## Introduction to unitary *t*-designs

Artem Kaznatcheev

McGill University

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Introduction to unitary *t*-designs





#### Introduction

Trace double sum inequality

Symmetries and minimal designs

1-designs

Structure of designs

#### Conclusion



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# Outline

#### Introduction

Trace double sum inequality

Symmetries and minimal designs

1-designs

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Introduction to unitary *t*-designs



# Preliminaries: U(d)

► U(d) is the topologically compact and connected group of norm preserving (unitary) operators on C<sup>d</sup>.



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# Preliminaries: U(d)

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- ► U(d) is the topologically compact and connected group of norm preserving (unitary) operators on C<sup>d</sup>.
- We can introduce the Haar measure and use it to integrate functions f of U ∈ U(d) to find their averages:

$$\langle f \rangle = \int_{U(d)} f(U) \, dU.$$

For convenience we normalize integration by assuming that  $\int_{U(d)} dU = 1$ .

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$$\langle f \rangle = \int_{U(d)} f(U) \, dU.$$

- ► For convenience we normalize integration by assuming that  $\int_{U(d)} dU = 1.$
- The goal of unitary t-designs is to evaluate averages of polynomials via a finite sum.

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Definition

Hom(r, s) is the set of polynomials homogeneous of degree r in entries of  $U \in U(d)$  and homogeneous of degree s in  $U^*$ .



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### Examples

$$egin{array}{rcl} U,V&\mapsto&U^*V^*UV&\in {\it Hom}(2,2)\ U&\mapsto&U^*V^*UV&\in {\it Hom}(1,1) \end{array}$$



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### Examples

$U \mapsto U^* V^* U V \in Hom($	1, 1)
$U \mapsto \frac{tr(U^*U)}{d} \in Hom($	1,1)
$U, V \mapsto tr(U^*V)U^2 + VU^*VU \in Hom($	3,1)
$U \mapsto \underbrace{tr(U^*V)U^2}_{Hom(2,1)} + \underbrace{VU^*VU}_{Hom(1,1)} \notin Hom($	2,1)

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March 25, 2010 2 / 20

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## Functional definition of unitary *t*-designs

#### Definition

A function  $w : X \to (0, 1]$  is a weight function on X if for all  $U \in X$  we have w(U) > 0 and  $\sum_{U \in X} w(U) = 1$ 

## Functional definition of unitary t-designs

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#### Definition

A tuple (X,w) with finite  $X \subset U(d)$  and weight function w on X is a unitary *t*-design if

$$\sum_{U \in X} w(U)f(U) = \int_{U(d)} f(U) \, dU$$

for all  $f \in Hom(t, t)$ .

# Functional definition of unitary t-designs

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for all  $f \in Hom(t, t)$ .

#### Definition

A finite  $X \subset U(d)$  is an unweighted *t*-design if it is a unitary *t*-design with a uniform weight function (i.e.  $w(U) = \frac{1}{|X|}$  for all  $U \in X$ ).

# Functional definition is general enough

Proposition

Every t-design is a (t-1)-design.



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## Functional definition is general enough

Proposition

Every t-design is a (t-1)-design.

Proposition

```
For any f \in Hom(r, s) with r \neq s
```

 $\int_{U(d)} f(U) \, dU = 0$ 

#### Lemma

For any  $f \in \text{Hom}(r, s)$ ,  $U \in U(d)$ , and  $c \in \mathbb{C}$  we have  $f(cU) = c^r \bar{c}^s f(U)$ 

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# Strengths and shortcomings of the functional definition

Strengths:

- ► Average of any polynomial with degrees in U and U\* less than t can be evaluated one summand at a time.
- Multi-variable polynomials can be evaluated:

$$\int \cdots \int f(U_1, ..., U_n) dU_1 ... dU_n$$
$$= \sum_{U_1 \in X} ... \sum_{U_n \in X} w(U_1) ... w(U_n) f(U_1, ..., U_n).$$

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Shortcomings:

- Not clear how to test if a given (X, w) is a *t*-design.
- If (X, w) is not a design, then how far away is it?

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# Tensor product definition of unitary *t*-designs

### Definition

A tuple (X,w) with finite  $X \subset U(d)$  and weight function w on X is a unitary *t*-design if

$$\sum_{U\in X} w(U)U^{\otimes t} \otimes (U^*)^{\otimes t} = \int_{U(d)} U^{\otimes t} \otimes (U^*)^{\otimes t} dU$$

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- More tractable for checking if an arbitrary (X, w) is a *t*-design.
- Literature has explicit formula for the RHS for many choices of d and t [Col03, CS06].
- Still not metric.

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#### Definition

A tuple (X,w) with finite  $X \subset U(d)$  and weight function w on X is an  $\epsilon$ -approximate unitary *t*-design if

$$\|\sum_{U\in X}w(U)U^{\otimes t}\otimes (U^*)^{\otimes t}-\int_{U(d)}U^{\otimes t}\otimes (U^*)^{\otimes t}dU\|<\epsilon$$

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 A glaring omission is a specification of which norm to use in the definition.

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- There are many choices of operator norms, important ones in QIT are Schatten norms. In particular the trace, Frobenius, and spectral norms.

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- A glaring omission is a specification of which norm to use in the definition.
- There are many choices of operator norms, important ones in QIT are Schatten norms. In particular the trace, Frobenius, and spectral norms.
- By modifying the definition slightly, we can also study super-operator norms. In particular, the diamond norm (most useful from a cryptographic and experimental point of view).

# Outline

#### Introduction

#### Trace double sum inequality

Symmetries and minimal designs

1-designs

Structure of designs

#### Conclusion



Introduction to unitary *t*-designs



## The trace double sum inequality

#### Theorem

A tuple (X, w) is an  $\epsilon$ -approximate unitary t-design (with respect to the Frobenius norm) if and only if

$$\sum_{U,V\in X} w(U)w(V)|tr(U^*V)|^{2t} - \int_{U(d)} |tr(U)|^{2t} \ dU \leq \epsilon^2$$

▶ Proved earlier in the non-approximate case by Scott [Sco08].

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- Proved earlier in the non-approximate case by Scott [Sco08].
- The integral is the number of permutations of {1, ..., t} with no increasing subsequences of order greater than d [DS94, Rai98]. We will call this number σ.
- If  $d \ge t$  then  $\sigma$  is t!.

## The trace double sum inequality

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- The integral is the number of permutations of {1,..., t} with no increasing subsequences of order greater than d [DS94, Rai98]. We will call this number σ.
- If  $d \ge t$  then  $\sigma$  is t!.
- ▶ Limitation: no one really cares about the Frobenius norm. -\_-

# Metric definition of unitary *t*-designs

### Definition

A weight function w is an optimal weight function on X if for all other choices of weight function w' on X, we have:

$$\sum_{U,V\in X} w(U)w(V)|tr(U^*V)|^{2t} \leq \sum_{U,V\in X} w'(U)w'(V)|tr(U^*V)|^{2t}.$$

The trace double sum is a function  $\Sigma$  defined for finite  $X \subset U(d)$  as:

$$\Sigma(X) = \sum_{U,V \in X} w(U)w(V)|tr(U^*V)|^{2t},$$

Definition

A finite  $X \subset U(d)$  is a unitary *t*-design if

$$\Sigma(X) = \langle |tr(U)|^{2t} \rangle$$

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#### Introduction

#### Trace double sum inequality

### Symmetries and minimal designs

1-designs

Structure of designs

#### Conclusion



Introduction to unitary *t*-designs



#### Symmetries

# Four symmetries of *t*-designs

### Proposition

If  $X = \{U_1, ..., U_n\}$  is a t-design then  $Y = \{e^{i\phi_1}U_1, ..., e^{i\phi_n}U_n\}$  is also a t-design for all  $\phi_1, ..., \phi_n \in [0, 2\pi]$ .





#### Symmetries

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If X is a t-design then  $X^* = \{U^* : U \in X\}$  is also a t-design.





#### Symmetries

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#### Proposition

If X is a t-design then 
$$X^* = \{U^* : U \in X\}$$
 is also a t-design.

#### Proposition

If  $X \subset U(d)$  is a t-design then  $\forall M \in U(d), MX = \{MU : U \in X\}$  and  $XM = \{UM : U \in X\}$  are also a t-design.

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# Minimal designs

Lemma

If X, Y are two t-designs then so is  $X \cup Y$ .

Designs can be arbitrarily large



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# Minimal designs

#### Lemma

If X, Y are two t-designs then so is  $X \cup Y$ .

- Designs can be arbitrarily large
- We are interested in smaller designs

### Definition

A minimal (unweighted) *t*-design X is a *t*-design such that all  $Y \subset X$  are not (unweighted) *t*-designs.

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## Characterization of minimal *t*-designs

Theorem

A t-design X is minimal if and only if it has a unique optimal weight function w.



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Useful tool for proving minimality.



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# Characterization of minimal *t*-designs

#### Theorem

A t-design X is minimal if and only if it has a unique optimal weight function w.

- Useful tool for proving minimality.
- Sadly, minimal designs are not necessarily minimum.
- Still working on finding correspondences between minimal and minimum designs.



Introduction to unitary *t*-designs



# Outline

Introduction

Trace double sum inequality

Symmetries and minimal designs

#### 1-designs

Structure of designs

#### Conclusion



Introduction to unitary *t*-designs

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# Orthonormal bases for $\mathbb{C}^{d \times d}$

Goal: find an orthonormal basis  $|E_1\rangle,...,|E_{d^2}\rangle$  of  $\mathbb{C}^{d\times d}$  such that each  $E_i\in U(d)$ 



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Definition

 $X \subset U(d)$  is pairwise traceless if for every  $U, V \in X$  with  $U \neq V$  we have  $tr(U^*V) = 0$ . A pairwise traceless  $X \subset U(d)$  is maximum pairwise traceless if  $|X| = d^2$ .

Orthonormal bases of unitaries for  $\mathbb{C}^{d \times d}$  are maximum pairwise traceless sets.



Introduction to unitary *t*-designs



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Orthonormal bases of unitaries for  $\mathbb{C}^{d \times d}$  are maximum pairwise traceless sets.

Proposition

For any  $X \subset U(d)$ , X is maximum pairwise traceless if and only if X is a minimum unweighted 1-design.

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### Definition

Two orthonormal bases  $\{|e_i\rangle : 1 \le i \le d\}$  and  $\{|e'_i\rangle : 1 \le i \le d\}$  of  $\mathbb{C}^d$  are mutually unbiased if  $|\langle e_i | e'_j \rangle|^2 = \frac{1}{d}$  for all  $1 \le i, j \le d$ .

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► Open question: determine the maximum number M(d) of pairwise mutually unbiased bases for C<sup>d</sup>.

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- ► Open question: determine the maximum number M(d) of pairwise mutually unbiased bases for C<sup>d</sup>.
- If we write the prime decomposition of  $d = p_1^{n_1} \dots p_k^{n_k}$  such that  $p_i^{n_i} \leq p_{i+1}^{n_{i+1}}$  then  $p_1^{n_1} \leq \mathfrak{M}(d) \leq d+1$ .

### Definition

Two orthonormal bases  $\{|e_i\rangle : 1 \le i \le d\}$  and  $\{|e'_i\rangle : 1 \le i \le d\}$  of  $\mathbb{C}^d$  are mutually unbiased if  $|\langle e_i|e'_j\rangle|^2 = \frac{1}{d}$  for all  $1 \le i, j \le d$ .

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Important features for us:

- $\mathfrak{M}(d) \geq 2$  for  $d \geq 1$ .
- Without loss of generality, can assume one of the bases to be the standard basis.

Example

$$\left\{ \begin{pmatrix} 1\\0 \end{pmatrix}, \begin{pmatrix} 0\\1 \end{pmatrix} \right\}, \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix} \right\}, \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\+i \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-i \end{pmatrix} \right\}$$

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Maximum pairwise traceless set construction

- Let  $|e_1\rangle ... |e_d\rangle$  be an orthonormal basis of  $\mathbb{C}^d$  that is mutually unbiased with the standard basis.
- Define  $I_i = \sqrt{d} \operatorname{diag}(|e_i\rangle)$  for  $1 \le i \le d$ .



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### Maximum pairwise traceless set construction

- Let |e<sub>1</sub>⟩...|e<sub>d</sub>⟩ be an orthonormal basis of C<sup>d</sup> that is mutually unbiased with the standard basis.
- Define  $I_i = \sqrt{d} \operatorname{diag}(|e_i\rangle)$  for  $1 \le i \le d$ .
- ► Consider the cyclic permutation group of order *d*, represented as *d*-by-*d* matrices: C<sup>1</sup>...C<sup>d</sup> where C<sup>d</sup> = C<sup>0</sup> = I.
- Define  $C_i^m = C^m I_i$



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### Maximum pairwise traceless set construction

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- ► Consider the cyclic permutation group of order *d*, represented as *d*-by-*d* matrices: C<sup>1</sup>...C<sup>d</sup> where C<sup>d</sup> = C<sup>0</sup> = I.

• Define 
$$C_i^m = C^m I_i$$

For any tuple  $1 \le i, j, m, n \le d$  we have:

$$tr((C_i^m)^*C_j^n) = tr(I_i^*C^{d-m+n}I_j) = egin{cases} d & ext{if } i=j ext{ and } m=n \ 0 & ext{otherwise} \end{cases}$$

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Introduction to unitary *t*-designs

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# Outline

Introduction

Trace double sum inequality

Symmetries and minimal designs

1-designs

#### Structure of designs

#### Conclusion



Introduction to unitary *t*-designs



## The center of *t*-designs is trivial

#### Lemma

For any  $V \in U(d)$  and  $[U, V] = U^*V^*UV$  we have:

$$\langle [\cdot, V] \rangle = \frac{tr(V^*)}{d}V$$

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#### Non-commuting

## The center of *t*-designs is trivial

#### Lemma

For any  $V \in U(d)$  and  $[U, V] = U^*V^*UV$  we have:

$$\langle [\cdot, V] \rangle = \frac{tr(V^*)}{d}V$$

#### Proposition

If  $X \subset U(d)$  is a minimal t-design then there is at most one element that commutes with all elements of X. In other words, Z(X) is trivial.

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### Some other structural observations

Proposition

Every t-design of dimension d spans  $C^{d \times d}$ .



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## Some other structural observations

Proposition

Every t-design of dimension d spans  $C^{d \times d}$ .

A group *t*-design is a unitary *t*-design that also happens to have group structure. Group designs were defined by Gross, Audenaert, and Eisert [GAE07], and all known constructions are via group designs.



Introduction to unitary *t*-designs



## Some other structural observations

Proposition

Every t-design of dimension d spans  $C^{d \times d}$ .

A group *t*-design is a unitary *t*-design that also happens to have group structure. Group designs were defined by Gross, Audenaert, and Eisert [GAE07], and all known constructions are via group designs.

Proposition

Every unitary irreducible representation of a finite group is a group 1-design and vice versa.



Introduction to unitary *t*-designs



# A simple lower bound on the size of *t*-designs

Proposition

If  $X \subset U(d)$  is a t-design then  $|X| \geq \frac{d^{2t}}{\sigma}$ .



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# A simple lower bound on the size of *t*-designs

Proposition

If  $X \subset U(d)$  is a t-design then  $|X| \geq \frac{d^{2t}}{\sigma}$ .

- ▶ Best known bounds are by Roy and Scott [RS08]:  $|X| \ge {d^2+t-1 \choose t}$
- Asymptotically, for large d and fixed t, both bounds are  $\Theta(d^{2t})$

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# A simple lower bound on the size of *t*-designs

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If  $X \subset U(d)$  is a t-design then  $|X| \geq \frac{d^{2t}}{\sigma}$ .

- ▶ Best known bounds are by Roy and Scott [RS08]:  $|X| \ge {d^2+t-1 \choose t}$
- Asymptotically, for large d and fixed t, both bounds are  $\Theta(d^{2t})$
- By taking note of some structural observations, we can do a little better:

Proposition

If 
$$X \subset U(d)$$
 is a t-design then  $|X| \geq rac{d^{2t}}{\sigma} + rac{1}{2d^t} (rac{\sigma}{2d^{2t}})^{2(t-1)}.$ 



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### Conjecture

### Conjecture

If X is a unitary t-design with  $t \ge 2$ , then for any  $W \in X$  there exists some  $Y \subset X - \{W\}$  such that Y is a t - 1-design.



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## Conjecture

#### Conjecture

If X is a unitary t-design with  $t \ge 2$ , then for any  $W \in X$  there exists some  $Y \subset X - \{W\}$  such that Y is a t - 1-design.

If true, this conjecture can significantly improve our lower bounds:

#### Theorem

If  $(X \subset U(d), w)$  is a unitary t-design and the conjecture is true, then:

$$|X| \geq \frac{d^{2t}}{\sigma_t} (1 + 2\frac{\sigma_t}{d^{2t}} \sigma_{t-1}^{\frac{t}{t-1}})$$

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Introduction to unitary *t*-designs

School of Computer Science

# Outline

Introduction

Trace double sum inequality

Symmetries and minimal designs

1-designs

Structure of designs

#### Conclusion



Introduction to unitary *t*-designs

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# Concluding remarks

- ▶ Introduces 3 definitions of unitary *t*-designs and one for approximate ones.
- Showed the trace double sum inequality: Σ(X) − ⟨|tr(U)|<sup>2t</sup>⟩ < ε<sup>2</sup> with equality if and if X is a ε approximate t-design with respect to the Frobenius norm.



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# Concluding remarks

- ▶ Introduces 3 definitions of unitary *t*-designs and one for approximate ones.
- Showed the trace double sum inequality: Σ(X) − ⟨|tr(U)|<sup>2t</sup>⟩ < ε<sup>2</sup> with equality if and if X is a ε approximate t-design with respect to the Frobenius norm.
- Used an orthonormal basis of  $\mathbb{C}^{d \times d}$  as a 1-design.
- Evaluated the average commutator on U(d):  $\langle [\cdot, V] \rangle = \frac{tr(V^*)}{d} V$
- Showed that t-designs are non-commuting

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Introduction to unitary *t*-designs

School of Computer Science March 25, 2010 <u>20 / 20</u>

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chool of Computer Science March 25, 2010 20 / 20

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Thank you for listening!

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Introduction to unitary t-designs

School of Computer Science

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