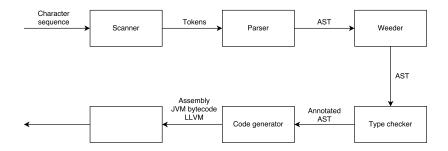




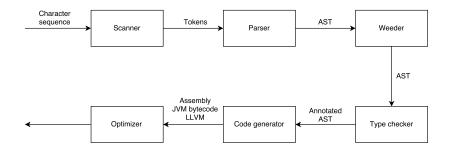




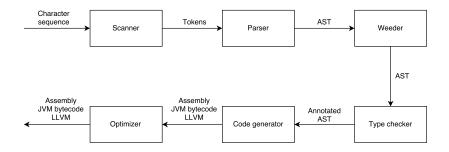




Phases of the compilers



Phases of the compilers



Scanner

What is the input of a scanner?

• What is the input of a scanner? **Characters**

- What is the input of a scanner? **Characters**
- What is the output of a scanner?

- What is the input of a scanner? **Characters**
- What is the output of a scanner? **Tokens**

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- What formalism did we use to specify scanners?

- What is the input of a scanner? **Characters**
- What is the output of a scanner? Tokens
- What formalism did we use to specify scanners? Regular expressions

What are the 5 building blocks of regular expressions?



What are the 5 building blocks of regular expressions?

Character 'c'

- ► E
- ► C
- ► A

What are the 5 building blocks of regular expressions?

- Character 'c'
- Empty string ϵ
- ► C
- ► A

What are the 5 building blocks of regular expressions?

- Character 'c'
- Empty string ϵ
- Concatenation AB
- ► A

What are the 5 building blocks of regular expressions?

- Character 'c'
- Empty string ϵ
- Concatenation AB
- Alternation A | B

What are the 5 building blocks of regular expressions?

- Character 'c'
- Empty string ϵ
- Concatenation AB
- Alternation A | B
- Repetition A*

More regular expressions

Optional

More regular expressions

• Optional A? = $\mathbf{A} \mid \epsilon$

More regular expressions

- Optional A? = $\mathbf{A} \mid \epsilon$
- One-or-more

Regular expressions

More regular expressions

- Optional A? = $\mathbf{A} \mid \epsilon$
- One-or-more A+ = A(A*)

How does flex match tokens?

How does flex match tokens?

TBYALTHEBEGEXESIN



How does flex handle multiple matches?

How does flex handle multiple matches?

Longest match rule (e.g. var vs variance)

How does flex handle multiple matches?

- Longest match rule (e.g. var vs variance)
- First match rule (e.g. keywords vs identifiers)

How does flex make regular expressions executable?

How does flex make regular expressions executable?

Regular expression \rightarrow NFA \rightarrow DFA

Regular Languages

What relationship exists between regular expressions, NFAs and DFAs?

Regular Languages

What relationship exists between regular expressions, NFAs and DFAs?

They are all equally powerful, and all recognize *regular* languages



- States
- ► T
- ▶ 1
- ▶ n

- States
- Transitions (A \xrightarrow{k} B)
- ▶ 1
- 🕨 n

What are the 4 building blocks of DFAs?

States

- Transitions (A \xrightarrow{k} B)
- 1 start state



- States
- Transitions (A \xrightarrow{k} B)
- 1 start state
- n accept states

Regular languages

Given a language, what is one sign that it is not a regular language?

Regular languages

Given a language, what is one sign that it is not a regular language?

Arbitrary nesting (e.g. parentheses, control structures)

Practice questions

- Is the language $\{a^nb^m \mid n > m\}$ regular?
- ▶ Is the language $\{a^n b^m \mid n, m \text{ both even}\}$ regular?
- Draw the DFA for the regular language $\{a^n \mid n \text{ odd}\}$

Parser

What is the input of a parser?

What is the input of a parser? Tokens

- What is the input of a parser? **Tokens**
- ▶ What is the output of a parser?

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- What is the output of a parser? Syntax tree (abstract or concrete)

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- What is the input of a parser? **Tokens**
- What is the output of a parser? Syntax tree (abstract or concrete)
- What formalism did we use to specify parsers? Context-free grammars

What are the 4 building blocks of context-free grammars?

- ► T
- ► N
- ► P
- ► S

What are the 4 building blocks of context-free grammars?

Terminals (tokens)

- N
- ► P
- ► S

What are the 4 building blocks of context-free grammars?

Terminals (tokens)

- ▶ Non-terminals (e.g. *stmt* or *expr*)
- ► P
- ► S

What are the 4 building blocks of context-free grammars?

Terminals (tokens)

- ▶ Non-terminals (e.g. *stmt* or *expr*)
- ▶ Productions (e.g. stmt → PRINT '(' expr ')')

What are the 4 building blocks of context-free grammars?

- Terminals (tokens)
- ▶ Non-terminals (e.g. *stmt* or *expr*)
- ▶ Productions (e.g. stmt → PRINT '(' expr ')')
- Start symbol

When is a grammar ambiguous?

When is a grammar ambiguous?

When there is *at least* one sentence that has *more than one* derivation/parse tree.

Grammar: E \rightarrow id | E '+' E

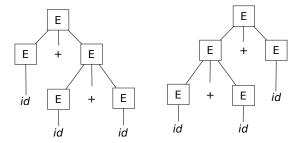
Program: id + id + id

What are the two parse trees for this sentence? (Note, parse trees are *not* derivations)

Grammar: E \rightarrow id | E '+' E

Program: id + id + id

What are the two parse trees for this sentence? (Note, parse trees are *not* derivations)



What are the two ways to fix this ambiguity?

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Factoring the grammar using terms and factors:

E = E '+' T | T;T = id;

Ambiguous grammar

What are the two ways to fix this ambiguity?

Factoring the grammar using terms and factors:

E = E '+' T | T;T = id;

Precedence+associativity directives:

%left '+' E = id | E '+' E;

What do LL(1) and LR(1) mean?





What do LL(1) and LR(1) mean?

 LL(1): left-to-right processing, left-most derivation, one token of lookahead



What do LL(1) and LR(1) mean?

- LL(1): left-to-right processing, left-most derivation, one token of lookahead
- LR(1): left-to-right processing, right-most derivation, one token of lookahead

What is a left-most derivation? A right-most derivation?

E = E '+' TE = TT = IDa + b + c

```
E = E '+' T
E = T
T = ID
a + b + c
// left-most derivation
E
```

```
E = E '+' T
E = T
T = ID
a + b + c
// left-most derivation
\frac{E}{E} '+' T
```

```
E = E '+' T
E = T
T = ID
a + b + c
// left-most derivation
\frac{E}{E} '+' T
E '+' T '+' T
```

```
E = E '+ ' T
E = T
T = ID
a + b + c
// left-most derivation
E
E '+' T
E '+' T '+' T
// right-most derivation
E
```

```
E = E '+ ' T
E = T
T = ID
a + b + c
// left-most derivation
E
E '+' T
E '+' T '+' T
// right-most derivation
<u>E</u>
E '+' <u>T</u>
```

```
E = E '+ ' T
 E = T
 T = ID
 a + b + c
 // left-most derivation
E
E '+' T
E '+' T '+' T
 // right-most derivation

\frac{\underline{E}}{\underline{E}} + \frac{1}{\underline{T}}

\underline{E} + \frac{1}{\underline{T}}

\underline{E} + \frac{1}{\underline{T}}

\underline{E} + \frac{1}{\underline{T}}
```

What are the two types of parser we saw in class?

► T

► B

What are the two types of parser we saw in class?

► Top-down

► B

What are the two types of parser we saw in class?

Top-down

Bottom-up

What is the difference between top-down and bottom-up?

► Top-down:

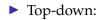
What is the difference between top-down and bottom-up?

- Top-down: start symbol \downarrow leaves
- ▶ Bottom-up:

What is the difference between top-down and bottom-up?

- Top-down: start symbol \downarrow leaves
- ► Bottom-up: leaves ↑ start symbol

What kinds of grammars do top-down and bottom-up parsers tools use?



What kinds of grammars do top-down and bottom-up parsers tools use?

- ► Top-down: LL
- ► Bottom-up:

What kinds of grammars do top-down and bottom-up parsers tools use?

- ► Top-down: LL
- ► Bottom-up: LR

Is the following grammar LL(1)?

Is the following grammar LL(1)?

```
No
```

How can we make the grammar LL(1)?

How can we make the grammar LL(1)?

Grammar factoring

How do we implement a top-down parser by hand?

How do we implement a top-down parser by hand?

Recursive descent

Recursive descent parser

Recursive descent parser

```
// Grammar
stmt = ID '=' expr ';'
     | PRINT expr ';'
     1 ...
// Python code
def stmt():
    next_tok = peek()
    if next tok == TOK ID:
        id = consume(TOK ID)
        consume(TOK_EQ)
        e = expr()
        consume (TOK_SEMI)
        return astnode(AST_ASSIGN, lhs=id, rhs=e)
    elif next tok == TOK PRINT:
        consume (TOK_PRINT)
        e = expr()
        consume(TOK SEMI)
        return astnode(AST_PRINT, expr=e)
    elif ...
```

What technique do we use in bottom-up parsing (LR) tools?

What technique do we use in bottom-up parsing (LR) tools?

Shift/reduce

What are the three actions of a bottom-up parser?







What are the three actions of a bottom-up parser?

- Shift (move a token from input to stack)
- ► R
- ► A

What are the three actions of a bottom-up parser?

- Shift (move a token from input to stack)
- Reduce (replace elements on the top of the stack with a non-terminal)

► A

What are the three actions of a bottom-up parser?

- Shift (move a token from input to stack)
- Reduce (replace elements on the top of the stack with a non-terminal)
- Accept

Given the simple context-free grammar

Show the shift-reduce progression for the sentence acb

stack	input	action
	acb\$	

Given the simple context-free grammar

Show the shift-reduce progression for the sentence acb

stack	input	action
	acb\$	shift
a	cb\$	

Given the simple context-free grammar

stack	input	action	
	acb\$	shift	
а	cb\$	shift	
ac	Ъ\$		

Given the simple context-free grammar

stack	input	action	
	acb\$	shift	
а	cb\$	shift	
ac	ъ\$	reduce S->c	
aS	Ъ\$		

Given the simple context-free grammar

stack	input	action	
	acb\$	shift	
а	cb\$	shift	
ac	Ъ\$	reduce S->c	
aS	Ъ\$	shift	
aSb	\$		

Given the simple context-free grammar

stack	input	action	
	acb\$	shift	
а	cb\$	shift	
ac	b\$	reduce S->c	
aS	b\$	shift	
aSb	\$	reduce S->aSb	
S	\$		

Given the simple context-free grammar

stack	input	action	
	acb\$	shift	
a	cb\$	shift	
ac	b\$	reduce S->c	
aS	b\$	shift	
aSb	\$	reduce S->aSb	
S	\$	accept	

What type of conflict is exhibited in this grammar?

```
%{
%{
%}
%token ID
%start start
%%
start: rule1 | rule2
rule1: ID
rule2: ID
%%
```

What type of conflict is exhibited in this grammar?

```
%{
%{
%}
%token ID
%start start
%%
start: rule1 | rule2
rule1: ID
rule2: ID
%%
```

Reduce/reduce

What type of conflict is exhibited in this grammar?

```
%{
%token ID
%start start
%%
start: ID ID | rule1 ID
rule1: ID
%%
```

What type of conflict is exhibited in this grammar?

```
%{
%}
%token ID
%start start
%%
start: ID ID | rule1 ID
rule1: ID
%%
```

Shift/reduce

How do precedence directives resolve grammar ambiguities?

How do precedence directives resolve grammar ambiguities?

They instruct the parser to either shift or reduce when both options are valid

Given the grammar for expressions and the necessary precedence directives to resolve the ambiguities

%left '+'
%left '*'
%%
E : E '+' E
 | E '*' E
 | id

stack	input	action
E + E	* id\$	

Given the grammar for expressions and the necessary precedence directives to resolve the ambiguities

```
%left '+'
%left '*'
%%
E : E '+' E
   | E '*' E
   | id
```

	stack	ir	nput .	action
Е	+ E	*	id\$	shift

Given the grammar for expressions and the necessary precedence directives to resolve the ambiguities

%left '+'
%left '*'
%%
E : E '+' E
 | E '*' E
 | id

stack	input	action	
E + E	* id\$	shift	
E + E	+ id\$		

Given the grammar for expressions and the necessary precedence directives to resolve the ambiguities

```
%left '+'
%left '*'
%%
E : E '+' E
   | E '*' E
   | id
```

stack	input	action
E + E	* id\$	shift
E + E	+ id\$	reduce E->E+E

AST

▶ What is a CST?

▶ What is a CST? The tree that traces a parser derivation

- ▶ What is a CST? **The tree that traces a parser derivation**
- What are the inner nodes of a CST?

- What is a CST? The tree that traces a parser derivation
- ▶ What are the inner nodes of a CST? The non-terminals

- ▶ What is a CST? The tree that traces a parser derivation
- ▶ What are the inner nodes of a CST? The non-terminals
- What are the leaves of a CST?

- ▶ What is a CST? The tree that traces a parser derivation
- ▶ What are the inner nodes of a CST? The non-terminals
- What are the leaves of a CST? The terminals

▶ What is a AST?

What is a AST? A tree representation of the program without the extraneous stuff (e.g. punctuation, extra non-terminals)

- What is a AST? A tree representation of the program without the extraneous stuff (e.g. punctuation, extra non-terminals)
- What are the inner nodes of an AST?

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- What are the inner nodes of an AST? Statements and expressions

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- What is a AST? A tree representation of the program without the extraneous stuff (e.g. punctuation, extra non-terminals)
- What are the inner nodes of an AST? Statements and expressions
- What are the leaves of an AST? Literals and identifiers

Can you use a CST for type checking?

AST vs CSTCan you use a CST for type checking? Yes

- Can you use a CST for type checking? **Yes**
- Can you use a CST for code gen?

- Can you use a CST for type checking? **Yes**
- Can you use a CST for code gen? Yes

- Can you use a CST for type checking? **Yes**
- Can you use a CST for code gen? Yes
- ► Then why do we prefer ASTs?

- Can you use a CST for type checking? **Yes**
- Can you use a CST for code gen? Yes
- Then why do we prefer ASTs? Simpler and shorter

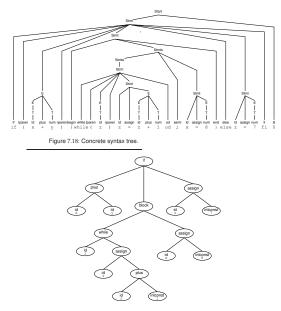


Figure 7.19: AST for the parse tree in Figure 7.18.

Weeder

What is the role of the weeder?

What is the role of the weeder?

Reject invalid programs that the parser cannot.

What are some examples that a parser cannot easily reject and must be done in a weeder?

What are some examples that a parser cannot easily reject and must be done in a weeder?

- Reject break and continue outside of loops
- Reject switch statements with multiple default branches
- Reject non-void functions without return statements

Can we write a parser to reject break outside loops?

Can we write a parser to reject break outside loops?

Probably, but the parser would be larger, more complicated and uglier.

If a check can be done in the parser and in the weeder, where should we do it?

If a check can be done in the parser and in the weeder, where should we do it?

- Where it makes our job easier
- Where it gives the better error message

What is stored in a symbol table?

What is stored in a symbol table?

Identifiers and their related information.

What information can be associated with a symbol?

What information can be associated with a symbol?

- Type
- Offset in stack frame
- Resources for methods (e.g. number of locals, stack limit)
- Original name
- ► Etc.

What data structure is typically used for a symbol table (assuming a single scope)?

What data structure is typically used for a symbol table (assuming a single scope)?

Hash tables

How do we handle multiple scopes where variables can be redeclared?

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Stack of hash tables (cactus stack)

How do we handle multiple scopes where variables can be redeclared?

Stack of hash tables (cactus stack)

When do we modify this stack?

How do we handle multiple scopes where variables can be redeclared?

Stack of hash tables (cactus stack)

When do we modify this stack?

Push when opening a new scope, pop when closing a scope

How do we insert a symbol?

How do we insert a symbol?

Put into the top table

How do we insert a symbol?

Put into the top table

How do we lookup a symbol?

How do we insert a symbol?

Put into the top table

How do we lookup a symbol?

Search hash tables in the stack from top to bottom

What is the role of type checking?

What is the role of type checking?

Reject programs that are *syntactically correct*, **but** *semantically wrong*.

What is the input of the type checker?

What is the input of the type checker? AST

• What is the input of the type checker? **AST**

▶ What is the output of the type checker?

What is the input of the type checker? AST

 What is the output of the type checker? Annotated AST (AST+types)

Do declarations have a type?

► Do declarations have a type? No

- Do declarations have a type? No
- Do statements have a type?

- Do declarations have a type? No
- Do statements have a type? No

- ► Do declarations have a type? No
- ▶ Do statements have a type? No
- Do expressions have a type?

- ► Do declarations have a type? No
- ► Do statements have a type? No
- ► Do expressions have a type? Yes

Where do we store the type of expressions?

Where do we store the type of expressions?

In the AST

In an auxiliary table (SableCC)

Exercise, state the typechecking steps of the following

Exercise, state the typechecking steps of the following

var x int = expr

► Type check *expr*

Exercise, state the typechecking steps of the following

- ► Type check *expr*
- Make sure int := typeof(expr)

Exercise, state the typechecking steps of the following

- Type check *expr*
- Make sure int := typeof(expr)
- Try to add x -> int to the symbol table

Exercise, state the typechecking steps of the following

- Type check expr
- Make sure int := typeof(expr)
- Try to add x -> int to the symbol table
 - Report an error if x is already defined in the current scope

Exercise, state the typechecking steps of the following

- Type check expr
- Make sure int := typeof(expr)
- Try to add x -> int to the symbol table
 - Report an error if x is already defined in the current scope
- Type check the rest of the program with the updated symbol table

Exercise, state the typechecking steps of the following

```
if expr {
   then_stmts
} else {
   else_stmts
}
```

Exercise, state the typechecking steps of the following

```
if expr {
   then_stmts
} else {
   else_stmts
}
```

Type check *expr*, *then_stmts*, and *else_stmts*

Exercise, state the typechecking steps of the following

```
if expr {
   then_stmts
} else {
   else_stmts
}
```

Type check *expr*, *then_stmts*, and *else_stmts*

```
Make sure typeof(expr) = bool
```

What does this mean in English?

 $\frac{P}{C}$

What does this mean in English?

 $\frac{P}{C}$

"If P then C"

What about this?

 $\frac{P_1 \quad P_2}{C}$

What about this?

$$\frac{P_1 \quad P_2}{C}$$

"If P_1 and P_2 then C"

What does this mean in English?

 $\Gamma \vdash e:T$

What does this mean in English?

 $\Gamma \vdash e:T$

"Under the context Γ , *it is provable* (\vdash) that *e* has type (:) *T*" (Context = symbol table)

What does this action do?

$$\frac{\Gamma[x \to T]}{\Gamma \vdash T \ x}$$

What does this action do?

$$\frac{\Gamma[x \to T]}{\Gamma \vdash T \ x}$$

Adds the mapping from *x* to *T* in the symbol table

What does this action do?

$$\frac{\Gamma[x \to T]}{\Gamma \vdash T \ x}$$

Adds the mapping from *x* to *T* in the symbol table

We can also use the updated context to type check the rest of the program

$$\frac{\Gamma[x \to T] \vdash rest}{\Gamma \vdash T \;\; x; rest}$$

What does this mean in English?

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T}$$

What does this mean in English?

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T}$$

"If in the context Γ *x* is mapped to type *T*, then under the context Γ it is provable that *x* has type *T*."

 $\frac{\Gamma \vdash e_1 : int \quad \Gamma \vdash e_2 : int}{\Gamma \vdash e_1 + e_2 : int}$

 $\frac{\Gamma \vdash e_1: int \quad \Gamma \vdash e_2: int}{\Gamma \vdash e_1 + e_2: int}$

"If under the context Γ it is provable that e_1 has type *int* and under the context Γ it is provable that e_2 has type *int*, then under the context Γ it is provable that $e_1 + e_2$ has type *int*."

 $\frac{\Gamma \vdash e: bool \quad \Gamma \vdash s_1 \quad \Gamma \vdash s_2}{\Gamma \vdash if \ e \ \{s_1\} \ else \ \{s_2\}}$

$$\frac{\Gamma \vdash e : bool}{\Gamma \vdash if \ e \ \{s_1\} \ else \ \{s_2\}}$$

"If under the context Γ it is provable that *e* has type *bool* and under the context Γ it is provable that s_1 typechecks, and under the context Γ it is provable that s_2 typechecks, then under the context Γ it is provable that *if e* { s_1 } *else* { s_2 } typechecks."

This is not going to be on the exam (probably)

 $L, C, M, V \vdash E_i : \sigma_i$ $\exists \vec{\tau}: constructor(L, C, \vec{\tau}) \land$ $\vec{\tau} := \vec{\sigma} \land$ $(\forall \vec{\gamma}: constructor(L, C, \vec{\gamma}) \land \vec{\gamma} := \vec{\sigma}$ \downarrow $\vec{\gamma} := \vec{\tau}$) $L, C, M, V \vdash \text{new } C(E_1, \dots, E_n) : C$

Code generation

Code generation

Code generation has many sub-phases:

- Computing resources
- Generating an IR of the code
- Optimizing the code
- Emitting the code

In JOOS, what resources did we need to compute?

- ► L
- ► S
- ► L
- ► 0

In JOOS, what resources did we need to compute?

Locals (how many?)

- ► S
- ► L
- ► 0

In JOOS, what resources did we need to compute?

Locals (how many?)

- Stack height (maximum)
- ► L
- ► 0

In JOOS, what resources did we need to compute?

- Locals (how many?)
- Stack height (maximum)
- Labels (for control structures and some operators)

► 0

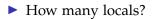
In JOOS, what resources did we need to compute?

- Locals (how many?)
- Stack height (maximum)
- Labels (for control structures and some operators)
- Offsets (locals and formals)

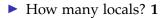
```
public static void f(int x) {
    x = x + 3;
}
```

```
public static void f(int x) {
     x = x + 3;
 }
.method public static f(I)V
.limit locals
.limit stack
                         // [ TOP , BOT ]
                         //[,
                     // [ x , ]
// [ 3 , x ]
// [ x+3 , ]
 iload 0
 ldc_int 3
 iadd
                        // [ .
 istore_0
.end method
```

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     x = x + 3;
 }
.method public static f(I)V
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What does the body of this method look like in Jasmin?

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public static void f(int x) {
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.limit stack
                        // [ TOP , BOT ]
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// [ 3 , x ]
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 iload 0
 ldc_int 3
 iadd
                      // [
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.end method
```

How many locals? 1

Stack height?

What does the body of this method look like in Jasmin?

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                    // [ x , ]
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// [ x+3 , ]
 iload 0
 ldc_int 3
 iadd
                       // [
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.end method
```

How many locals? 1

Stack height? 2

What invariant must be respected by statement code templates?

What invariant must be respected by *statement* code templates? **Stack height is unchanged**

What invariant must be respected by statement code templates?

Stack height is unchanged

What invariant must be respected by *expression* code templates?

What invariant must be respected by *statement* code templates?

Stack height is unchanged

What invariant must be respected by *expression* code templates?

Stack height increased by one